



Collateral Interface for Members User Module

User's Guide

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About this Guide

PURPOSE OF THIS GUIDE

This guide will enable you to use the option provided in the Collateral Interface for Members User Module and provides detailed procedures for the same.

HOW TO GET IN TOUCH

The following sections provide information on how to obtain support for the documentation and the software.

Documentation Support

NSE welcomes your comments and suggestions on the quality and usefulness of this document. For any questions, comments, or suggestions on the documentation, you can contact us at:

National Stock Exchange of India Limited,
Exchange Plaza,
Block G,
Bandra-Kurla Complex,
Bandra (East).
Mumbai - 400 051
Tel - 26598100

Customer Support

If you have any problems, questions, comments, or suggestions regarding Collateral Interface for Members User Module, contact us at the address mentioned above. While contacting customer support, have the following information ready:

Your name, E-mail address, phone number, and fax number

The type of hardware, including the server configuration and network hardware if available

The version of Collateral Interface for Members User Module

The name and version of the operating system

The exact message that appeared when the problem occurred or any other error messages that appeared on your screen(possibly a screenshot)

A description of how you tried to solve the problem

Chapter**1**

Getting Started

In this chapter, you will learn about:

Starting the Collateral Interface for Members User Module

Understanding the Interface of the Collateral Interface for Members User Module

Exiting the Collateral Interface for Members User Module

INTRODUCTION

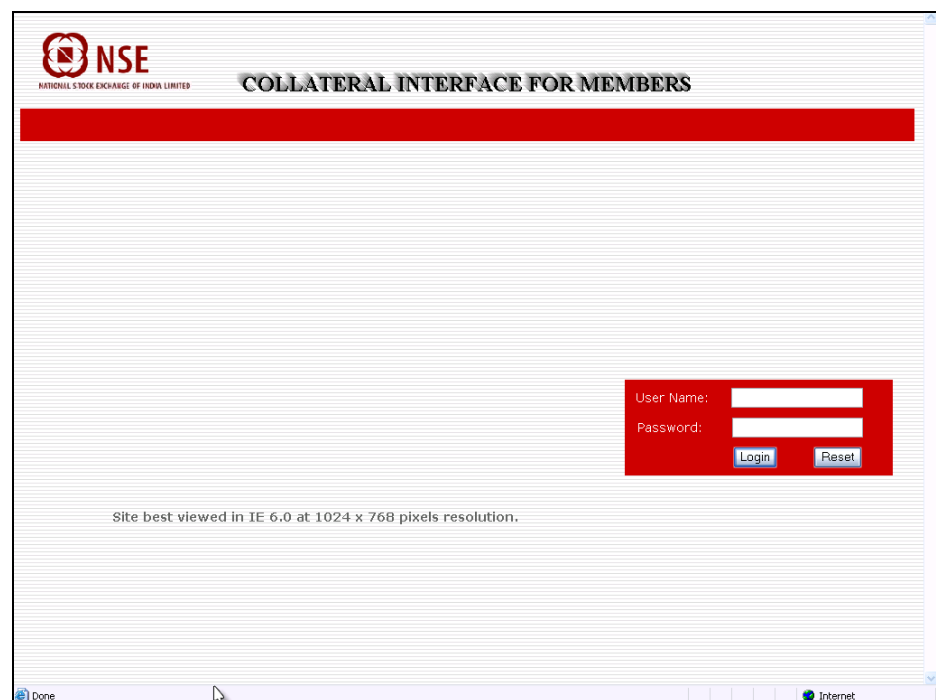
CIM (Collateral Interface for Members) has been developed to ease the process of information submission to the Clearing Corporation. A screen-based facility for requesting cash addition, release of collaterals, upload facility for collateral release, client margin reporting, providing details of client level early pay-in of securities, funds and cross margin, submission of blocking request for additional margin and capital cushion, replacing of instruments blocked towards additional margin and capital cushion has been developed.

LOGIN

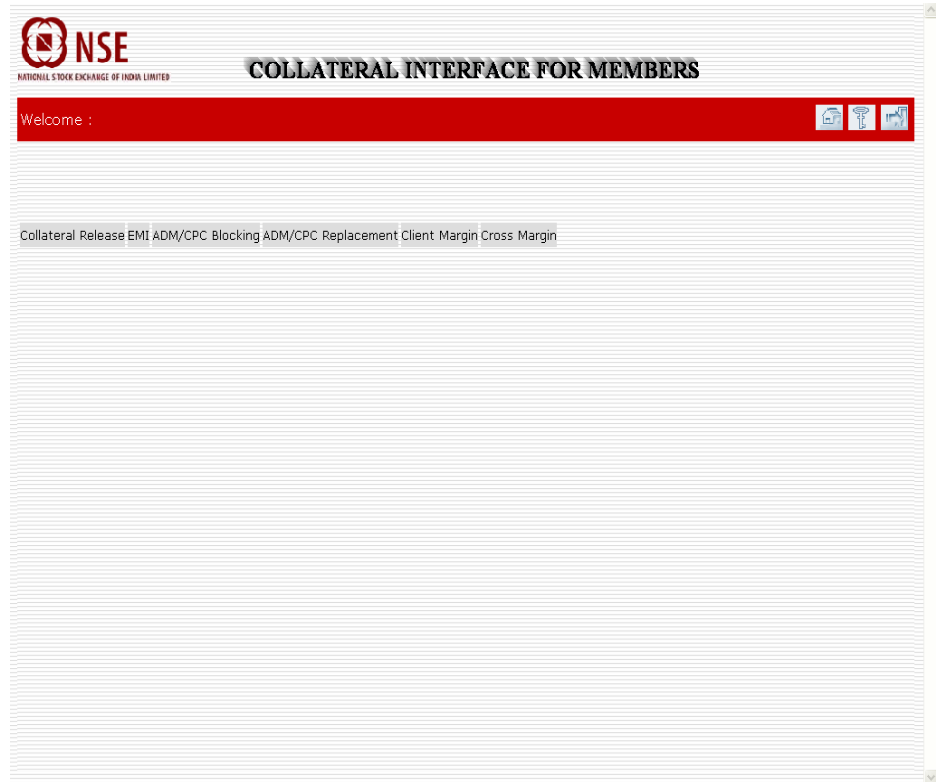
Users can log on to the CIM (Collateral Interface for Members) using the username and password provided by NSCCL.

To start the Collateral Interface for Members User Module

1. Click **Start** on your computer, point to **Programs**, and click **Internet Explorer**.
2. To start Collateral Interface for Members, type the address, <https://www.connect2nse.com/CIM/> in the Address bar, and then click the **Go** button.
3. Collateral Interface for Members displays the **Login** screen as shown in the following figure.

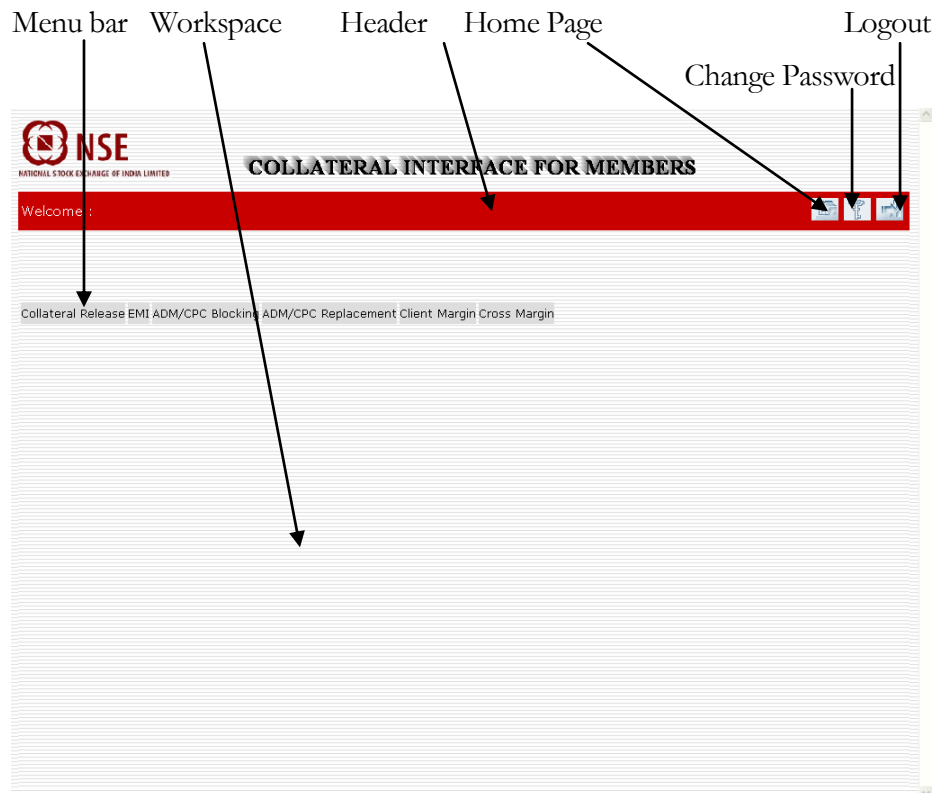


4. Type the user name and password in the relevant fields.
5. Click the **Login** button.
6. Collateral Interface for Members displays the main screen as shown in the following figure.



COLLATERAL INTERFACE FOR MEMBERS (USER) INTERFACE

To navigate your way in the Collateral Interface for Members (User), a proper understanding of the interface is essential. This section illustrates the various parts of the Collateral Interface for Members (User) and their uses.



Menu bar

The navigation bar displays the various options available in the Collateral Interface for Members. The screens corresponding to the link that you choose appear in the Workspace.

Workspace

The workspace is the working area of the application. It is the central rectangular portion of the browser window in which all the data entry elements are displayed.

Homepage

The homepage link is used for navigating to the home page of application.

Logout

As a rule, you should exit the Collateral Interface for Members User Module properly. The proper procedure is to log out from the application and then close the browser window.

To exit the Collateral Interface for Members User Module

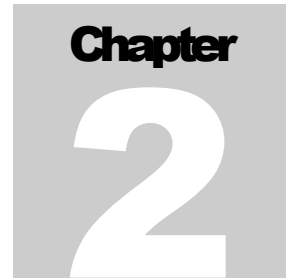
1. Click the **Logout** menu in the navigation bar.
 2. The Collateral Interface for Members will prompt you to confirm the logout and returns you to the **Login** screen.
 3. Click the **Close** button of the browser.
-

Note



If the session remains inactive for 30 minutes, the session will expire and the user will have to re-login

Closing the browser without properly logging out will not allow the user to login for some time.



Change Password

In this chapter, you will learn how to:

Change Password

Using this option, you can change the password and re-login using the new password when prompted to do so

CHANGING PASSWORD

As a user, you can change your logon password.

To change your password

1. Change password menu appears when the user logs in the Collateral Interface for Members for the first time or when password is reset.
2. Collateral Interface for Members displays the **Change Password** screen as shown in the following figure.

Field	Description
User Name	User name will appear for the user who has logged in.
Old Password	Type the appropriate old password in this field. This field supports minimum 8 and maximum 15 alphanumeric characters. This field is mandatory.
New Password	Type the appropriate new password in this field. This field supports minimum 8 and maximum 15 alphanumeric characters. This field is mandatory.

Field	Description
Confirm Password	To confirm the password, re-type the password that was typed in the New Password field. This field supports minimum 8 and maximum 15 alphanumeric characters. This field is mandatory.

3. Click the **Change Password** button.
4. Collateral Interface for Members displays the **Login** screen to re-login.

Note

When a user changes his password he has to login again using the new password.

When a user logs in for the first time he is also prompted to change his password.

The password expires every 15 days after which the user will be prompted to change his password when he logs in.

If the user enters incorrect password for six consecutive attempts, the user id will be locked. In such cases the user will have to request the Clearing Corporation to re-set the password.



Release Requests Entry/Inquiry

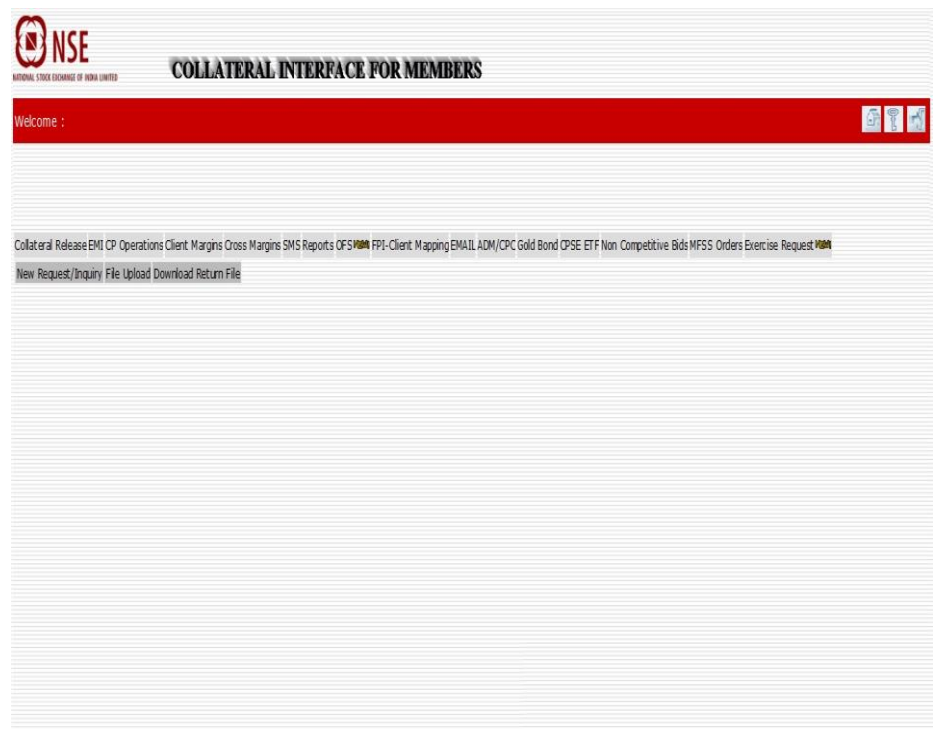
In this chapter, you will learn how to:

Enter the release requests for all types of collaterals

RELEASE REQUEST/INQUIRY

To request for release

1. Log on to Collateral Interface for Members.
2. Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.
3. Collateral Interface for Members displays the Release request Screen for CASH as shown in the following figure.



4. Depending on the segment for which Member is registered with exchange, a dropdown list of segment option will be displayed.
5. If user is registered for Capital, SLB, F&O, Currency Derivatives and Debt, he would be provided with all the options in the dropdown.

6. User would be required to select:
- Collateral Type
 - MD (Margin Deposit)
 - SD (Security Deposit)
 - Mode
 - Release Request
 - Release Inquire
 - Transfer Request
 - Transfer Inquire
 - Instrument Type
 - CHQ (Cash)
 - BGN (Bank Guarantee)
 - FDP (Fixed Deposits)
 - SDP (Security Deposits)
 - CMF (Cash Mutual fund)
 - NMF (Non Cash mutual fund)
 - INM (Institution Margin)
 - GSE (Government Securities)
 - OMF (Other Mutual Funds)
 - GMF (Gilt Mutual Fund)

Based on the above selection, appropriate screen would be available to the user.

CASH RELEASE REQUEST/INQUIRY

To request for release of Cash

1. Log on to Collateral Interface for Members.
2. Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.
3. On user selecting mode as "RELEASE REQUEST", Instrument Type as "CHQ" and clicking on "GO" button the following screen will be displayed

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text 'COLLATERAL INTERFACE FOR MEMBERS'. Below the header, a navigation bar contains various menu items. The main content area shows a form titled 'Enter release request for CAPITAL MARKET'. The form has the following fields and values:

- Collateral Type: MD - Margin Deposit
- Mode: RELEASE REQUEST
- Instrument Type: CHQ
- GO button
- Available Cash (in Rs): 414545.00
- Available Cash (in words): Rupees Four Lakh Fourteen Thousand Five Hundred Forty Five Only
- Requested Cash (in Rs):
- Requested Cash (in words):
- Bank: HDFC BANK LTD
- Account Number: 00990610001284
- Buttons: Immediate, End Of Day, Value Date, Reset

4. The fields that are displayed on the screen are :
 - a. Available Cash in Rs. (Figures and words)
 - b. Requested cash in Rs. (Figures and words)
 - c. Bank (Members Primary & secondary banks)
 - d. Account Number (Bank account number)
5. Member needs to select the Bank in which he/she needs to receive the funds when payout of cash release is processed.
6. Release request to primary account: The release request amount should be less than or equal to the available cash collateral amount.
7. Release request to secondary account: The release request amount should be minimum of cash collateral added in the secondary

account for the day net of already requested for release or transferred to other segment.

8. Click on Immediate/End of Day/Value Date button.
9. Value date release will be available only for primary account releases.
10. In case of Value Date, select the date and click on ok button.
11. In case of Immediate/End of Day request, the user will be prompted with message “Intraday charges + Service Tax applicable” to confirm his requests as shown in the below screen.

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". Below the header, a navigation bar contains various menu items such as "Collateral Release", "EMI CP", "Operations Client", "Margins Cross Margins SMS Reports OFS", "FPI-Client", "Mapping EMAIL ADM/CPC Gold Bond CPSE ETF Non Competitive Bids MFSS Orders Exercise Request".

The main form is titled "Enter release request for CAPITAL MARKET". It includes the following fields and controls:

- Collateral Type:** MD – Margin Deposit
- Mode:** RELEASE REQUEST
- Instrument Type:** CHQ
- GO** button

The form also contains input fields for:

- Available Cash (in Rs):** 414545.00
- Available Cash (in words):** Rupees Four Lakh Fourteen Thousand Five Hundred Forty Five Only
- Requested Cash (in Rs):** 100000
- Requested Cash (in words):** Rupees One Lakh Only
- Bank:** HDFC BANK LTD
- Account Number:** 00990610001284

At the bottom of the form, there are buttons for "Immediate", "End Of Day", "Value Date", and "Reset".

Overlaid on the form is a "Message from webpage" dialog box with the following text: "Intraday charges + Service Tax applicable, Do you wish to Proceed?". It has "OK" and "Cancel" buttons.

To inquire/modify the release request of Cash

1. User shall be able to inquire all cash requests submitted by him during the day and view the status of each.
2. Following status would be maintained for a request in various stages of processing
 - Pending (Request pending for processing)
 - Processing (Request is being processed)
 - Accepted (Request has been accepted and processed)
 - Rejected (Request has been rejected)
 - Cancelled (Request has been cancelled by the user).

3. In case of Immediate requests, modification and cancellation will not be allowed.
4. Through the below shown screen, user shall be allowed to modify only those requests having status as Pending
5. If a request is in a pending state the user cannot create a new request. However, the existing request can be modified or cancelled
6. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.
7. The following screen will be displayed on inquiry of cash release request:

The screenshot displays the NSE Collateral Interface for Members. At the top left is the NSE logo. The main header reads "COLLATERAL INTERFACE FOR MEMBERS". Below this is a red navigation bar with "Welcome:" on the left and "Segment: C-CM" on the right. A menu bar contains various options like "Collateral Release", "EMI CP Operations", "Client Margins", etc. Below the menu is a form titled "Enter release request for CAPITAL MARKET" with fields for "Collateral Type" (MD - Margin Deposit), "Mode" (RELEASE INQUIRE), and "Instrument Type" (CHQ). Below the form are two tables. The first table shows requested amounts and statuses for two dates (14-NOV-2017 and 15-NOV-2017). The second table shows released amounts and statuses for the same dates.

Select	Requested Date	Request Type	Available Amount (Rs)	Requested Amount (Rs)	Requested Amount (words)	Bank	Account Number	Value Date	Status
<input type="checkbox"/>	14-NOV-2017	V	416100.00	1200.00	Rupees One Thousand Two	HDFC BANK LTD	00990610001284	17-NOV-2017	Your request has been received and the same is on 16-NOV-2017 subject to utilization. If process receive the funds on 17-NOV-2017.
<input type="checkbox"/>	15-NOV-2017	E	416100.00	1700.00	Rupees One Thousand Seven	HDFC BANK LTD	00990610001284	-	Request accepted. The same shall be processed Utilization.

Requested Date	Request Type	Requested Amount (Rs)	Released Amount (Rs)	Released Amount (words)	Bank	Account Number	Value Date	Status
14-NOV-2017	V	1555.00	0.00	Rupees Zero Only	HDFC BANK LTD	00990610001284	29-NOV-2017	Request cancelled by USER.
15-NOV-2017	I	22000.00	22000.00	Rupees Twenty Two Thousand Only	HDFC BANK LTD	00990610001284	-	Request processed successfully. Release amount shall be credited run.

BANK GUARANTEE (BGN) RELEASE REQUEST/INQUIRY

To request for release of Bank Guarantee

Log on to Collateral Interface for Members

Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.

On user selecting mode as "RELEASE REQUEST", Instrument Type as "BGN" and clicking on "GO" button the following screen will be displayed

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS **NEW**

Enter release request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit Mode: RELEASE REQUEST Instrument Type: BGN GO

Release	BGN ID	BGN NO.	BANK NAME	FUND	Maturity Date	GROSS AMT	BLOCKED AMT	NET AMT	NET AMT IN WORDS
<input type="checkbox"/>	53166	00861F1BG080418	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	10000000.00	0.00	10000000.00	Rupees One Crore Only
<input type="checkbox"/>	53288	00861F1BG080455	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	10000000.00	0.00	10000000.00	Rupees One Crore Only
<input type="checkbox"/>	53289	00861F1BG080454	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	10000000.00	0.00	10000000.00	Rupees One Crore Only
<input type="checkbox"/>	53448	00861F1BG100153	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	10000000.00	0.00	10000000.00	Rupees One Crore Only

Immediate Value Date Reset

Matured Bank Guarantees will be displayed with a yellow background

Bank Guarantee from which an amount has been blocked will be displayed with a grey background

The instruments with blocked amount will not be available for release unless blocking replacement for the same is given.

After selecting the Bank Guarantees to be released and clicking on Immediate/Value Date button, the user will be prompted to confirm his requests.

In case of Value Date, select the date and confirm the request.

In case of Immediate request, the user will be prompted with message "Intraday charges + Service Tax applicable" to confirm his requests as shown in the below screen.

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". Below this, a navigation bar contains links for "Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS". A "Welcome" message is shown on the left, and a "Segment: C-CM" dropdown is on the right. The main area is titled "Enter release request for CAPITAL MARKET" and includes a form with "Collateral Type: MD -- Margin Deposit", "Mode: RELEASE REQUEST", and "Instrument Type: BGN". A "GO" button is next to the form. Below the form is a table with columns: "Release", "BIS ID", "BIS NO.", "BANK NAME", "INSTRUMENT TYPE", and "INSTRUMENT VALUE". The table contains four rows of data for Bank of India, Fort-Mumbai, Mumbai. A modal dialog box titled "Message from webpage" is overlaid on the table, displaying a question mark icon and the text "Intraday charges + Service Tax applicable, Do you wish to Proceed". The dialog has "OK" and "Cancel" buttons. At the bottom of the screen, there are buttons for "Immediate", "Value Date", and "Reset".

To inquire/modify the release request of Bank Guarantee

1. User can inquire all the release requests for Bank Guarantees submitted by them during the day and view the status of each.
2. Following status would be maintained for a request in various stages of processing
 - Pending (Request pending for processing)
 - Processing (Request is being processed)
 - Accepted (Request has been accepted and processed)
 - Rejected (Request has been rejected)
 - Cancelled (Request has been cancelled by the user)
3. In case of Immediate requests, modification and cancellation will not be allowed.
4. Users will be allowed to cancel Bank Guarantees release request with status as Pending through this screen.
5. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.



COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: C-CM

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS

Enter release request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit Mode: RELEASE INQUIRE Instrument Type: BGN

Release	BG Id	BG No.	Bank Name	Fung	Maturity Date	Gross Amt	Blocked Amt	Net Amt	Net Amt in words	Value Date	Request Type	Status
<input type="checkbox"/>	53166	00861F18G080418	BANK OF INDIA-FORT-MUMBAI,MUMBAI	N	11-NOV-2013	10000000.00	0.00	10000000.00	Rupees One Crore Only	NA	I	Request accepted. The same shall be processed subject to Utilization.
<input type="checkbox"/>	53288	00861F18G080495	BANK OF INDIA-FORT-MUMBAI,MUMBAI	N	11-NOV-2013	10000000.00	0.00	10000000.00	Rupees One Crore Only	18-FEB-2014	V	Your request has been received and the same shall be processed on 17-FEB-2014 subject to utilization.

FIXED DEPOSITS (FDP) RELEASE REQUEST/INQUIRY

To request for release of Fixed Deposit Receipts

Log on to the Collateral Interface for Members.

Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.

On user selecting mode as “RELEASE REQUEST”, Instrument Type as “FDP” and clicking on “GO” button the following screen will be displayed

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS **NEW**

Enter release request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit | Mode: RELEASE REQUEST | Instrument Type: FDP | GO

Release	FD Id	FD No.	Bank Name	FD Type	Custodian Code	Maturity Date	Gross Amt	Blocked Amt	Net Amt	Net Amt in words
<input type="checkbox"/>	173161	02403710000553	HDFC BANK LTD./C/O HDFC BANK,MUMBAI	BFD	HDFC	11-NOV-2008	50000000.00	0.00	50000000.00	Rupees Five Crore Only
<input type="checkbox"/>	170167	05984460004637	HDFC BANK LTD./C/O HDFC BANK,MUMBAI	BFD	HDFC	16-MAY-2009	50000000.00	0.00	50000000.00	Rupees Fifty Lakh Only
<input type="checkbox"/>	96082	004107000011343	IDBI BANK LTD./C/O SHCIL,MUMBAI	CFD	SHCIL	21-MAR-2010	7500000.00	0.00	7500000.00	Rupees Seven Lakh Fifty

Immediate Value Date Reset

Matured Fixed Deposit Receipts will be displayed with a yellow background
Fixed Deposit Receipts from which an amount has been blocked will be displayed with a grey background

The instruments with blocked amount will not be available for release unless blocking replacement for the same is given.

After selecting the Fixed Deposit Receipts to be released and clicking on Immediate/Value Date button, the user will be prompted to confirm his requests.

In case of Value Date, select the date and confirm the request.

In case of Immediate request, the user will be prompted with message “Intraday charges + Service Tax applicable” to confirm his requests as shown in the below screen.

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". Below this, a "Welcome:" message is shown along with a "Segment: C-CM" dropdown menu. A navigation bar contains links for "Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS".

The main section is titled "Enter release request for CAPITAL MARKET". It features a form with the following fields: "Collateral Type: MD -- Margin Deposit", "Mode: RELEASE REQUEST", and "Instrument Type: FDP". A "GO" button is present next to the instrument type.

Below the form is a table with the following columns: "RELEASE", "FD ID", "FD NO.", "BANK NAME", "FD I", "REL AMT", and "REL AMT IN WORDS". The table contains three rows of data:

RELEASE	FD ID	FD NO.	BANK NAME	FD I	REL AMT	REL AMT IN WORDS
<input checked="" type="checkbox"/>	173161	02403710000553	HDFC BANK LTD./C/O HDFC BANK/MUMBAI	BFD	500000.00	Rupees Five Crore Only
<input type="checkbox"/>	170167	05984460004637	HDFC BANK LTD./C/O HDFC BANK/MUMBAI	BFD	500000.00	Rupees Fifty Lakh Only
<input type="checkbox"/>	96082	004107000011343	IDBI BANK LTD./C/O SHCIL/MUMBAI	CFD	750000.00	Rupees Seven Lakh Fifty

A modal dialog box titled "Message from webpage" is overlaid on the table. It contains a question mark icon and the text: "Intraday charges + Service Tax applicable, Do you wish to Proceed". There are "OK" and "Cancel" buttons at the bottom of the dialog.

At the bottom of the interface, there are buttons for "Immediate", "Value Date", and "Reset".

To inquire/modify the release request of Fixed Deposit Receipts

1. User can inquire all the release requests for Fixed Deposit Receipts submitted by them during the day and view the status of each.
2. Following status would be maintained for a request in various stages of processing
 - Pending (Request pending for processing)
 - Processing (Request is being processed)
 - Accepted (Request has been accepted and processed)
 - Rejected (Request has been rejected)
 - Cancelled (Request has been cancelled by the user)
3. In case of Immediate requests, modification and cancellation will not be allowed.
4. Users will be allowed to cancel Fixed Deposit Receipts release request with status as Pending through this screen.
5. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.



COLLATERAL INTERFACE FOR MEMBERS

Welcome :

Segment: C-CM



Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS

Enter release request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit Mode: RELEASE INQUIRE Instrument Type: FDP GO Refresh

Release	FD Id	FD No.	Bank Name	FD Type	Custodian Code	Maturity Date	Gross Amt	Blocked Amt	Net Amt	Net Amt in words	Value Date	Request Type	Status
	173161	02403710000553	HDFC BANK LTD./C/O HDFC BANK/MUMBAI	BFD	HDFC	11-NOV-2008	50000000.00	0.00	50000000.00	Rupees Five Crore Only	NA	I	Request accepted. The same shall be processed subject to Utilization.
	96082	004107000011343	IDBI BANK LTD./C/O SHCIL/MUMBAI	CFD	SHCIL	21-MAR-2010	7500000.00	0.00	7500000.00	Rupees Seven Lakh Fifty	25-FEB-2014	V	Your request has been received and the same shall be processed on 24-FEB-2014 subject to utilization.



Modify

Cancel

Reset

SECURITY DEPOSITS (SDP) RELEASE REQUEST/INQUIRY

To request for release of Securities

Log on to the Collateral Interface for Members.

Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.

On user selecting mode as “RELEASE REQUEST”, Instrument Type as “SDP” and clicking on “GO” button the following screen will be displayed

Select	Symbol	ISIN	Series	Custodian	Gross Value	Net Value	Available Quantity	Requested Quantity	Requested Quantity in Words
<input type="checkbox"/>	CBOND01	INE005A11192	SEC	SHCIL	5000000.00	4500000.00	1000	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	FINCABLES	INE235A01022	SEC	ICICI	52250.00	48331.25	1000	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	TMFLPSGG	INF044D01534	SEC	HDFC	650000.00	585000.00	650	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	UTIBOND	INF789F01398	SEC	ICICI	12160.00	10336.00	1000	<input type="text"/>	<input type="text"/>

An option is provided to enter a particular security symbol or search a security from the set of securities provided by the member.

On selection of a security, the quantity of securities provided by the member as collateral will be displayed

The user will be allowed to request release of the quantity populated or can reduce the quantity. The user will not be allowed to request for a release of quantity more than populated quantity

After selecting the securities to be released and clicking on Immediate/Value Date button, the user will be prompted to confirm his requests.

By clicking on the reset button, all the data in the screen will be reset.

In case of Value Date, select the date and confirm the request.

In case of Immediate request, the user will be prompted with message “Intraday charges + Service Tax applicable” to confirm his requests as shown in the below screen.

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". Below this, a navigation bar includes "Welcome :", "Segment: C - CM", and a "GO" button. A menu bar contains "Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports DFS NEW".

The main form area is titled "Enter release request for CAPITAL MARKET". It includes fields for "Collateral Type: MD -- Margin Deposit", "Mode: RELEASE REQUEST", and "Instrument Type: SDP". Below these is a field for "Enter the symbol Name" with a search icon.

A table lists securities with columns: Select, Symbol, ISIN, Series, Custodian, Gross Value, and Requested Quantity in Words. The table contains four rows of data:

Select	Symbol	ISIN	Series	Custodian	Gross Value	Requested Quantity in Words
<input checked="" type="checkbox"/>	CBOND01	INE005A11192	SEC	SHCIL	5000000	Thousand
<input type="checkbox"/>	FINCABLES	INE235A01022	SEC	ICICI	52250	
<input type="checkbox"/>	TMFLFSGG	INF044D01534	SEC	HDFC	650000	
<input type="checkbox"/>	UTIBOND	INF789F01398	SEC	ICICI	12160.00	10336.00 1000

A dialog box titled "Message from webpage" is overlaid on the table, containing the message: "Intraday charges + Service Tax applicable, Do you wish to Proceed". It has "OK" and "Cancel" buttons.

At the bottom of the form, there are buttons for "Immediate", "Value Date", and "Reset".

To inquire/modify the release request of Securities

1. User can inquire all the release requests for securities submitted by them during the day and view the status of each.
2. Following status would be maintained for a request in various stages of processing
 - Pending (Request pending for processing)
 - Processing (Request is being processed)
 - Accepted (Request has been accepted and processed)
 - Rejected (Request has been rejected)
 - Cancelled (Request has been cancelled by the user)
3. In case of Immediate requests, users will be allowed to modify or cancel the requests till it gets fetched for processing.
4. Users will be allowed to cancel Security deposits release request with status as Pending through this screen.
5. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS **NEW**

Enter release request for CAPITAL MARKET

Collateral Type: MD - Margin Deposit Mode: RELEASE INQUIRE Instrument Type: SDP GO Refresh

Release	Requested Date	ISIN	Symbol	Custodian	Series	Available Quantity	Requested Quantity	Quantity in words	Value Date	Request Type	Status
<input type="checkbox"/>	14-FEB-2014	INE005A11192	CBOND01	SHCIL	SEC	0	1000	One Thousand	.	I	Request accepted. The same shall be processed subject to utilization.
<input type="checkbox"/>	14-FEB-2014	INE235A01022	FINCABLES	ICICI	SEC	0	1000	One Thousand	20-FEB-2014	V	Your request has been received and the same shall be processed subject to utilization.

Modify Cancel Reset

CASH MUTUAL FUND (CMF) RELEASE REQUEST/INQUIRY

To request for release of Cash Mutual Fund

Log on to the Collateral Interface for Members.

Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.

On user selecting mode as "RELEASE REQUEST", Instrument Type as "CMF" and clicking on "GO" button the following screen will be displayed

An option is provided to enter a particular security symbol or search a security from the set of securities provided by the member.

Enter release request for CAPITAL MARKET

Collateral Type: MD - Margin Deposit Mode: RELEASE REQUEST Instrument Type: CMF GO

Enter the Symbol Name | ALL

Select	Symbol	Series	Custodian	Gross Value	Net Value	Available Quantity	Requested Quantity	Requested Quantity in Words
<input type="checkbox"/>	LIQUIDBEEES	CMF	ICICI	450000.00	405000.00	450.000	<input type="text"/>	<input type="text"/>

Immediate Value Date Reset

On selection of a security, the quantity of securities provided by the member as collateral will be displayed

The user will be allowed to request release of the quantity displayed or can reduce the quantity. The user will not be allowed to request for a release of quantity more than displayed quantity. The user can enter request for release quantity up to 3 decimals

After selecting the securities to be released and clicking on Immediate/Value Date button, the user will be prompted to confirm his requests.

By clicking on the reset button, all the data in the screen will be reset.

In case of Value Date, select the date and confirm the request.

In case of Immediate request, the user will be prompted with message “Intraday charges + Service Tax applicable” to confirm his requests as shown in the below screen.

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". Below the header, there is a navigation bar with "Welcome:" and a "Segment: C-CM" dropdown. The main content area shows a form for entering a release request for CAPITAL MARKET. The form includes fields for "Collateral Type: MD -- Margin Deposit", "Mode: RELEASE REQUEST", and "Instrument Type: CMF". A "GO" button is present. Below the form, there is a table with columns: "Select", "Symbol", "Series", "Custodian", "Gross Value", and "Requested Quantity in Words". The table contains one row with the following data:

Select	Symbol	Series	Custodian	Gross Value	Requested Quantity in Words
<input checked="" type="checkbox"/>	LIQUIDBEEES	CMF	ICICI	450000.00	Hundred Fifty

. A dialog box titled "Message from webpage" is overlaid on the table, displaying the message: "Intraday charges + Service Tax applicable, Do you wish to Proceed". The dialog box has "OK" and "Cancel" buttons. At the bottom of the page, there are buttons for "Immediate", "Value Date", and "Reset".

To inquire/modify the release request of Cash Mutual Fund

1. User can inquire all the release requests for securities submitted by them during the day and view the status of each.
2. Following status would be maintained for a request in various stages of processing
 - Pending (Request pending for processing)
 - Processing (Request is being processed)
 - Accepted (Request has been accepted and processed)
 - Rejected (Request has been rejected)
 - Cancelled (Request has been cancelled by the user)
3. In case of Immediate requests, users will be allowed to modify or cancel the requests till it gets fetched for processing.
4. Users will be allowed to modify / cancel Cash Mutual Fund release request with status as Pending through this screen.
5. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.

NSE
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COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: C-CM

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS

Enter release request for CAPITAL MARKET

Collateral Type: MD - Margin Deposit Mode: RELEASE INQUIRE Instrument Type: CMF GO

Symbol: ALL Request Type: ALL

Release	Requested Date	Symbol	Custodian	Series	Available Quantity	Requested Quantity	Quantity in words	Value Date	Request Type	Status
<input type="checkbox"/>	12-FEB-2014	LQIDBEE5	ICICI	CMF	0.000	450,000	Four Hundred Fifty and	-	I	Request accepted. The same shall be processed subject to Utili
<input type="checkbox"/>	12-FEB-2014	LQIDBEE5	HDFC	CMF	0.000	450,000	Four Hundred Fifty and	18-FEB-2014	V	Your request has been received and the same shall be processed on 17-FEB utilization.

Modify Cancel Reset

NON CASH MUTUAL FUND (NMF) RELEASE REQUEST/INQUIRY

To request for release of Non - Cash Mutual Fund

Log on to the Collateral Interface for Members.

Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.

On user selecting mode as "RELEASE REQUEST", Instrument Type as "NMF" and clicking on "GO" button the following screen will be displayed

An option is provided to enter a particular security symbol or search a security from the set of securities provided by the member

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". Below the header, a navigation bar contains various menu items: Collateral Release, EMJ, ADM/CPC, Blocking, ADM/CPC, Replacement, Client Margins, Cross Margins, SMS Reports, and OFS. A "Welcome" message and a "Segment: C-CM" dropdown are also visible. The main content area shows a form titled "Enter release request for CAPITAL MARKET". The form includes fields for "Collateral Type: MD - Margin Deposit", "Mode: RELEASE REQUEST", and "Instrument Type: NMF", along with a "GO" button. Below the form is a table with the following columns: Select, Symbol, Series, Custodian, Gross Value, Net Value, Available Quantity, Requested Quantity, and Requested Quantity in Words. The table contains four rows of securities data.

Select	Symbol	Series	Custodian	Gross Value	Net Value	Available Quantity	Requested Quantity	Requested Quantity in Words
<input type="checkbox"/>	GOLDBEES	NMF	HDPC	1246432.50	499445.50	450.000	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	IDBIGOLD	NMF	ICICI	1296000.00	197640.00	450.000	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	IDBIGOLD	NMF	HDPC	1296000.00	197640.00	450.000	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	IIFLNIFTY	NMF	ICICI	269388.00	26938.80	450.000	<input type="text"/>	<input type="text"/>

At the bottom of the table, there are three buttons: "Immediate", "Value Date", and "Reset".

On selection of a security, the quantity of securities provided by the member as collateral will be displayed

The user will be allowed to request release of the quantity displayed or can reduce the quantity. The user will not be allowed to request for a release of quantity more than displayed quantity. The user can enter request for release quantity up to 3 decimals

After selecting the securities to be released and clicking on Immediate/Value Date button, the user will be prompted to confirm his requests.

By clicking on the reset button, all the data in the screen will be reset.

In case of Value Date, select the date and confirm the request.

In case of Immediate request, the user will be prompted with message “Intraday charges + Service Tax applicable” to confirm his requests as shown in the below screen.

The screenshot displays the NSE Collateral Interface for Members. At the top, the NSE logo and the text "NATIONAL STOCK EXCHANGE OF INDIA LIMITED" are visible. Below this, the title "COLLATERAL INTERFACE FOR MEMBERS" is centered. A red banner at the top right shows "Welcome:" and "Segment: C-CM". A navigation bar contains links: "Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS".

A form titled "Enter release request for CAPITAL MARKET" is present, with fields for "Collateral Type: MD - Margin Deposit", "Mode: RELEASE REQUEST", and "Instrument Type: NMF". Below the form is a table with columns: "Select", "Symbol", "Series", "Custodian", "Gross Value", and "Net Value". The table contains four rows of securities:

Select	Symbol	Series	Custodian	Gross Value	Net Value
<input checked="" type="checkbox"/>	GOLDBEES	NMF	HDFC	1246432.50	499441
<input type="checkbox"/>	IDBIGOLD	NMF	ICICI	1296000.00	197641
<input type="checkbox"/>	IDBIGOLD	NMF	HDFC	1296000.00	197641
<input type="checkbox"/>	IIFLNIFTY	NMF	ICICI	269388.00	269388.00

A modal dialog box titled "Message from webpage" is overlaid on the table, displaying the message: "Intraday charges + Service Tax applicable, Do you wish to Proceed". It has "OK" and "Cancel" buttons. Below the table, there are buttons for "Immediate", "Value Date", and "Reset".

To inquire/modify the release request of Non - Cash Mutual Fund

1. User can inquire all the release requests for securities submitted by them during the day and view the status of each.
2. Following status would be maintained for a request in various stages of processing
 - Pending (Request pending for processing)
 - Processing (Request is being processed)
 - Accepted (Request has been accepted and processed)
 - Rejected (Request has been rejected)
 - Cancelled (Request has been cancelled by the user)
3. In case of Immediate requests, users will be allowed to modify or cancel the requests till it gets fetched for processing.
4. Users will be allowed to modify / cancel Non- Cash Mutual Fund release request with status as Pending through this screen.

- All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.

NSE
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: C-CM

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS

Enter release request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit Mode: RELEASE INQUIRE Instrument Type: NMF GO Refresh

Symbol: ALL Request Type: ALL

Release	Requested Date	Symbol	Custodian	Series	Available Quantity	Requested Quantity	Quantity in words	Value Date	Request Type	Status
<input type="checkbox"/>	12-FEB-2014	GOLDBEES	ICICI	NMF	0.000	450,000	Four Hundred Fifty and	25-FEB-2014	v	Your request has been received and the same shall be processed on 24-FI utilization.
<input type="checkbox"/>	12-FEB-2014	IDBIGOLD	HDFC	NMF	0.000	450,000	Four Hundred Fifty and	-	i	Request accepted. The same shall be processed subject to Un

Modify Cancel Reset

GOVERNMENT SECURITIES (GSE) RELEASE REQUEST/INQUIRY

To request for release of Non - Cash Mutual Fund

Log on to the Collateral Interface for Members.

Click the Collateral Release->New Release Request/Inquiry menu on the navigation bar.

On user selecting mode as "RELEASE REQUEST", Instrument Type as "GSE" and clicking on "GO" button the following screen will be displayed

An option is provided to enter a particular security symbol or search a security from the set of securities provided by the member

Enter release request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit Mode: RELEASE REQUEST Instrument Type: GSE GO

enter the symbol name

Select	ISIN	Symbol	Series	Custodian	Total Face Value	Target SGL A/C No.	Target SGL A/C Holder Name	Requested Amount	Requested Amount in Words
<input type="checkbox"/>	IN0020112021	364 DTB MATURING ON 21/09/2012	GSE	NSCL	30000000.00	SG020003	HDFC BANK LTD - CSGI A/C	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	IN0020110022	7.80% G.S. 2021	GSE	NSCL	30000000.00	SG020003	HDFC BANK LTD - CSGI A/C	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	IN0020070028	8.08% G.S. 2022	GSE	NSCL	30000000.00	SG020003	HDFC BANK LTD - CSGI A/C	<input type="text"/>	<input type="text"/>

Immediate Reset

On selection of a security, the quantity of securities provided by the member as collateral will be displayed

The user will be allowed to request release of the quantity populated or can reduce the quantity. The user will not be allowed to request for a release of quantity more than populated quantity.

After selecting the securities to be released and clicking on Immediate button, the user will be prompted to confirm his requests.

By clicking on the reset button, all the data in the screen will be reset.

To inquire/modify the release request of Government Securities

1. User can inquire all the release requests for securities submitted by them during the day and view the status of each.

2. Following status would be maintained for a request in various stages of processing

- Pending (Request pending for processing)
- Processing (Request is being processed)
- Accepted (Request has been accepted and processed)
- Rejected (Request has been rejected)
- Cancelled (Request has been cancelled by the user)

3. Users will be allowed to modify / cancel release request till it gets fetched for processing.

4. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.

Enter release request for CAPITAL MARKET

Collateral Type: MD - Margin Deposit Mode: RELEASE INQUIRE Instrument Type: GSE GO Refresh

Symbol: ALL Request Type: ALL

Release	Requested Date	Symbol	ISIN	Custodian	Available Face Value	Requested Face Value	Amount in words	Request Type	Status
<input type="checkbox"/>	14-FEB-2014	364 DTB MATURING ON 21/09/2012	IN0020112021	NSCL	0	3000000	Rupees Three Crore Only	1	Request accepted. The same shall be processed subject

Modify Cancel Reset

Chapter**4**

Transfer Requests Entry/Inquiry

In this chapter, you will learn how to:

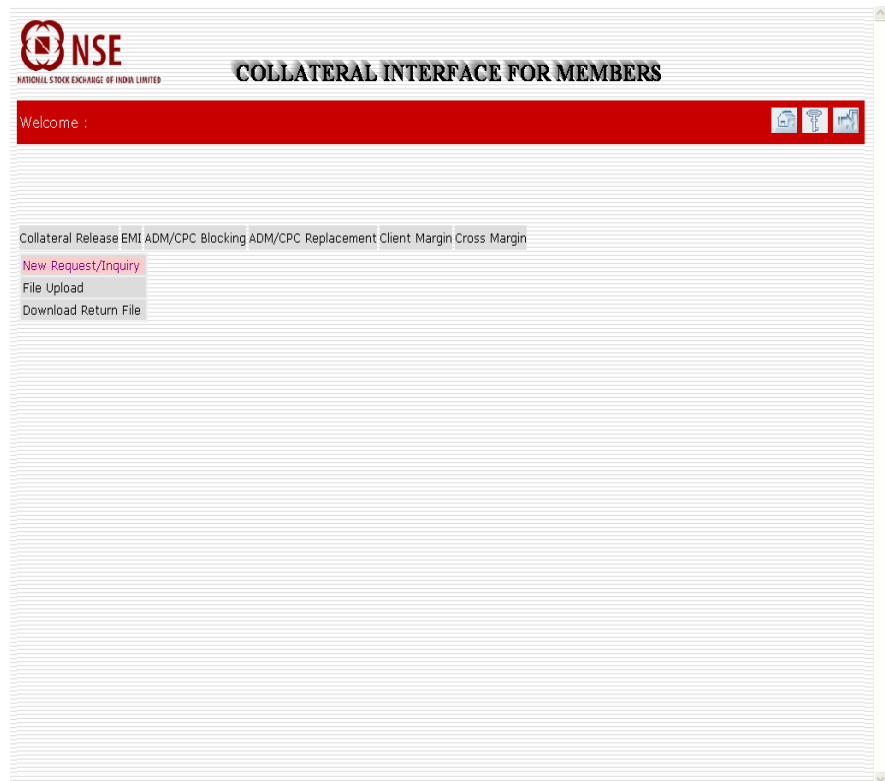
Enter the Transfer requests for various types of collaterals

TRANSFER REQUEST/INQUIRY

To request for Transfer

Log on to Collateral Interface for Members.

1. Click the Collateral Release->New Request/Inquiry menu on the navigation bar.
2. Collateral Interface for Members displays the Transfer request Screen for CASH as shown in the following figure.



3. Depending on the segment for which Member is registered with exchange, a dropdown list of segment option will be displayed.
4. If user is registered for Capital, F&O, Currency Derivatives and Debt, he would be provided with all the options in the dropdown.
5. User would be required to select:
 - a. Collateral Type
 - MD (Margin Deposit)
 - SD (Security Deposit)

- b. Mode
 - Transfer Request
 - Transfer Inquire
- c. Instrument Type
 - CHQ (Cash)
 - BGN (Bank Guarantee)
 - FDP (Fixed Deposits)
 - SDP (Security Deposits)
 - CMF (Cash Mutual fund)
 - NMF (Non Cash mutual fund)
 - GSE (Government Securities)
 - WDM (Wholesale Debt Market Deposit)
 - IFSD-NSEIL-CM
 - IFSD-NSEIL-FO
 - IFSD-NSEIL-CD
 - IFSD-NSCCL-CM
 - IFSD-NSCCL-FO
 - IFSD-NSCCL-CD
- d. TRF Seg¹
 - C – Capital Market Segment
 - F – Future & Options Segment
 - X – Currency Derivatives Segment
 - D – Debt Segment
- e. TRF Coll Type
 - MD - Margin Deposit
 - SD - Security Deposit

6. Based on the above selection, appropriate screen would be available to the user.

¹ This shall be based on membership with various segment and based on transferability of instrument.

CASH TRANSFER REQUEST/INQUIRY

To request for Transfer of Cash

1. Log on to Collateral Interface for Members.
2. Click the Collateral Release->New Request/Inquiry menu on the navigation bar.
3. On user selecting mode as "TRANSFER REQUEST", Instrument Type as "CHQ" and clicking on "GO" button the following screen will be displayed

The screenshot displays the NSE Collateral Interface for Members. At the top, there is a red header with the NSE logo and the text 'COLLATERAL INTERFACE FOR MEMBERS'. Below the header, a navigation bar contains links: Collateral Release, EMI, ADM/CPC, Blocking, ADM/CPC, Replacement, Client, Margins, Cross Margins, SMS, Reports, and OFS. The main content area is titled 'Enter transfer request for CAPITAL MARKET'. It features a form with the following fields and controls:

- Collateral Type:** MD → Margin Deposit
- Mode:** TRANSFER REQUEST
- Instrument Type:** CHQ
- GO** button
- Available CASH(in Rs.):** 50000.00
- Available CASH(in words):** Rupees Fifty Thousand Only
- Requested CASH(in Rs.):** (empty field)
- Requested CASH(in words):** (empty field)
- TRF Seg:** C
- TRF CollType:** MD
- SUBMIT** and **RESET** buttons

4. The fields that are displayed on the screen are :
 - Available Cash in Rs. (Figures and words)
 - Requested cash in Rs. (Figures and words).

5. The Transfer request amount should be less than or equal to the available amount.

User can transfer the CASH from one segment to another by selecting the segment where the CASH is to be transferred from the drop down box. The transfer segment and the transfer collateral type will be defaulted to the source segment and source collateral type. The member will have an option to change the defaulted values. User can transfer the CASH from one collateral type to another by selecting the collateral type where the CASH is to be transferred from the drop down box. Members shall be required to use this screen for both Intra-segment (transfer within one segment) and Inter-segment (transfer across segments) transfers.

To inquire/modify the Transfer request of Cash

1. User shall be able to inquire all cash requests submitted by him during the day and view the status of each request.
2. Following status would be maintained for a request in various stages of processing
 - i. Pending (Request pending for processing)
 - ii. Processing (Request is being processed)
 - iii. Accepted (Request has been accepted and processed)
 - iv. Rejected (Request has been rejected)
 - v. Cancelled (Request has been cancelled by the user)
3. Through the below shown screen, user shall be allowed to modify only those requests having status as Pending
4. If a request is in a pending state the user cannot create a new request. However, the existing request can be modified or cancelled
5. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.
6. The following screen will be displayed on inquiry of cash Transfer request:

The screenshot shows the NSE Collateral Interface for Members. The header includes the NSE logo and the text 'COLLATERAL INTERFACE FOR MEMBERS'. Below the header, there is a 'Welcome :' message and a 'Segment: C-CM' dropdown menu. A navigation bar contains links for Collateral, Release EMI, ADM/CPC Blocking, ADM/CPC Replacement, Client Margins, Cross Margins, SMS Reports, and OFS. The main content area is titled 'Enter transfer request for CAPITAL MARKET' and contains a form with the following fields: Collateral Type: MD -- Margin Deposit, Mode: TRANSFER INQUIRE, Instrument Type: CHQ, and a GO button. Below the form is a table with the following data:

Transfer	Requested Date	Available Amount(Rs)	Requested Amount(Rs)	Requested Amount(words)	TRF Seg	TRF CollType	Status
	13-FEB-2014	50000.00	1400.00	Rupees One Thousand Four	F	MD	Request accepted. The same shall be processed subject to Util

Below the table, there are buttons for 'Modify', 'Cancel', and 'Reset'.

IFSD-NSEIL-(CM/FO/CD/DEBT) TRANSFER REQUEST/INQUIRY

To request for Transfer of IFSD-NSEIL-(CM/FO/CD/DEBT)-

1. Log on to Collateral Interface for Members.
2. Click the Collateral Release ->New Request/Inquiry menu on the navigation bar.
3. On user selecting mode as “TRANSFER REQUEST”, Instrument Type as “IFSD-NSEIL(CM/FO/CD/DEBT)” and clicking on “GO” button the following screen will be displayed

The screenshot displays the NSE Collateral Interface for Members. The header includes the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". A red banner at the top says "Welcome : Segment: F-FNO". Below this, a section titled "Enter transfer request for FUTURE AND OPTIONS" contains the following fields:

- Collateral Type:** SD – Security Deposit
- Mode:** TRANSFER REQUEST
- Instrument Type:** IFSD-NSEIL-CM
- GO** button

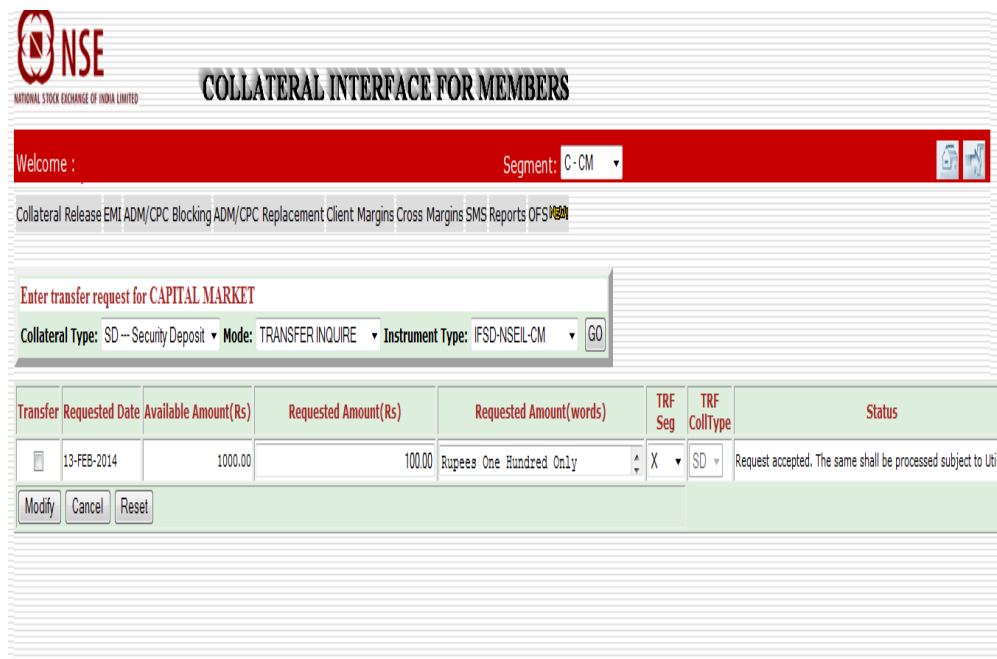
Below these are two pairs of input fields for "Available IFSD-NSEIL-CM" and "Requested IFSD-NSEIL-CM", each with a numerical value and a dropdown menu for "Rupees One Thousand Only" and "Rupees One Hundred Only". At the bottom, there are "TRF Seg" and "TRF CollType" dropdowns, and "SUBMIT" and "RESET" buttons.

4. The fields that are displayed on the screen are :
 - Available IFSD-NSEIL(CM/FO/CD/DEBT) in Rs. (Figures and words)
 - Requested IFSD-NSEIL (CM/FO/CD/DEBT) in Rs. (Figures and words).
5. The Transfer request amount should be less than or equal to the available amount. Requested amount shall be validated against minimum amount to be retained and minimum request amount. Minimum amount to be retained and minimum amount shall be prescribed by NSCCL.

User can transfer the IFSD-NSEIL (CM/FO/CD/DEBT) from one segment to another by selecting the segment where the IFSD-NSEIL (CM/FO/CD/DEBT) is to be transferred from the drop down box.

To inquire/modify the Transfer request of IFSD-NSEIL (CM/FO/CD/DEBT)

1. User shall be able to inquire all IFSD-NSEIL requests submitted by him during the day and view the status of each request.
2. Following status would be maintained for a request in various stages of processing
 - i. Pending (Request pending for processing)
 - ii. Processing (Request is being processed)
 - iii. Accepted (Request has been accepted and processed)
 - iv. Rejected (Request has been rejected)
 - v. Cancelled (Request has been cancelled by the user)
3. Through the below shown screen, user shall be allowed to modify only those requests having status as Pending
4. If a request is in a pending state the user cannot create a new request. However, the existing request can be modified or cancelled
5. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.



IFSD-NSCCL-(CM/FO/CD/DEBT) TRANSFER REQUEST/INQUIRY

To request for Transfer of IFSD-NSCCL-(CM/FO/CD/DEBT)

1. Log on to Collateral Interface for Members.
2. Click the Collateral Release ->New Request/Inquiry menu on the navigation bar.
3. On user selecting mode as “TRANSFER REQUEST”, Instrument Type as “IFSD-NSCCL(CM/FO/CD/DEBT)” and clicking on “GO” button the following screen will be displayed

The screenshot displays the NSE Collateral Interface for Members. The header includes the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". A red banner at the top says "Welcome : Segment: F-FNO". Below this, there is a section titled "Enter transfer request for FUTURE AND OPTIONS" with a "GO" button. The form contains the following fields:

- Collateral Type:** SD — Security Deposit
- Mode:** TRANSFER REQUEST
- Instrument Type:** IFSD-NSCCL-CM
- Available IFSD-NSCCL-CM(in Rs):** 990000.00
- Available IFSD-NSCCL-CM(in words):** Rupees Nine Lakh Ninety Thousand Only
- Requested IFSD-NSCCL-CM(in Rs):** 1000
- Requested IFSD-NSCCL-CM(in words):** Rupees One Thousand Only
- TRF Seg:** X
- TRF CollType:** SD

At the bottom of the form are "SUBMIT" and "RESET" buttons. The browser status bar at the bottom shows "Done" and "Local intranet".

4. The fields that are displayed on the screen are :
 - Available IFSD-NSCCL(CM/FO/CD/DEBT) in Rs. (Figures and words)
 - Requested IFSD-NSCCL (CM/FO/CD/DEBT) in Rs. (Figures and words).
5. The Transfer request amount should be less than or equal to the available amount. Requested amount shall be validated against minimum amount to be retained and minimum request amount. Minimum amount to be retained and minimum amount shall be prescribed by NSCCL.
6. User can transfer the IFSD-NSCCL (CM/FO/CD/DEBT) from one segment to another by selecting the segment where the IFSD-

NSCCL (CM/FO/CD/DEBT) is to be transferred from the drop down box.

To inquire/modify the Transfer request of IFSD-NSCCL (CM/FO/CD/DEBT)

1. User shall be able to inquire all IFSD-NSCCL requests submitted by him during the day and view the status of each.
2. Following status would be maintained for a request in various stages of processing
 - i. Pending (Request pending for processing)
 - ii. Processing (Request is being processed)
 - iii. Accepted (Request has been accepted and processed)
 - iv. Rejected (Request has been rejected)
 - v. Cancelled (Request has been cancelled by the user)
3. Through the below shown screen, user shall be allowed to modify only those requests having status as Pending
4. If a request is in a pending state the user cannot create a new request. However, the existing request can be modified or cancelled
5. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS **NEW**

Enter transfer request for CAPITAL MARKET

Collateral Type: SD - Security Deposit Mode: TRANSFER INQUIRE Instrument Type: IFSD-NSCCL-CM GO

Transfer	Requested Date	Available Amount(Rs)	Requested Amount(Rs)	Requested Amount(words)	TRF Seg	TRF CollType	Status
<input type="checkbox"/>	13-FEB-2014	990000.00	1000.00	Rupees One Thousand Only	X	SD	Request accepted. The same shall be processed subject to Ut

Modify Cancel Reset

BANK GUARANTEE (BGN) TRANSFER REQUEST/INQUIRY

To request for Transfer of Bank Guarantee

Log on to Collateral Interface for Members

Click the Collateral Release ->New Request/Inquiry menu on the navigation bar.

On user selecting mode as "TRANSFER REQUEST", Instrument Type as "BGN" and clicking on "GO" button the following screen will be displayed

Enter transfer request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit | Mode: TRANSFER REQUEST | Instrument Type: BGN | GO

Transfer BG Id	BG No.	Bank Name	Fungible	Maturity Date	Gross Amt	Blocked Amt	Net Amt	Net Amt in words	TRF Seg	TRF CollType
53166	00861FBG080418	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	100000000.00	0.00	100000000.00	Rupees One Crore Only	C	MD
53288	00861FBG080455	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	100000000.00	0.00	100000000.00	Rupees One Crore Only	C	MD
53289	00861FBG080454	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	100000000.00	0.00	100000000.00	Rupees One Crore Only	C	MD
53448	00861FBG100153	BANK OF INDIA, FORT-MUMBAI, MUMBAI	N	11-NOV-2013	100000000.00	0.00	100000000.00	Rupees One Crore Only	C	MD

SUBMIT RESET

User can transfer the Bank Guarantees from one segment to another by selecting the segment where the Bank Guarantee is to be transferred from the drop down box. The transfer segment and the transfer collateral type will be defaulted to the source segment and source collateral type. The member will have an option to change the defaulted values.

User can transfer the Bank Guarantees from one collateral type to another by selecting the collateral type where the Bank Guarantee is to be transferred from the drop down box. Members shall be required to use this screen for both Intra-segment (transfer within one segment) and Inter-segment (transfer across segments) transfers. After selecting the Bank Guarantees to be Transferred and clicking on SUBMIT button, the user will be prompted to confirm his requests.

To inquire/modify the Transfer request of Bank Guarantee

1. User can inquire all the Transfer requests for Bank Guarantees submitted by them during the day and view the status of each.

2. Following status would be maintained for a request in various stages of processing
 - i. Pending (Request pending for processing)
 - ii. Processing (Request is being processed)
 - iii. Accepted (Request has been accepted and processed)
 - iv. Rejected (Request has been rejected)
 - v. Cancelled (Request has been cancelled by the user)
3. Users will be allowed to cancel Bank Guarantees Transfer request with status as Pending through this screen.
4. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.

Collateral Interface for Members

Welcome : Segment: C-CM

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS

Enter transfer request for CAPITAL MARKET

Collateral Type: MD -- Margin Deposit Mode: TRANSFER INQUIRE Instrument Type: BGN GO

Transfer	BG Id	BG No.	Bank Name	Fungible	Maturity Date	Gross Amt	Blocked Amt	Net Amt	Net Amt in words	TRF Seq	TRF CollType	Status
	53156	00861F1BG080416	BANK OF INDIA,FORT-MUMBAI,MUMBAI	N	11-NOV-2013	1,00,00,00,00.00	0.00	10000000.00	Rupees One Crore Only	F	MD	Request accepted, the same shall be processed subject to u shall have to submit the transfer document for addit

Modify Cancel Reset

FIXED DEPOSITS (FDP) TRANSFER REQUEST/INQUIRY

To request for Transfer of Fixed Deposit Receipts

Log on to the Collateral Interface for Members.

Click the Collateral Release ->New Request/Inquiry menu on the navigation bar.

On user selecting mode as "TRANSFER REQUEST", Instrument Type as "FDP" and clicking on "GO" button the following screen will be displayed

Transfer	FD Id	FD No.	Bank Name	FD Type	Custodian Code	Maturity Date	Gross Amt	Blocked Amt	Net Amt	Net Amt in words	TRF Seg	TRF collType
<input type="checkbox"/>	173161	02403710000553	HDFC BANK LTD./C/O HDFC BANK,MUMBAI	BFD	HDFC	11-NOV-2008	50000000.00	0.00	50000000.00	Rupees Five Crore Only	C	MD
<input type="checkbox"/>	170167	05984460004637	HDFC BANK LTD./C/O HDFC BANK,MUMBAI	BFD	HDFC	16-MAV-2009	50000000.00	0.00	50000000.00	Rupees Fifty Lakh Only	C	MD
<input type="checkbox"/>	96082	004107000011343	IDBI BANK LTD./C/O SHCIL,MUMBAI	CFD	SHCIL	21-MAR-2010	75000000.00	0.00	75000000.00	Rupees Seven Lakh Fifty	C	MD

User can transfer the Fixed Deposits from one segment to another by selecting the segment where the FDR is to be transferred from the drop down box. The transfer segment and the transfer collateral type will be defaulted to the source segment and source collateral type. The member will have an option to change the defaulted values.

User can transfer the Fixed Deposits from one collateral type to another by selecting the collateral type where the FDR is to be transferred from the drop down box.

Members shall be required to use this screen for both Intra-segment (transfer within one segment) and Inter-segment (transfer across segments) transfers.

After selecting the Fixed Deposit Receipts to be Transferred and clicking on SUBMIT button, the user will be prompted to confirm his requests

To inquire/modify the Transfer request of Fixed Deposit Receipts

1. User can inquire all the Transfer requests for Fixed Deposit Receipts submitted by them during the day and view the status of each.

2. Following status would be maintained for a request in various stages of processing
 - i. Pending (Request pending for processing)
 - ii. Processing (Request is being processed)
 - iii. Accepted (Request has been accepted and processed)
 - iv. Rejected (Request has been rejected)
 - v. Cancelled (Request has been cancelled by the user)
3. Users will be allowed to cancel Fixed Deposit Receipts Transfer request with status as Pending through this screen.
4. All the accepted, rejected and cancelled requests during the day would be available to user for inquiry.

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COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: C-CM

Collateral Release EMI ADM/CPC Blocking ADM/CPC Replacement Client Margins Cross Margins SMS Reports OFS

Enter transfer request for CAPITAL MARKET

Collateral Type: MD - Margin Deposit Mode: TRANSFER INQUIRE Instrument Type: FDP GO

Transfer	FD Id	FD No.	Bank Name	FD Type	Custodian Code	Maturity Date	Gross Amt	Blocked Amt	Net Amt	Net Amt in words	TRF Seg	TRF CollType	Status
<input type="checkbox"/>	173161	02403710000555	HDFC BANK LTD./C/O HDFC BANK,MUMBAI	BFD	HDFC	11-NOV-2008	50000000.00	0.00	50000000.00	Rupees Five Crore Only	C	SD	Request accepted. The same shall be p Utilization.

Rupees Five Crore Only

Modify Cancel Reset

Chapter**5**

Release Request through File Upload

In this chapter, you will learn how to:

Upload File for Release requests

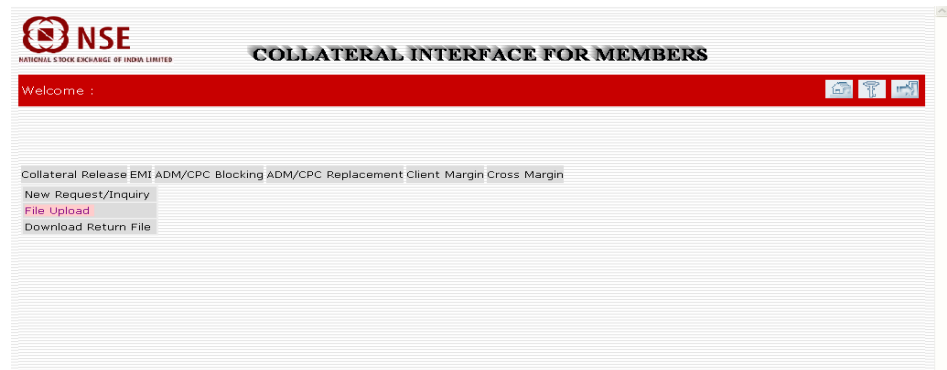
Download Return Files

COLLATERAL RELEASE REQUEST THROUGH FILE UPLOAD

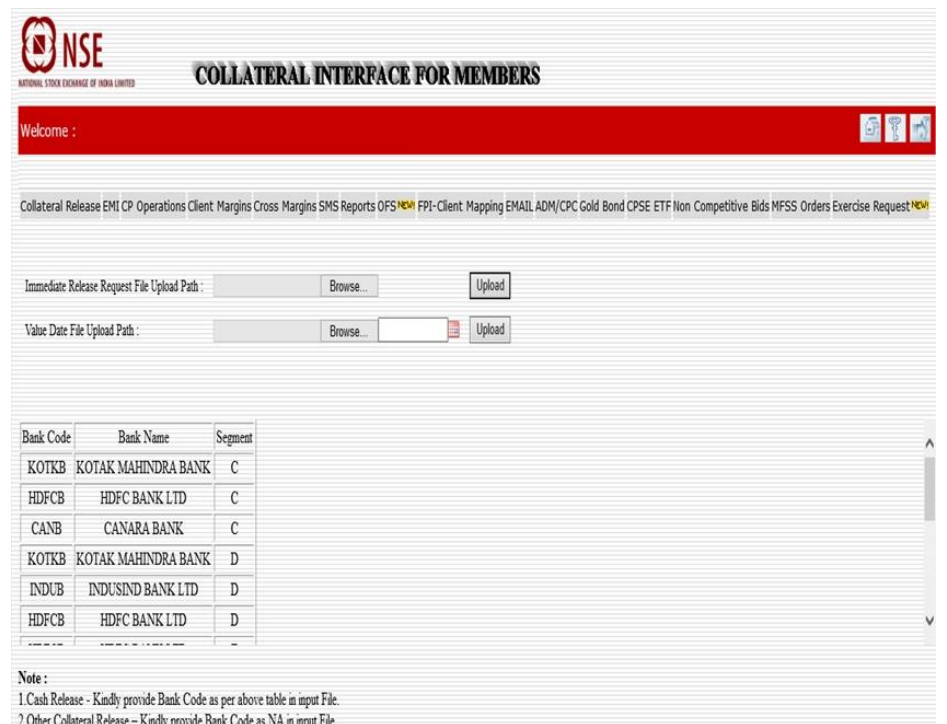
Using this option, you can upload a file

To upload the Release Request file

1. Log on to Collateral Interface for Member.
2. Click the Collateral->File Upload menu.



3. Screen will display as follows.



Note:

1. Cash Release - Kindly provide Bank Code as per above table in input File.
2. Other Collateral Release – Kindly provide Bank Code as NA in input File.

In case of Value Date, Click on Browse button for Value Date File Upload Path and select the date and click on upload button.

The naming convention for the file to be uploaded will be as under:

Capital Market Segment: C_RRQ_ddmmyyyy_xx.csv.

Futures & Option Segment: F_RRQ_ddmmyyyy_xx.csv

Currency Derivatives: X_RRQ_ddmmyyyy_xx.csv

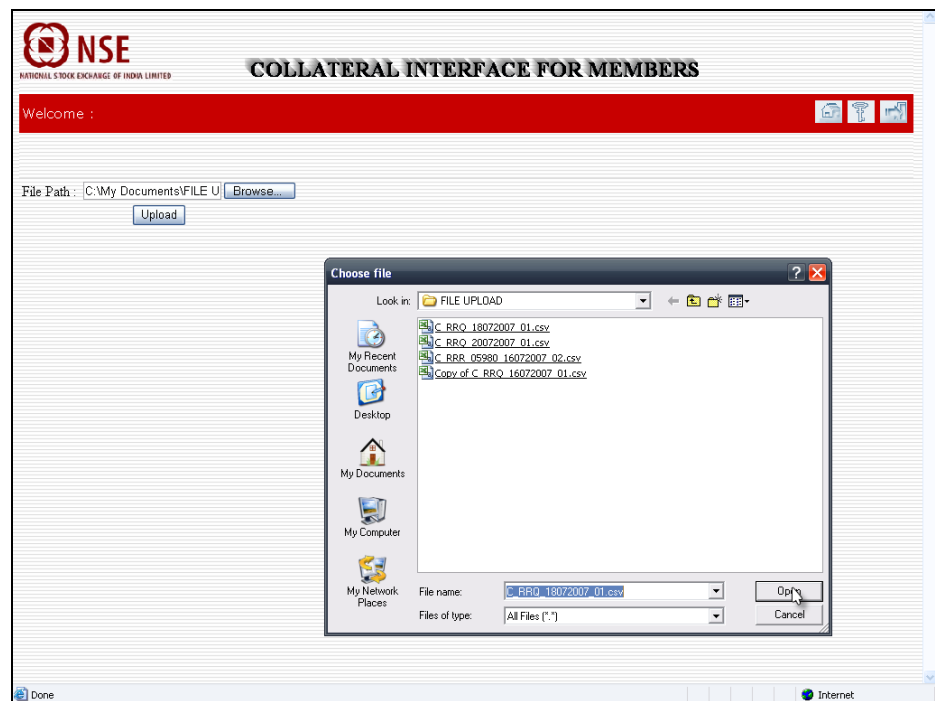
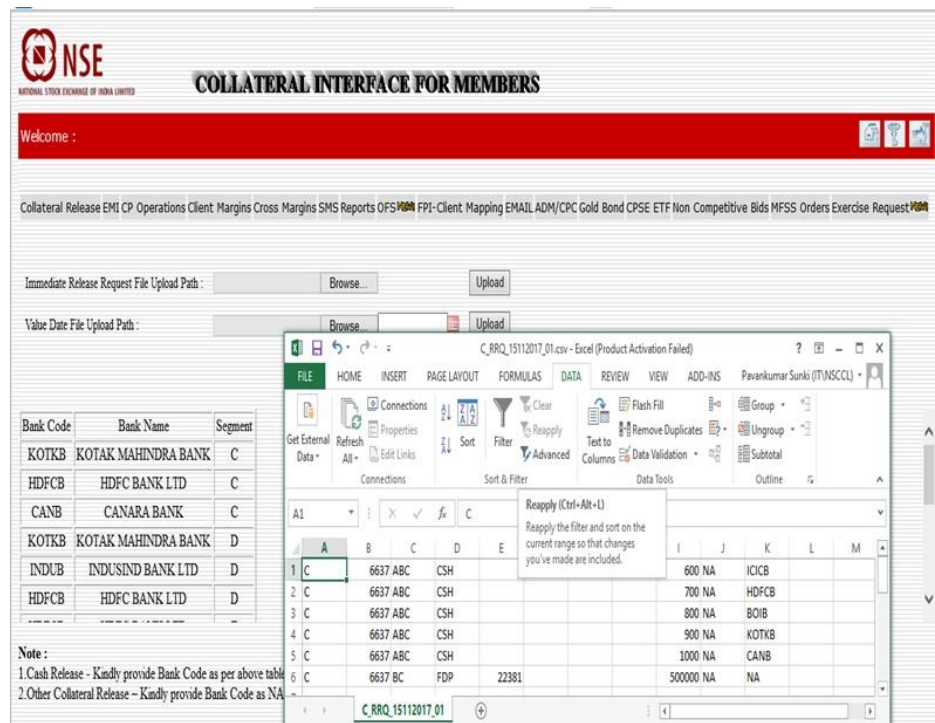
Debt: D_RRQ_ddmmyyyy_xx.csv

SLB: S_RRQ_ddmmyyyy_xx.csv.

Where ddmmyyyy = Current Date and xx = Batch No. (01 to 99)

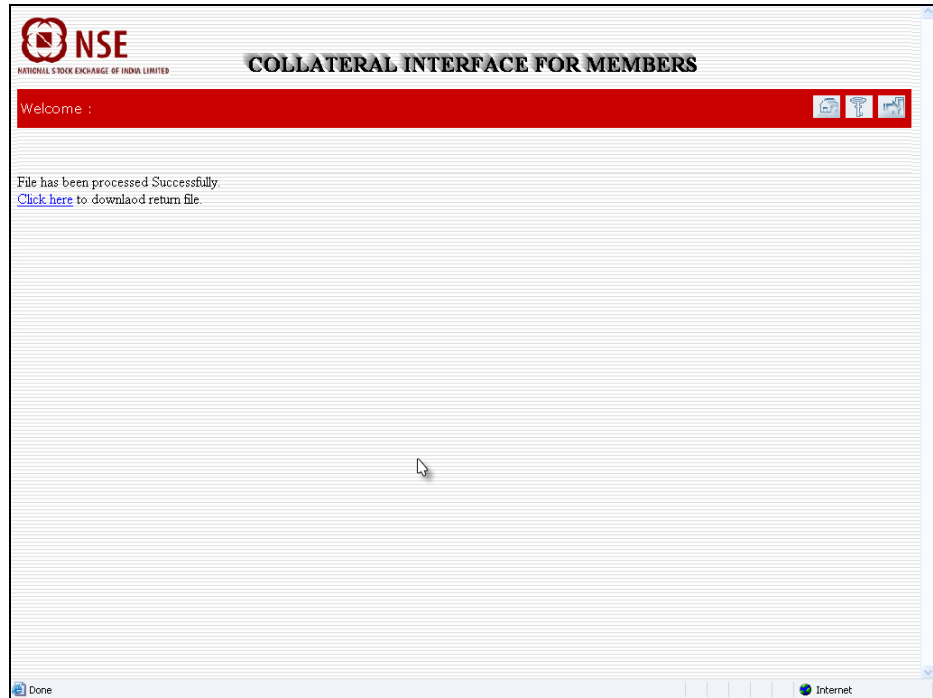
The file should be CSV file with the following *columns*

Segment Indicator	C/F/X/D/S
Member Code	5 digit member code for CM/ Trading Member/custodian participant code for SLB / CMID for F&O
Type of Collateral	BC /ABC (ABC for SLB)
Instrument Type	CHQ/BGN/FDP/SDP/NMF/CMF/GSE
Instrument Id	Applicable only for BGN/FDP
Custodian code	To be provided only in case of SDP/CMF/NMF/GSE (Blank for SLB)
Security symbol	To be provided only in case of SDP/CMF/NMF/GSE(Blank for SLB)
Requested Quantity	To be provided only in case of SDP/CMF/NMF/GSE(Blank for SLB)
Requested amount	To be provided only in case of BGN/FDP/CHQ in Rs. In case of SDP/CMF/NMF/GSE it should be typed as NA.
ISIN	Mandatory in case of SDP (Corporate Bonds), OMF & GMF. NA for rest.
Bank Code	Mandatory for instrument type CHQ. NA for rest.



1. Click the **Browse** button to browse for the desired file and after selection of the appropriate file click on **Upload** to upload the file.

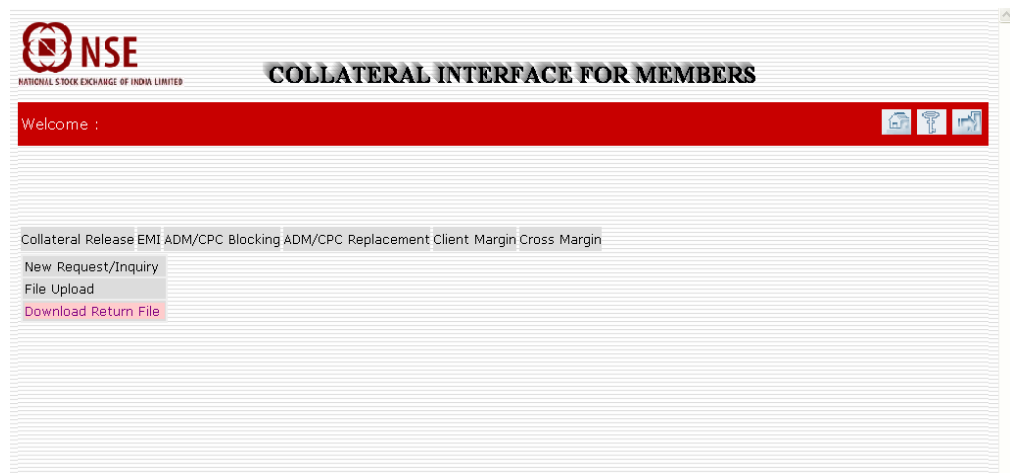
2. Collateral Interface for Members displays the **File Upload Status** screen as shown in the following figure



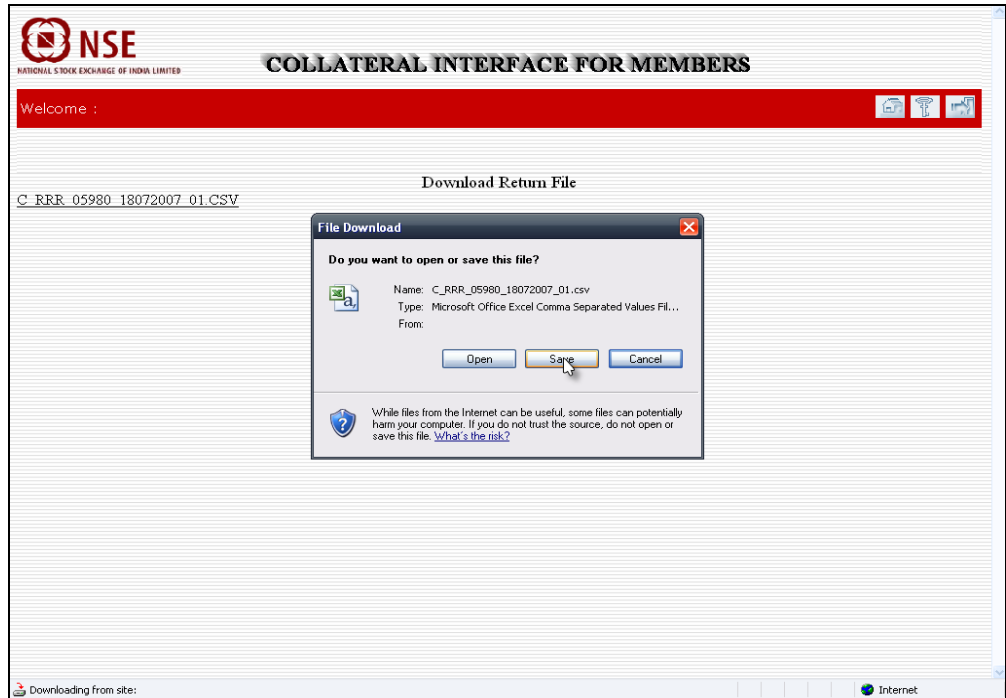
To Download a Release Return File

Upon loading of the file a facility has been given to download the return file.

6. Log on to Collateral Interface for Member.
7. Click the Collateral Release ->Download Return File



- 8. All files which are successfully uploaded generate a return file which can be downloaded as shown



Chapter**6**

Request for Blocking

In this chapter, you will learn how to:

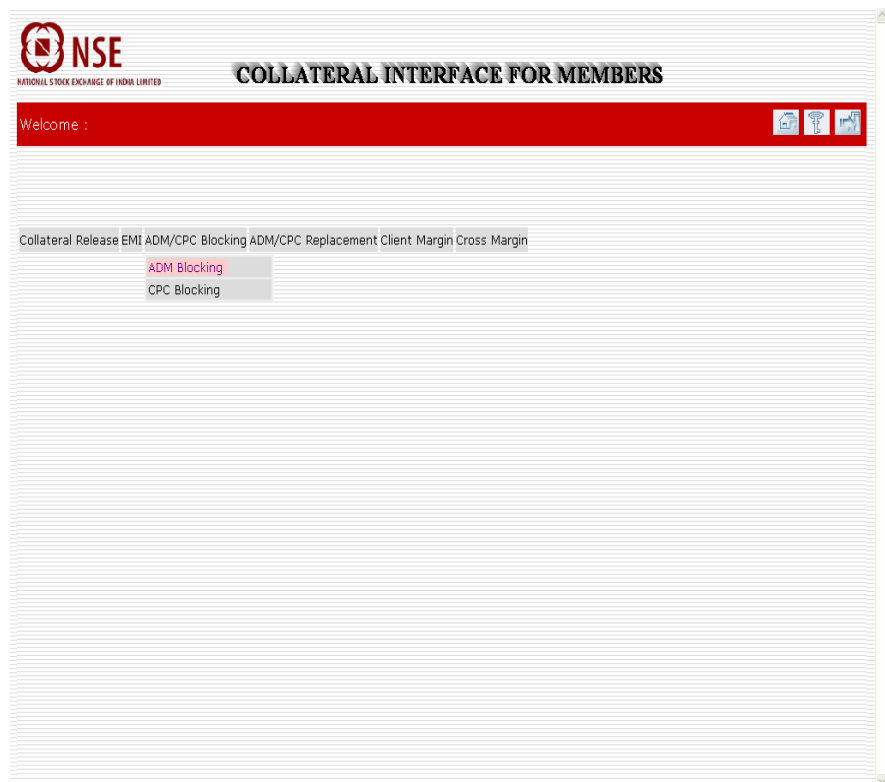
Make request for blocking towards Additional Margin (ADM)/Capital Cushion (CPC) requirement

REQUEST FOR ADM BLOCKING

Using this option, you can request for ADM blocking

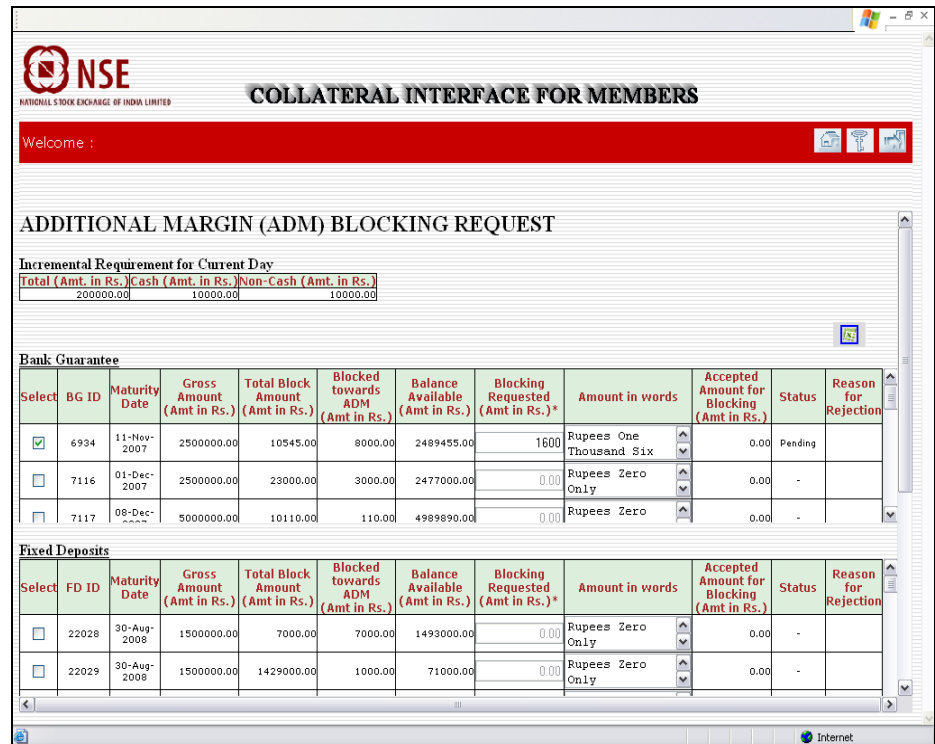
To Request for ADM (Additional Margin) Blocking

1. Log on to Collateral Interface for Member.
2. Click the ADM/CPC Blocking->ADM Blocking on the navigation bar.
3. Collateral Interface for Members displays the blocking request screen for Additional Margin as shown in the following figure.

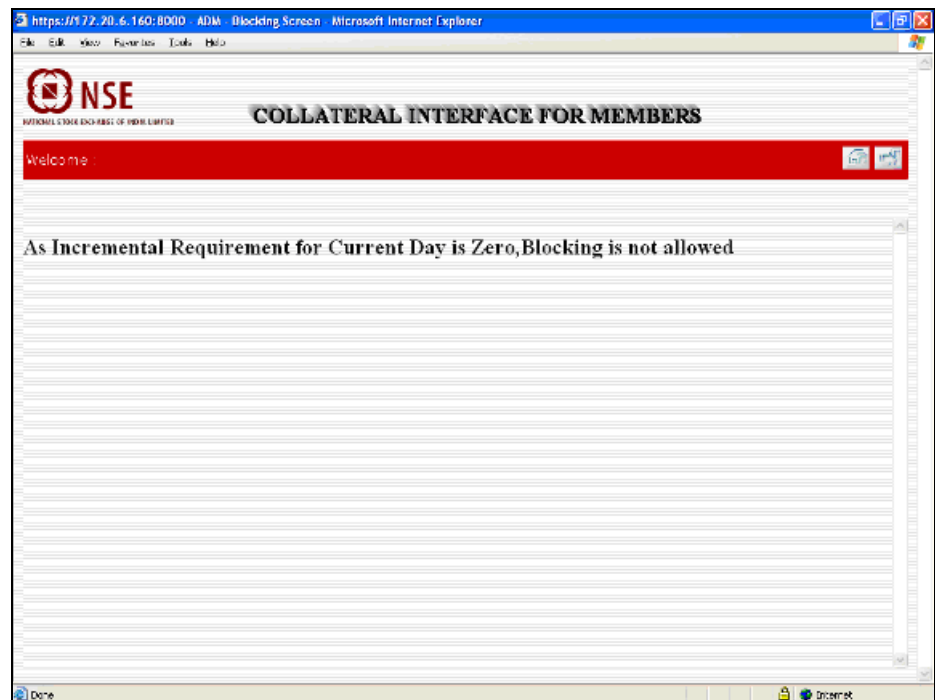


4. The Incremental requirement for Current Day shows the :
 1. Amount to be provided by the member towards additional margin
 2. Minimum additional cash required to be provided by the member (i.e. Cash Amount)
 3. Balance amount to be provided by the member which may be in the form of FD/BG or Cash (i.e. Non-Cash Amount)

- These details shall be displayed in the ADM blocking screen only when the incremental requirement for the current day is greater than zero



- If the member has no incremental requirement then the following screen shall be displayed



7. Matured instruments will be displayed with a yellow background
8. Instruments from which full amount has been blocked will be displayed with a grey background
9. In case a replacement request or release request is pending for a particular instrument that instrument shall not be available for blocking.
10. To request for blocking of Additional Margins, the user shall select the instrument to be blocked and enter the amount to be blocked from the instrument.
11. Instruments maturing on the same day or before next working day shall not be available for blocking. i.e. Blocking request for the instrument maturing on the same day/Saturday/Sunday/Trading holiday is not allowed.
12. The total amount requested for blocking across all instruments cannot be more than the non-cash amount required for the day.
13. Following status would be maintained for a request in various stages of processing
 - a. Pending (Request pending for processing)
 - b. Processing (Request is being processed)
 - c. Accepted (Request has been accepted and processed)
 - d. Rejected (Request has been rejected)
14. A table is provided which displays the total block requested amount across all instruments.

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COLLATERAL INTERFACE FOR MEMBERS

Welcome :

FD Deposits

Select	FD ID	Maturity Date	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards ADM (Amt in Rs.)	Balance Available (Amt in Rs.)	Blocking Requested (Amt in Rs.) *	Amount in words	Accepted Amount for Blocking (Amt in Rs.)	Status	Reason for Rejection
<input type="checkbox"/>	22028	30-Aug-2008	1500000.00	7000.00	7000.00	1493000.00	0.00	Rupees Zero Only	0.00	-	
<input type="checkbox"/>	22029	30-Aug-2008	1500000.00	1429000.00	1000.00	71000.00	0.00	Rupees Zero Only	0.00	-	
<input type="checkbox"/>	22030	30-Aug-2008	1500000.00	1000.00	1000.00	1499000.00	0.00	Rupees Zero	0.00	-	
Total			85232200.00	1775009.00	91230.00	83457191.00	1600.00	Rupees One Thousand Six	0.00		

Balance Required in Cash : Rs. 8400.00

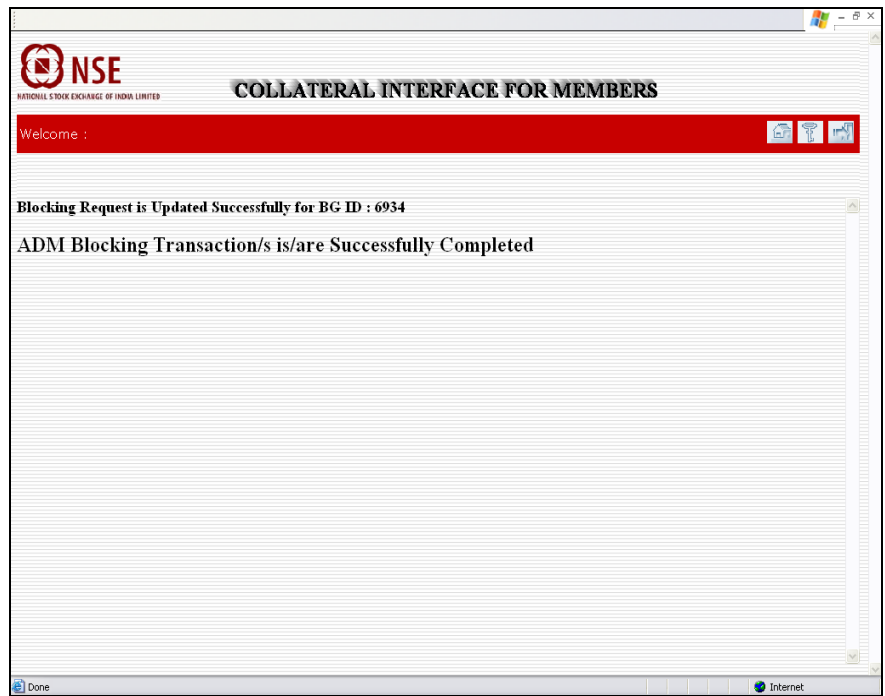
Cash Debit Requested for Additional Margin : Rs. 0.00 Rupees Zero Only

Cash Debit Request Accepted for Additional Margin : Rs. 0.00 Rupees Zero Only

Submit Reset

* Blocking request from BG & FDR will be accepted subject to check related to utilization

15. The balance amount i.e. Non-cash requirement – total amount requested for blocking shall be populated as balance required in “Balance required in cash” field.
16. The user should enter the amount to be provided as cash in the “cash debit requested for additional margin” field. The amount cannot be greater than the balance required in cash amount
17. To save the request for the day the user should click on the submit button. Following confirmation message, shall be displayed

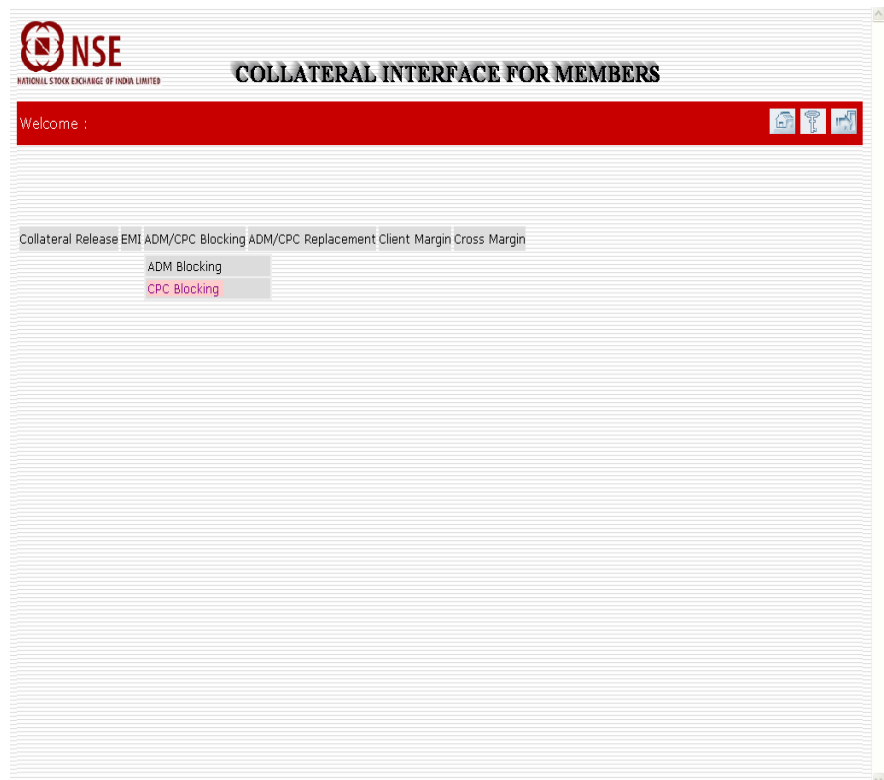


REQUEST FOR CPC BLOCKING

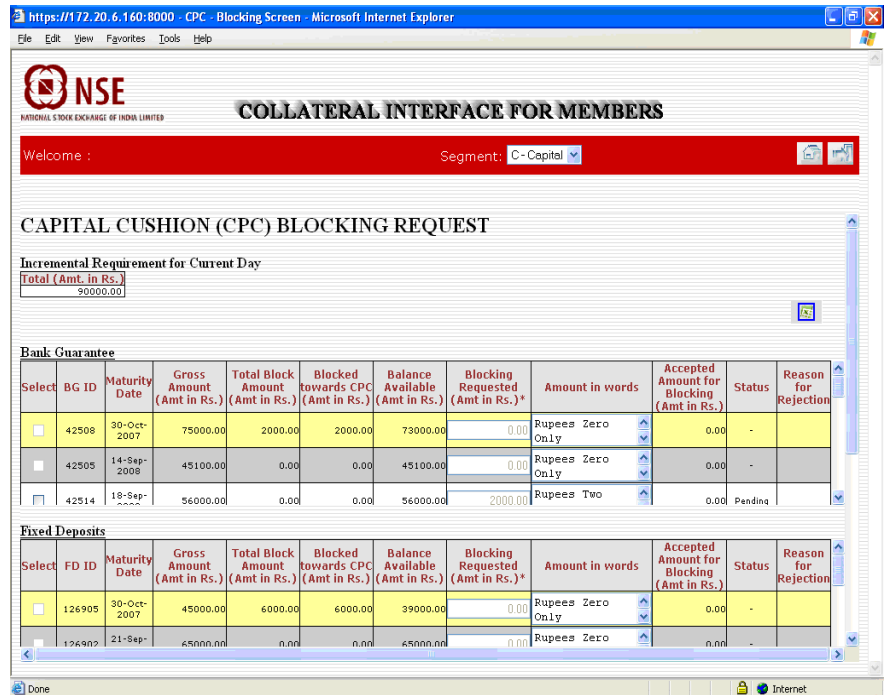
Using this option, user can request instruments to be blocked towards capital cushion requirement

To Request for CPC Blocking

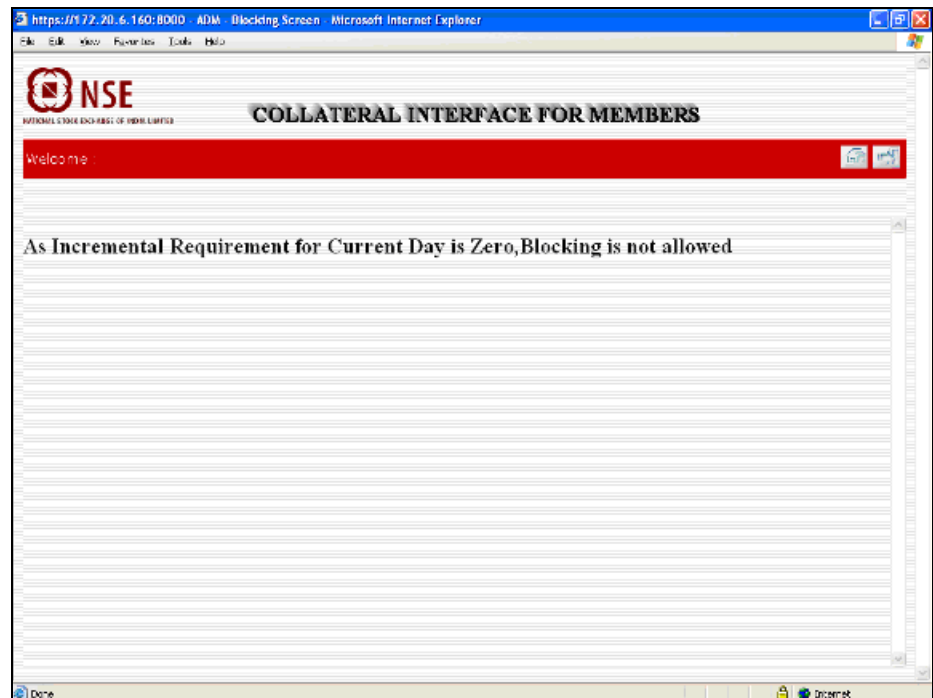
1. Log on to Collateral Interface for Member.
2. Click the ADM/CPC Blocking->CPC Blocking on the navigation bar.
3. Collateral Interface for Members displays the blocking request screen for CPC is as shown in the following figure.



4. Depending on the segment for which member is registered with exchange; a dropdown list of segment option shall be displayed.
5. The Incremental Requirement for Current Day is displayed which shows the requirement for the day.



6. If the member has no incremental requirement then the following screen shall be displayed



7. Matured instruments will be displayed with a yellow background

8. Instruments from which full amount has been blocked will be displayed with a grey background
9. In case a replacement request or release request is pending for a particular instrument that instrument shall not be available for blocking.
10. To request for blocking for Capital Cushion (CPC), the user shall select the instrument to be blocked and enter the amount to be blocked from the instrument.
11. Instruments maturing on the same day or before next working day shall not be available for blocking. i.e. Blocking request for the instrument maturing on the same day/Saturday/Sunday/Trading holiday is not allowed.
12. The total amount requested for blocking across all instruments cannot be more than the capital cushion requirement.
13. Following status would be maintained for a request in various stages of processing
 - a. Pending (Request pending for processing)
 - b. Processing (Request is being processed)
 - c. Accepted (Request has been accepted and processed)
 - d. Rejected (Request has been rejected)
14. A table is provided which displays the total block requested amount across all instruments.

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COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: C-Capital

Select	FD ID	Maturity Date	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards CPC (Amt in Rs.)	Balance Available (Amt in Rs.)	Blocking Requested (Amt in Rs.)*	Amount in words	Accepted Amount for Blocking (Amt in Rs.)	Status	Reason for Rejection
<input type="checkbox"/>	50679	02-Jan-2008	300000.00	0.00	0.00	300000.00	0.00	Rupees Zero Only	0.00	-	
<input type="checkbox"/>	50738	14-Jul-2008	20000.00	0.00	0.00	20000.00	0.00	Rupees Zero Only	0.00	-	
<input type="checkbox"/>	50739	14-Jul-2008	30000.00	0.00	0.00	30000.00	0.00	Rupees Zero Only	0.00	-	
Total			730000.00	25910.00	20310.00	704090.00	1500.00	Rupees One Thousand Five	0.00		

Balance Required in Cash Rs. 500.65

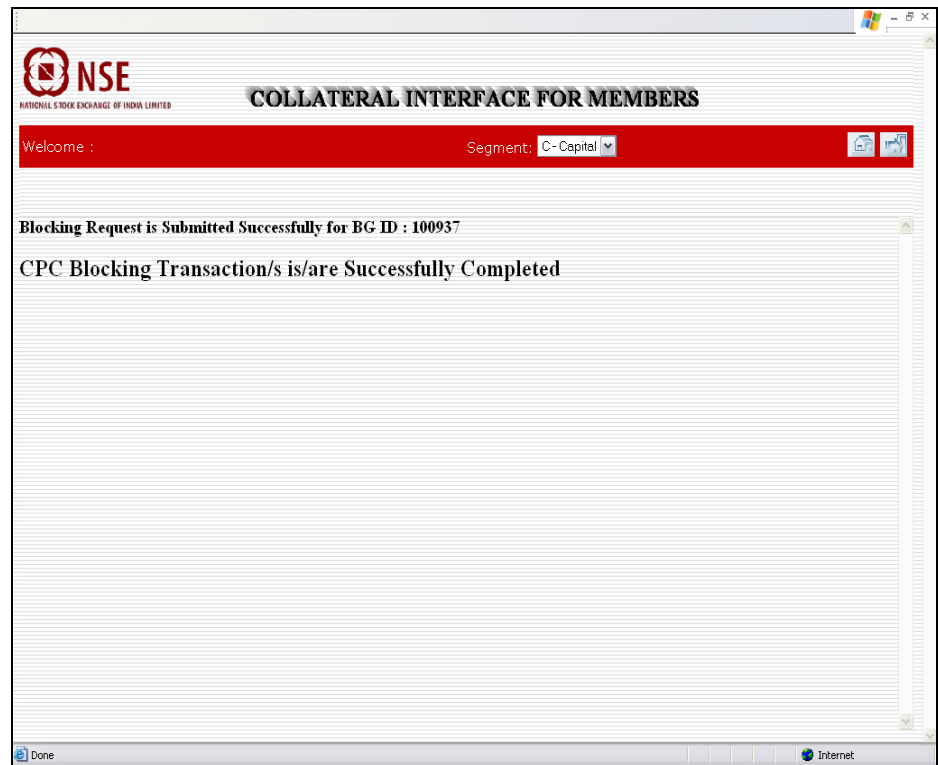
Cash Debit Requested for Capital Cushion : Rs. 0.00 Rupees Zero Only

Cash Debit Request Accepted for Capital Cushion : Rs. 0.00 Rupees Zero Only

Submit Reset

* Blocking request from BG & FDR will be accepted subject to check related to utilization

15. The balance amount i.e. CPC requirement – total amount requested for blocking shall be populated as “balance required in cash” field.
16. The user should enter the amount to be provided as cash in the “cash debit requested for capital cushion” field. The amount cannot be greater than the balance required in cash amount
17. To save the request for the day the user should click on the submit button. Following confirmation message, shall be displayed



Chapter**7**

Request for Replacement

In this chapter, you will learn how to:

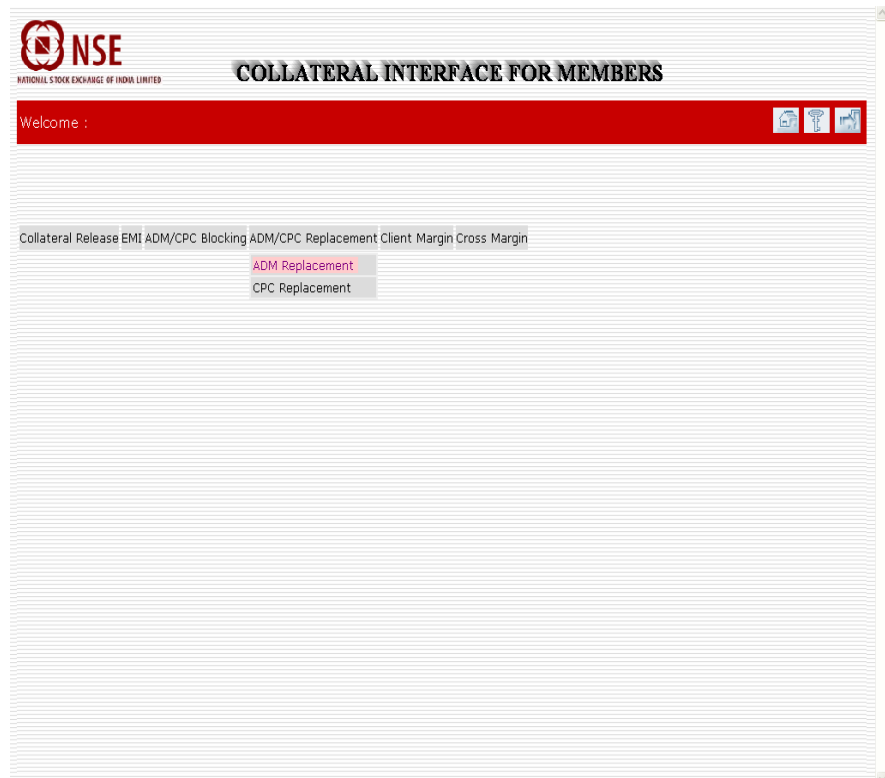
Make request for replacement of instruments provided towards
Additional Margin (ADM)/Capital Cushion (CPC)

REQUEST FOR ADM REPLACEMENT

Using this option, you can request for replacement of instruments blocked towards additional margins

To Request for Additional Margin Replacement

1. Log on to Collateral Interface for Member.
2. Click the ADM/CPC Replacement->ADM Replacement on the navigation bar
3. Collateral Interface for Members displays the blocking replacement request screen for ADM is as shown in the following figure.



4. With the help of this screen you can make request for Replacement of BG/FD/CASH. ADM replacement screen is only available when there is some amount blocked in the form of BG/FD/cash towards ADM.
5. The Additional Margin Replacement request screen shows the following columns:
 - a. Total Cash provided towards ADM (Amt. in Rs.)

- b. Minimum Cash required (Amt. in Rs.)
- c. Cash which can be replaced (Amt. in Rs.)

ADDITIONAL MARGIN (ADM) REPLACEMENT REQUEST

Total Cash provided towards ADM (Amt. in Rs.)	Minimum cash required (Amt. in Rs.)	Cash which can be replaced (Amt. in Rs.)
100000.00	10000.00	90000.00

Bank Guarantee

Select	BG ID	Maturity Date	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards ADM (Amt in Rs.)	Balance Available (Amt in Rs.)	Unblocking Requested (Amt in Rs.)*	Blocking Requested (Amt in Rs.)	Accepted Amount for Blocking (Amt in Rs.)	Status	Reason for Rejection
<input type="checkbox"/>	6934	11-Nov-2007	2500000.00	12145.00	9600.00	2487855.00	0.00	3200.00	3000.00	Accepted	
<input checked="" type="checkbox"/>	7116	01-Dec-2007	2500000.00	23000.00	3000.00	2477000.00	1200	0.00	0.00	-	
<input type="checkbox"/>	7117	08-Dec-2007	5000000.00	10110.00	110.00	4989890.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	7170	11-Dec-2007	2900000.00	110.00	110.00	2499890.00	0.00	0.00	0.00	-	

Fixed Deposits

Select	FD ID	Maturity Date	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards ADM (Amt in Rs.)	Balance Available (Amt in Rs.)	Unblocking Requested (Amt in Rs.)*	Blocking Requested (Amt in Rs.)	Accepted Amount for Blocking (Amt in Rs.)	Status	Reason for Rejection
<input type="checkbox"/>	22028	30-Aug-2008	1500000.00	7000.00	7000.00	1493000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	22029	30-Aug-2008	1500000.00	1429000.00	1000.00	71000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	22030	30-Aug-2008	1500000.00	1000.00	1000.00	1499000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	22031	30-Aug-2008	1500000.00	0.00	0.00	1500000.00	0.00	0.00	0.00	-	

Select	FD ID	Maturity Date	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards ADM (Amt in Rs.)	Balance Available (Amt in Rs.)	Unblocking Requested (Amt in Rs.)*	Blocking Requested (Amt in Rs.)	Accepted Amount for Blocking (Amt in Rs.)	Status	Reason for Rejection
<input type="checkbox"/>	22030	30-Aug-2008	1500000.00	1000.00	1000.00	1499000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	22031	30-Aug-2008	1500000.00	0.00	0.00	1500000.00	0.00	0.00	0.00	-	
Total			85222200.00	1776609.00	92830.00	83455591.00	1200.00	3200.00	3000.00		

Cash Debit Required : Rs.

Cash Debit Requested for Additional Margin **: Rs. Rupees Zero Only

Cash Debit Request Accepted for Additional Margin : Rs. Rupees Zero Only

Cash Release Available : Rs.

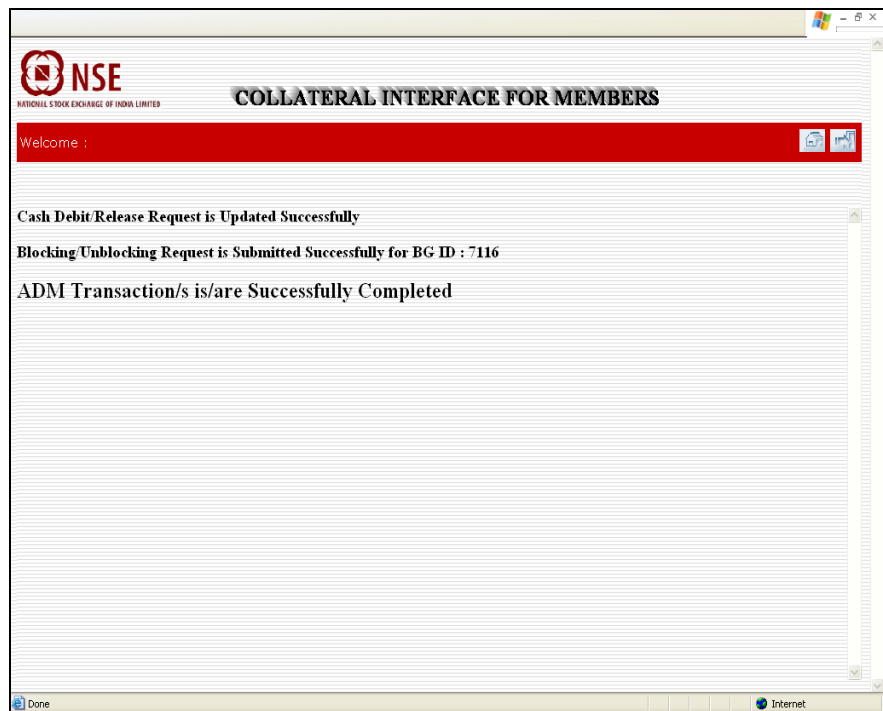
Cash Release Requested for Additional Margin : Rs. Rupees Three Thousand Two Hundred Only

Cash Release Request Accepted for Additional Margin : Rs. Rupees Zero Only

*In case of cash release request, blocking request from BG & FDR will be accepted subject to check related to utilization.
 **All Cash debit requests will be accepted subject to bank confirmation.

6. With the help of this screen you can request for replacement of any blocked BG/FD with any other BG/FD or cash. The three possible scenarios are:

- a. Replacement of BG/FD with any other BG/FD
 - b. Replacement of BG/FD with Cash
 - c. Replacement of Cash with BG/FD.
7. Matured instruments will be displayed with a yellow background
8. Instruments from which full amount has been blocked will be displayed with a grey background
9. Instruments maturing on the same day or before next working day shall not be available for blocking. i.e. Blocking request for the instrument maturing on the same day/Saturday/Sunday/Trading holiday is not allowed.
10. For a particular BG/FD, for a day, there can be an additional margin blocking or unblocking request but not both.
11. When the Total Blocking Amount requested across all Instruments (i.e. BG and FD) is more than the Total Unblocking Amount across all Instruments (i.e. BG and FD), then Required Cash Release will be displayed in the "Cash Release Requested" field. The Cash Release requested must to equal to the Cash Release Available.
12. In case of Cash Release Request, Blocking request from BG and FD will be accepted subject to check related to utilization.
13. When the Total Blocking Amount requested across all Instruments (i.e. BG and FD) is less than the Total Unblocking Amount across all Instruments (i.e. BG and FD), then Required Cash Debit will be displayed in the "Cash Debit Required" field. The Cash Debit Requested must to equal to the Cash Debit Required.
14. All Cash Debits requests will be accepted subject to confirmation from the respective Banks.
15. To save the request for the day the user should click on the submit button. Following confirmation message, shall be displayed

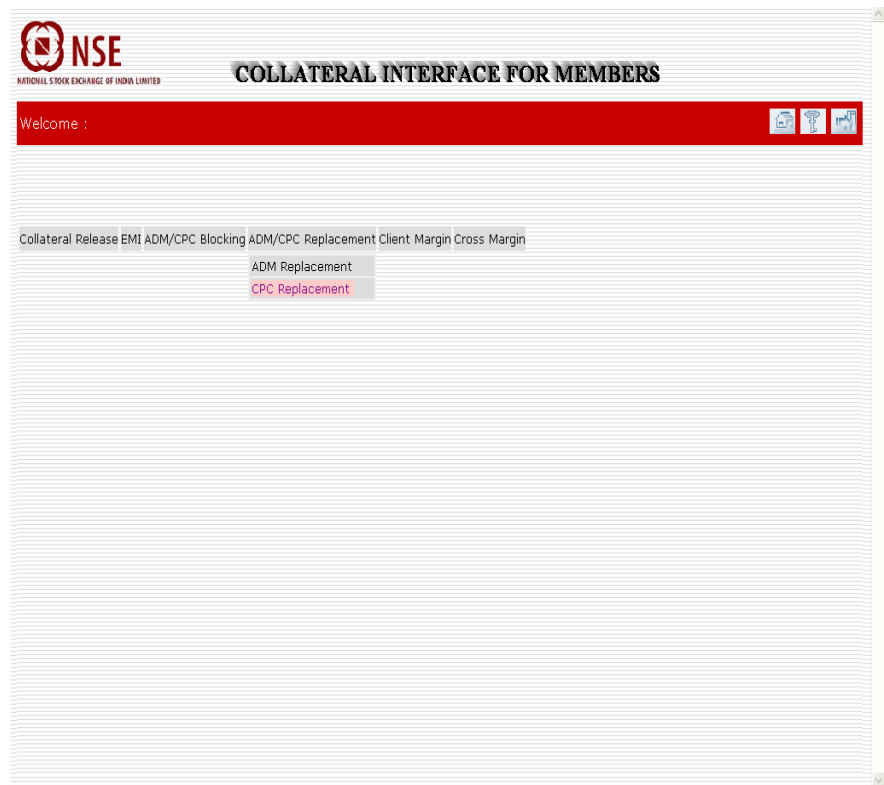


REQUEST FOR CPC REPLACEMENT

Using this option, you can request for replacement of instruments blocked towards capital cushion

To Request for CPC Replacement

1. Log on to Collateral Interface for Member.
2. Click the ADM/CPC Replacement->CPC Replacement on the navigation bar
3. Collateral Interface for Members displays the blocking request replacement screen for CPC is as shown in the following figure.



4. With the help of this screen you can make request for Replacement of BG/FD/CASH. CPC replacement screen is only available when there is some amount blocked in the form of BG/FD/cash towards ADM.
5. The CPC Replacement request screen is shown in the following figure:

COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: C-Capital

CAPITAL CUSHION (CPC) REPLACEMENT REQUEST

Total Cash provided towards CPC (Amt. in Rs.) 2000000.00

Bank Guarantee

Select	BG ID	Maturity Date	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards CPC (Amt in Rs.)	Balance Available (Amt in Rs.)	Unblocking Requested (Amt in Rs.)	Blocking Requested (Amt in Rs.)*	Accepted Amount for Blocking/Unblocking (Amt in Rs.)	Status	Reason for Rejection
<input type="checkbox"/>	100937	14-Jul-2008	20000.00	11110.00	11110.00	8890.00	1500.00	0.00	0.00	Pending	
<input checked="" type="checkbox"/>	100938	14-Jul-2008	30000.00	0.00	0.00	30000.00	0.00	1000	0.00	-	
<input type="checkbox"/>	100939	14-Jul-2008	30000.00	0.00	0.00	30000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	100940	14-Jul-2008	50000.00	0.00	0.00	50000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	100959	26-Oct-2008	10000.00	600.00	0.00	9400.00	0.00	0.00	0.00	-	

Fixed Deposits

Select	FD ID	Maturity Date	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards CPC (Amt in Rs.)	Balance Available (Amt in Rs.)	Unblocking Requested (Amt in Rs.)	Blocking Requested (Amt in Rs.)*	Accepted Amount for Blocking/Unblocking (Amt in Rs.)	Status	Reason for Rejection
<input type="checkbox"/>	50679	02-Jan-2008	300000.00	0.00	0.00	300000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	50738	14-Jul-2008	20000.00	0.00	0.00	20000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	50739	14-Jul-2008	30000.00	0.00	0.00	30000.00	0.00	0.00	0.00	-	
<input type="checkbox"/>	50740	14-Jul-2008	40000.00	0.00	0.00	40000.00	0.00	0.00	0.00	-	

COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: C-Capital

	Gross Amount (Amt in Rs.)	Total Block Amount (Amt in Rs.)	Blocked towards CPC (Amt in Rs.)	Balance Available (Amt in Rs.)	Unblocking Requested (Amt in Rs.)	Blocking Requested (Amt in Rs.)*	Accepted Amount for Blocking/Unblocking (Amt in Rs.)
Total	730000.00	32410.00	26810.00	697590.00	1500.00	1000.00	0.00

Cash Debit Required : Rs. 500.00

Cash Debit Requested for Capital Cushion ** : Rs. 500 Rupees Five Hundred Only

Cash Debit Accepted for Capital Cushion : Rs. 0.00 Rupees Zero Only

Cash Release Available : Rs. 0.00

Cash Release Requested for Capital Cushion : Rs. 0.00 Rupees Zero Only

Cash Release Request Accepted for Capital Cushion : Rs. 0.00 Rupees Zero Only

Submit Reset

*In case of cash release request, blocking request from BG & FDR will be accepted subject to check related to utilization.
 **All Cash debit requests will be accepted subject to bank confirmation.

6. With the help of this screen you can request for replacement of any blocked BG/FD with any other BG/FD or cash. The three possible scenarios are:
 - a. Replacement of BG/FD with any other BG/FD
 - b. Replacement of BG/FD with Cash
 - c. Replacement of Cash with BG/FD.

7. Matured instruments will be displayed with a yellow background
8. Instruments from which total amount has been blocked will be displayed with a grey background
9. Instruments maturing on the same day or before next working day shall not be available for blocking, i.e. Blocking request for the instrument maturing on the same day/Saturday/Sunday/Trading holiday is not allowed.
10. For a particular BG/FD, for a day, there can be a capital cushion blocking or unblocking request but not both.
11. When the Total Blocking Amount requested across all Instruments (i.e. BG and FD) is more than the Total Unblocking Amount across all Instruments (i.e. BG and FD), then Required Cash Release will be displayed in the "Cash Release Requested" field. The Cash Release requested must to equal to the Cash Release Available.
12. In case of Cash Release Request, Blocking request from BG and FD will be accepted subject to check related to utilization.
13. When the Total Blocking Amount requested across all Instruments (i.e. BG and FD) is less than the Total Unblocking Amount across all Instruments (i.e. BG and FD), then Required Cash Debit will be displayed in the "Cash Debit Required" field. The Cash Debit Requested must to equal to the Cash Debit Required.
14. All Cash Debits requests will be accepted subject to confirmation from the respective Banks.
15. To save the request for the day the user should click on the submit button. Following confirmation message, shall be displayed



Chapter**8**

Securities EPI File Upload

In this chapter, you will learn how to:

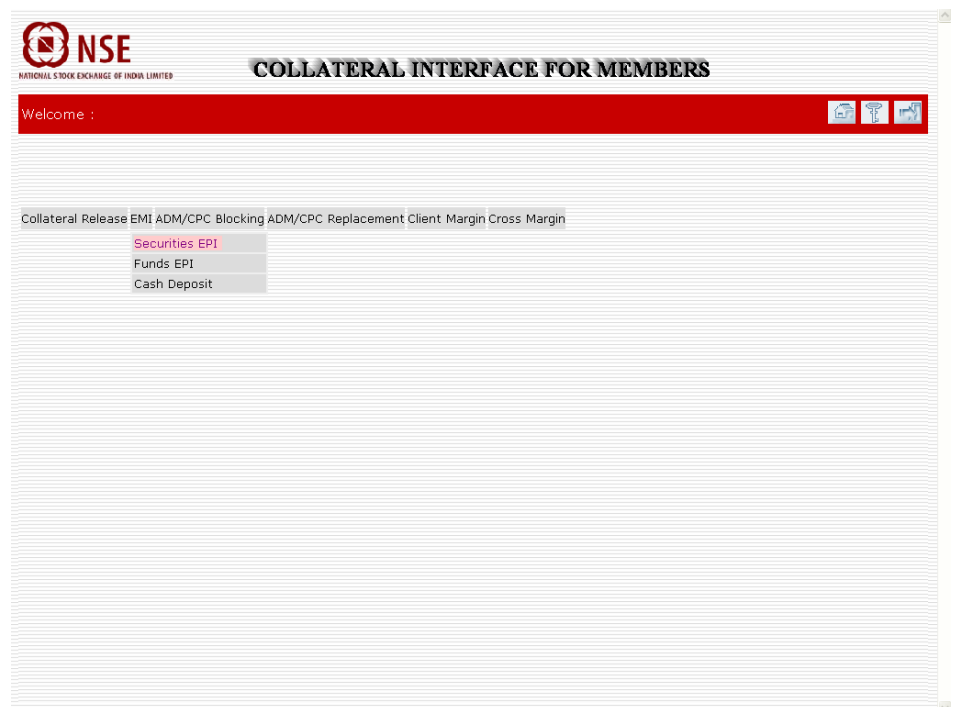
Upload File for Securities EPI

SECURITIES EPI FILE UPLOAD

Using this option, user may upload a file for client level early pay-in of securities

To upload Securities EPI file

1. Log on to Collateral Interface for Member.
2. Click the EMI->Securities EPI File Upload menu.



3. The file naming convention :

CM: CLNTEPI_YYYYMMDD.Tnn

DEBT: CLNTEPI_R_YYYYMMDD.Tnnn

SLB (First Leg): CLNTEPI_L_YYYYMMDD.Tnn

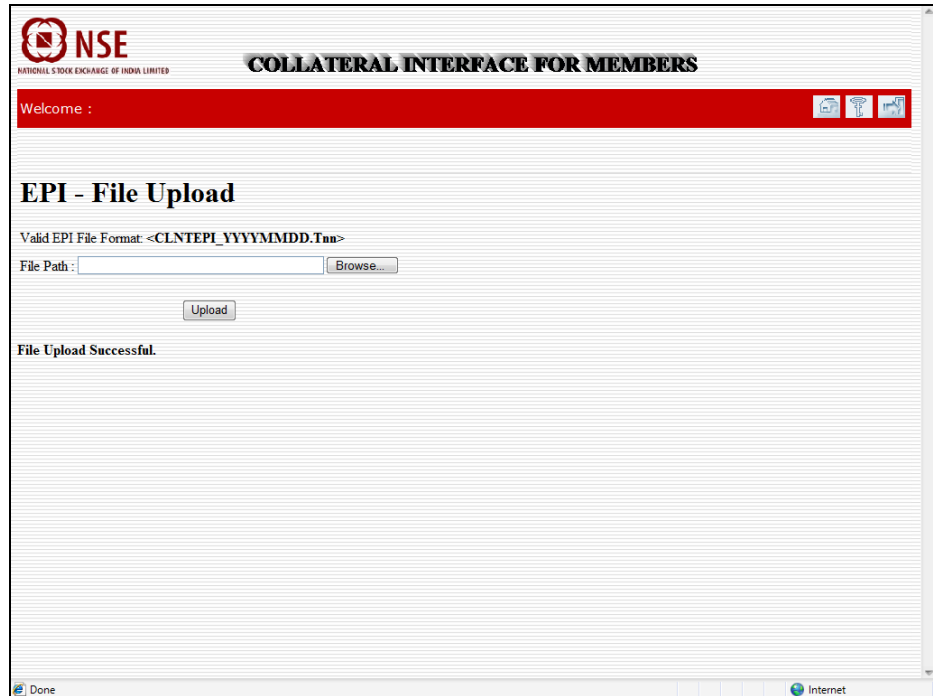
SLB (Reverse Leg): CLNTEPI_S_YYYYMMDD.Tnn

Where YYYYMMDD = Current Date

nn = Batch No. (01 to 99)

nnn = Batch No. (001 to 999)

4. The maximum size of file that can be uploaded should not exceed 1.5 MB.
5. Click the **Browse** button to browse for the desired file and after selection of the appropriate file Click on **Upload** to upload the file.



Chapter**9**

FUNDS EPI

In this chapter, you will learn how to:

Make Request for Funds Early pay-in and Allocation of Funds Early pay-in and Upload of Funds Early pay-in File.

FUNDS EPI

Using this option, user may place the early pay-in funds requests through front end as well as allocate the same through front end or file upload.

- There will be 2 distinct interfaces for the members
 - Early Payin of Funds
 - Allocation of Funds

Request for early pay-in funds

1. Log on to Collateral Interface for Member.
2. Click the EMI->Funds EPI menu.

The screenshot displays the 'Funds EPI' interface. At the top, there is a navigation bar with 'Request' and 'Allocation' tabs. Below this, the 'Funds Request' section contains a form with the following fields: 'Stp Type' (dropdown menu set to 'N'), 'Stp No.' (dropdown menu set to '2008002'), 'Bank' (text input field), and 'EPI Amt.' (text input field). A 'Submit' button is located to the right of the 'EPI Amt.' field.

Below the form is the 'Funds Enquiry' section, which includes a 'Refresh' button and a table with the following data:

Req Id	Seg	Bank	Stp Type	Stp No	EPI Amt	Amount in words	Status	Remarks
1	C	CANARA BANK	N	2008002	2312341421421	Rupees Two Lakh Thirty One Thousand Two	Pending	
2	C	CANARA BANK	N	2009196	400000	Rupees Four Lakh Only	Pending	
3	C	CANARA BANK	N	2008002	1111	Rupees One Thousand One Hundred Eleven Only	Pending	
4	C	CANARA BANK	N	2008002	150000	Rupees One Lakh Fifty Thousand Only	Pending	
5	C	CANARA BANK	N	2008002	100000	Rupees One Lakh Only	Pending	

The browser's address bar at the bottom shows the URL: (1 item remaining) Opening page viewEPFAllocationFrame.jsp at localhost...

The Funds EPI - Request screen shows the following columns:

- a. Settlement Type
- b. Settlement No.
- c. Clearing Bank
- d. EPI amount
- e. EPI amount in words

On clicking “Submit” button, the submitted record will display following details:

- a. Request Id
- b. Segment
- c. Bank
- d. Settlement Type
- e. Settlement No.
- f. EPI Amount
- g. EPI Amount in words
- h. Status
- i. Remarks

Allocation of Funds

Member shall use this interface to allocate the Early Payin of Funds.

1. Log on to Collateral Interface for Member.
2. Click the EMI->Funds EPI menu.
3. Click the Allocation tab.

Under Allocation tab, 3 tabs will be provided i.e. Add, Modify and File Upload.

Add :

On clicking the Add tab, following screen will be displayed.

The screenshot displays the 'Funds EPI' web application interface. At the top, the NSE logo and the title 'COLLATERAL INTERFACE FOR MEMBERS' are visible. The main content area is titled 'Funds EPI' and contains a 'Request Allocation' section. This section has two tabs: 'Request' and 'Allocation'. The 'Allocation' tab is active, showing a form with the following elements:

- Buttons: 'Add', 'Modify', and 'File Upload'.
- Form fields: 'Stp Type' (dropdown menu set to 'N'), 'Stp No.' (dropdown menu set to '2008002'), '* Client Code' (text input), 'Symbol Series' (text input), and 'Amount' (text input).
- Buttons: 'Add' and 'Save'.
- Notes: 'a) * mandatory fields', 'b) Click on Save after adding all records'.

The browser's status bar at the bottom indicates 'Done' and 'Local intranet'.

The add allocation interface will have the following inputs:

- a. Settlement Type
- b. Settlement No.
- c. Client Code
- d. Symbol Series
- e. Amount

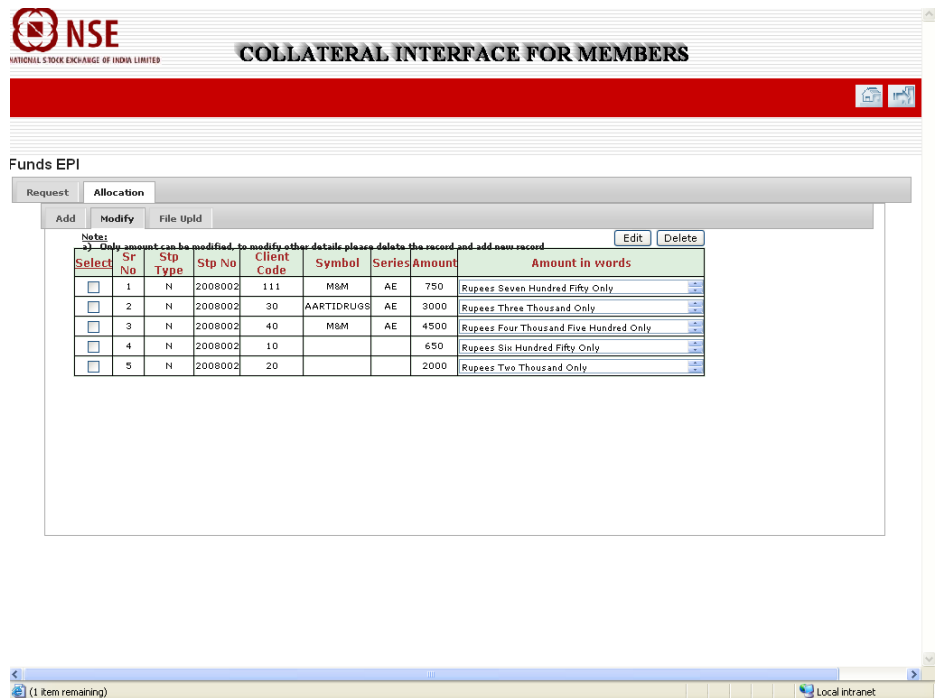
On entering the Amount, Amount in words will be populated.

On clicking "Add" button, the record will be displayed on screen.

On clicking "Save" button, the added records will actually be saved which will be available for further modification.

Modify (Modify / Delete):

The records will be available for modification as well as for deletion. Only one record can be modified at a time and multiple records can be deleted.

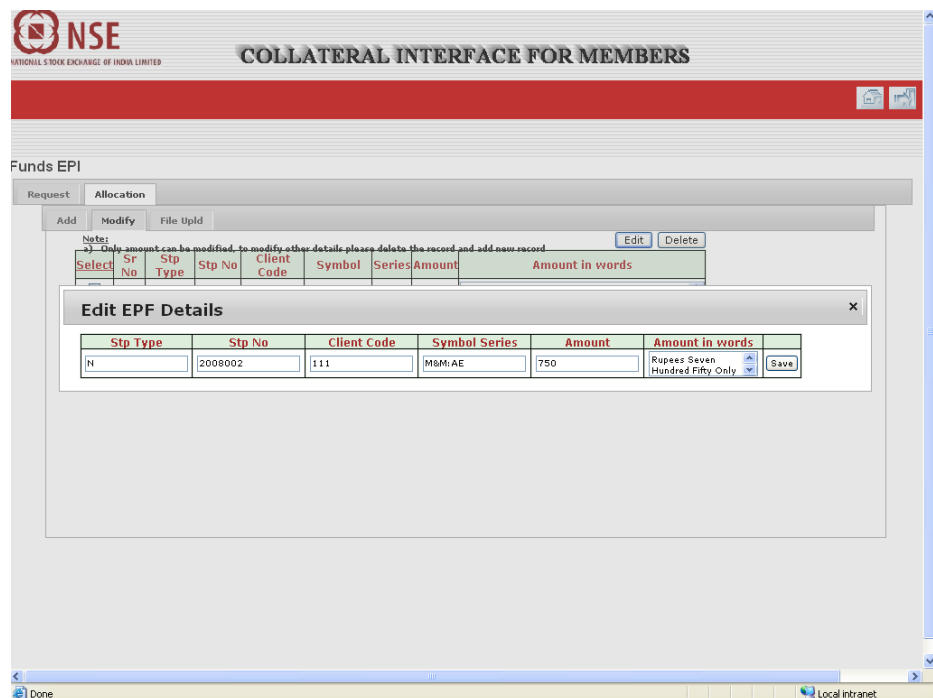


Modify screen contains the following details.

- a. Settlement Type
- b. Settlement No.
- c. Client Code
- d. Symbol
- e. Series
- f. Amount
- g. Amount in words

User will be able to modify as well as delete the records being displayed.

On selecting the checkbox and clicking the “Edit” button, user can modify the Amount as shown in below screenshot:



On selecting the checkbox and clicking the “Delete” button, user can delete the selected records.

To upload Funds EPI file

1. Log on to Collateral Interface for Member.
2. Click the EMI->Funds EPI menu.
3. Click the Allocation → File Upld tab.
4. The file naming convention shall be
 CLNTEPF_SettlType_Settno_YYYYMMDD.Tnn
 Where YYYYMMDD = Current Date
 nn = Batch No. (01 to 99)

File will contain one control record and multiple detail records.

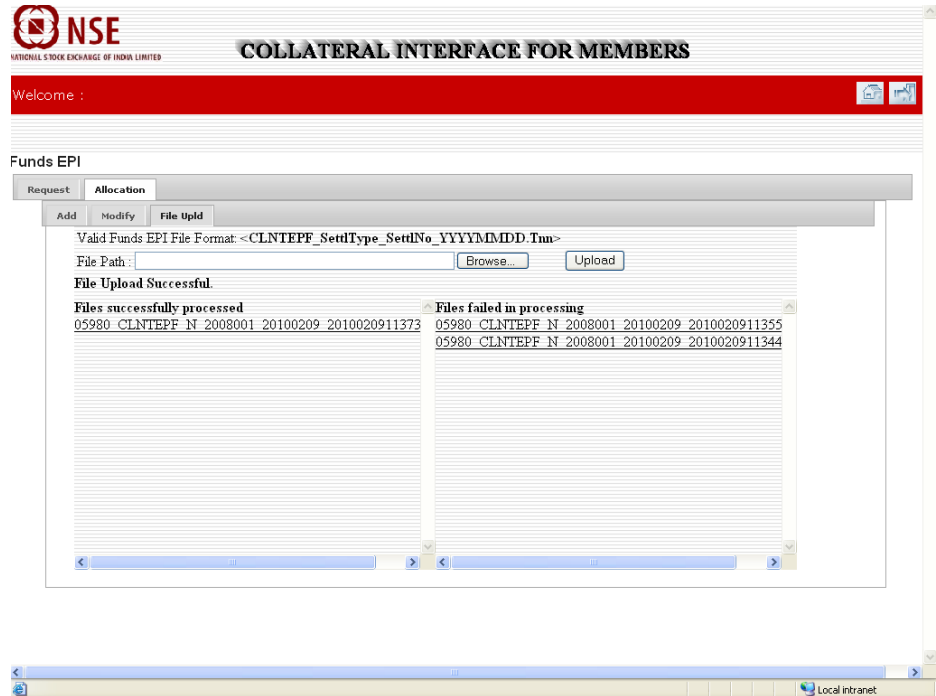
Control Record will have 9 fields as follows:

Field No	Field Name	Validation
1	Record Indicator	Value should be 01
2	File Indicator	Value should be CLNTEPF Should match with File Name
3	Member Code	Value should be logged in member code Should match with File Name
4	Date	Date should be equal to System Current date for Market Segment 'CM' Date format should be YYYYMMDD Should match with File Name
5	Batch No	Valid Batch No. Should match with File Name
6	Settlement type	Valid Settlement Type (N and W) Should match with File Name
7	Settlement Number	Open Settlement Number (cannot be the Settlement number having pay in on that date i.e. T+2 day) Should match with File Name
8	Number of Records	Should match with Total number of Detail Records.
9	EPI Total Value	Should match with Sum of EPI value across Detail Records

Detail Record should have 5 fields:

Field No	Field Name	Validation
1	Record Indicator	Value should be 20
2	Client Id	Any alphanumeric string
3	EPI value	Numeric with two decimal places. Value should be greater than zero.
4	Symbol	Optional If exists, then should be valid symbol
5	Series	Optional If exists, then should be valid series Series should exist only if record contains the Symbol.

5. Click the **Browse** button to browse for the desired file and after selection of the appropriate file Click on **Upload** button to upload the file.



6. Return files will be shown on the same screen.

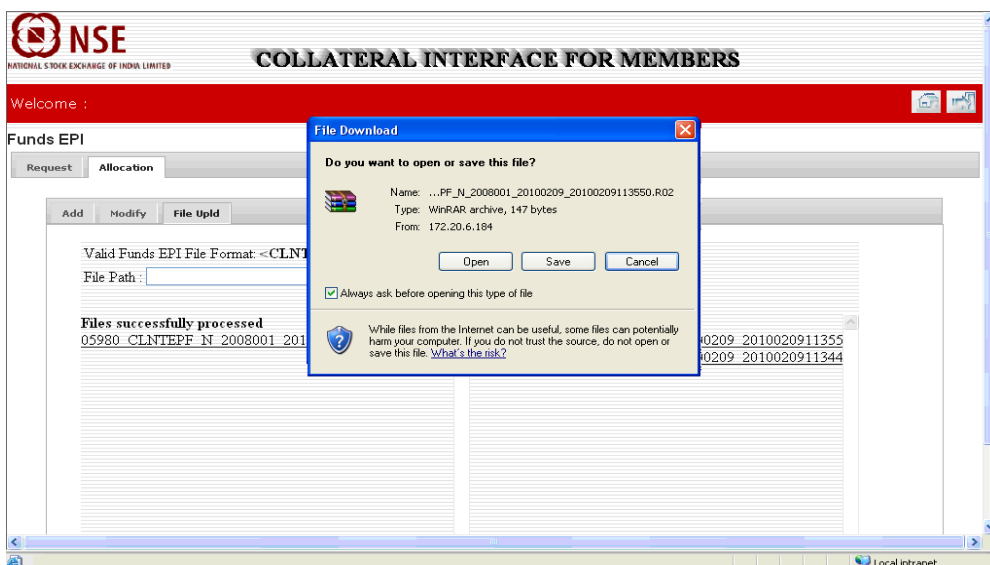
7. The naming convention for return files will be:

<XXXXX>_CLNTEPF_N_XXXXXXXXX_YYYYMMDD.Snn

In case the file is accepted (success) then the file extension ‘Tnn’ will get replaced with ‘Snn’ and the return file will be available under menu ‘Funds EPI -File upload –Files successfully processed’. The return file will have S appended for successful records and R appended for rejected records

In case the file uploaded by the member gets rejected, then the file extension ‘Tnn’ will get replaced with ‘Rnn’ and the return file will be available under menu ‘Funds EPI -File upload-Files failed in processing’.The control record in the file will have R appended.

8. On click of the filename hyperlink, return file can be downloaded as shown.

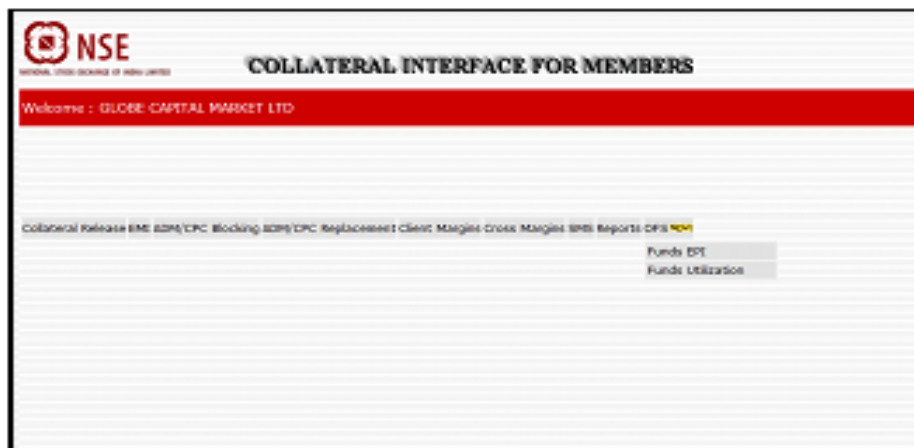


Funds EPI - OFS

A screen based facility for Early Paying of funds and funds utilization Report for OFS (Offer for Sale) is provided.

Trading members / Custodians can view their funds utilization status online.

A new tab OFS is introduced on the CIM Frontend in Member Login.



Two sub menus shown under OFS tab are as follows:

Funds EPI:

To make EPI (Early Pay-in of funds for OFS)

1. Log on to Collateral Interface for Members.
2. Click the OFS **NEW!** → Funds EPI
3. CIM displays the Funds EPI, this is same as discussed in Funds EPI chapter- Request for Funds → EPI Funds.

Funds Utilization Report:

Using this option, member/ custodian can view the Funds Utilization report as shown below:

Symbol	Funds Transferred	Funds Confirmed by Bank	Funds Utilized	Funds Available	Order Details
null	0.00	0.00	0.00	0.00	Click Here

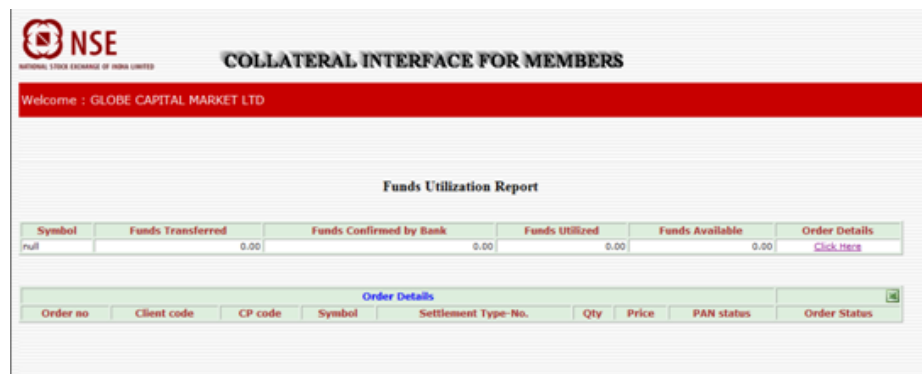
A summary screen of the funds utilized by the member/custodian for OFS is shown. Details of the information displayed in the summary screen are as follows:

- a. Symbol: - Symbol of the Security participated in OFS.
- b. Funds Transferred: - Total Funds requested by member in OFS as EPI.
- c. Funds Confirmed by Bank:- Funds confirmed by the Bank
- d. Funds Utilized:- Sum of all the order value of retail & pro orders
- e. Funds Available: - Funds confirmed by Bank (minus) Funds utilized.
- f. Order details:- a link to display order details

Order Details:

To view the Orders details, user may click on the [Click Here](#) option in the Funds Utilization Summary Table.

The following details will be shown to the user:



The screenshot displays the NSE Collateral Interface for Members. At the top, it says 'Welcome : GLOBE CAPITAL MARKET LTD'. Below this is a 'Funds Utilization Report' table with the following data:

Symbol	Funds Transferred	Funds Confirmed by Bank	Funds Utilized	Funds Available	Order Details
null	0.00	0.00	0.00	0.00	Click Here

Below the report is an 'Order Details' table with the following columns:

Order no	Client code	CP code	Symbol	Settlement Type-No.	Qty	Price	PAN status	Order Status

Order Details are updated on an online basis.

The information displayed is as follows:

- a. Order No: - Order No of the orders member has placed in OFS.
- b. Client Code: - Client Code for which the member has placed the order.
- c. CP Code: - Null in case of PRO or CLI Trade else Custodial Participant Code in case of a CP Trade.
- d. Symbol: - Symbol of the Security participated in OFS.
- e. Settlement Type-No: - Combination of the Settlement Type and Settlement No for that particular OFS.
- f. Quantity: - Total number of orders executed.
- g. Price: - Price at which the order has been executed.
- h. PAN Status:-
 - (a) Verified: If valid PAN no of the respective client, member or custodian is provided.
 - (b) Missing: If PAN no of the respective client, member or custodian, is missing.
 - (c) IT verification pending: If IT verification of PAN no of the respective client, member or custodian is pending.

i. Order Status:-

(a) 1. Confirmed for all Non CP orders

(b) 2. Confirmed / Pending for CP orders

➤ Confirmed – Confirmed by the custodian

➤ Pending – Pending for confirmation by the custodian

An Export to excel facility is also provided using which the order details could be exported in an excel format.

Chapter**10**

CASH DEPOSIT

In this chapter, you will learn how to:

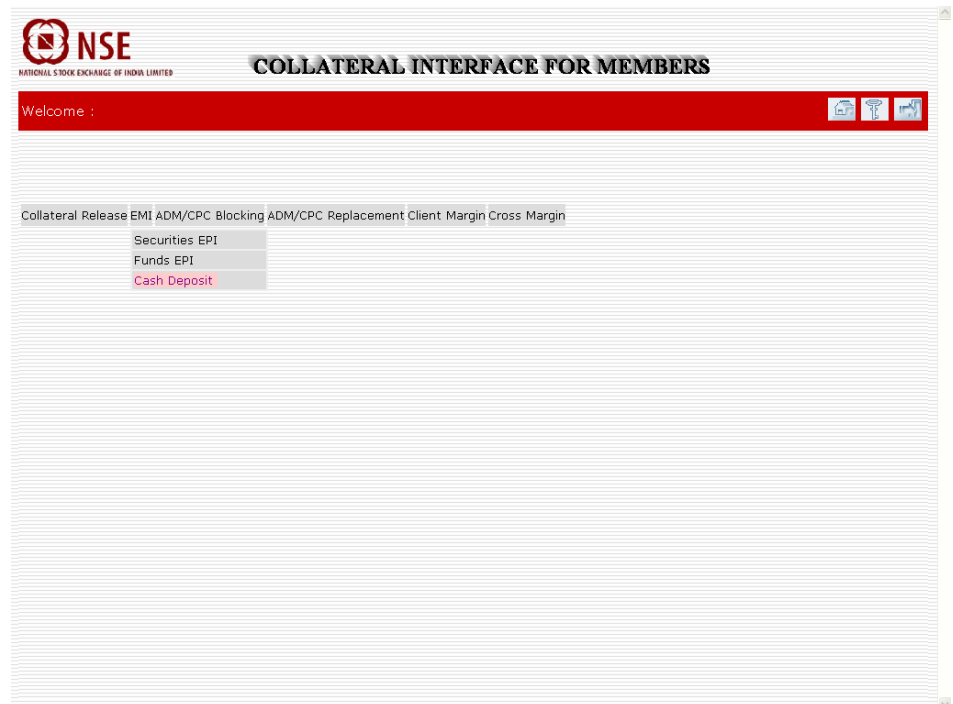
Enter Cash Deposit Request.

Cash Deposit Request

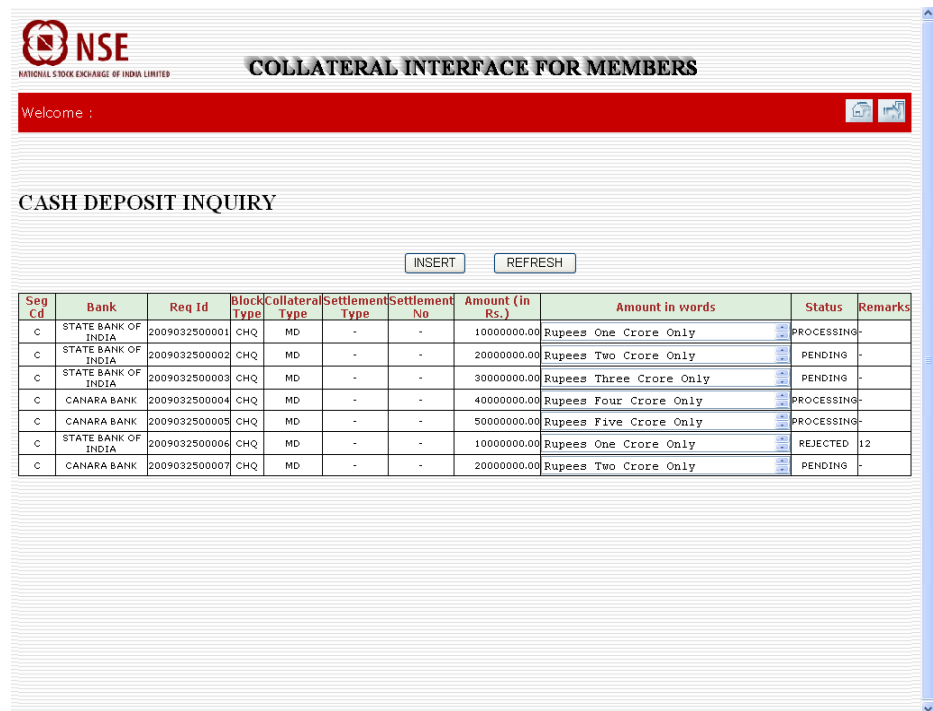
Using this option, user may deposit the Cash in various segments and for various collateral types.

To Enter Cash Deposit Request

1. Log on to Collateral Interface for Member.
2. Click the EMI->Cash Deposit.



3. Collateral Interface for Members displays the Cash Deposit Inquiry Screen as shown in the following figure.



NSE
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

COLLATERAL INTERFACE FOR MEMBERS

Welcome :

CASH DEPOSIT INQUIRY

INSERT REFRESH

Seq Cd	Bank	Req Id	Block Type	Collateral Type	Settlement Type	Settlement No	Amount (In Rs.)	Amount in words	Status	Remarks
C	STATE BANK OF INDIA	2009032500001	CHQ	MD	-	-	10000000.00	Rupees One Crore Only	PROCESSING	-
C	STATE BANK OF INDIA	2009032500002	CHQ	MD	-	-	20000000.00	Rupees Two Crore Only	PENDING	-
C	STATE BANK OF INDIA	2009032500003	CHQ	MD	-	-	30000000.00	Rupees Three Crore Only	PENDING	-
C	CANARA BANK	2009032500004	CHQ	MD	-	-	40000000.00	Rupees Four Crore Only	PROCESSING	-
C	CANARA BANK	2009032500005	CHQ	MD	-	-	50000000.00	Rupees Five Crore Only	PROCESSING	-
C	STATE BANK OF INDIA	2009032500006	CHQ	MD	-	-	10000000.00	Rupees One Crore Only	REJECTED	12
C	CANARA BANK	2009032500007	CHQ	MD	-	-	20000000.00	Rupees Two Crore Only	PENDING	-

4. User can insert the new Cash Deposit Request or he can simply refresh the page.

5. On Click of Insert, the Cash Deposit screen will be displayed as follows.

The screenshot displays the NSE Collateral Interface for Members. At the top left is the NSE logo (National Stock Exchange of India Limited). The title "COLLATERAL INTERFACE FOR MEMBERS" is centered at the top. Below the title is a red banner with "Welcome :". The main content area is titled "Cash Deposit Request" and contains a form with the following fields:

Member Code :	05980	Collateral Type:	MD
Seg code :	CM		
Bank :	SELECT		
Amount(in Rs.):			
Cummulative Amount for the day(in Rs.):	0	Rupees Zero Only	

A "SAVE" button is located at the bottom of the form.

6. Depending on the segment for which Member is registered with exchange, a dropdown list of segment option will be displayed in this screen.
7. User can select the Segment, Collateral Type and Bank from the drop down list and enter the amount to be deposited. Cumulative amount will appear segment wise.
8. This Cash Deposit Request will go to Bank side. Depending on the Bank selected at the time of Cash deposit, further Cash confirmation maker and checker will be executed at Bank side and User can view the status accordingly in Cash Deposit Inquiry Screen.

Chapter**11**

GSEC Deposit

In this chapter, you will learn how to:

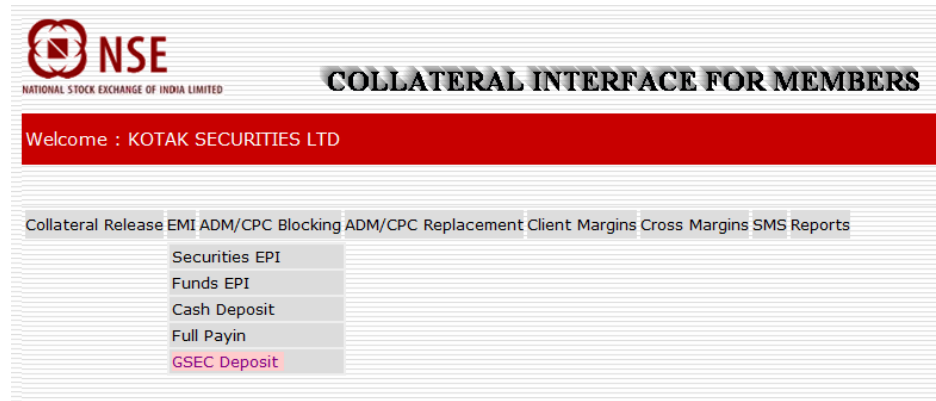
Enter Gsec Deposit Request and view Gsec deposit requests.

Gsec Deposit Request

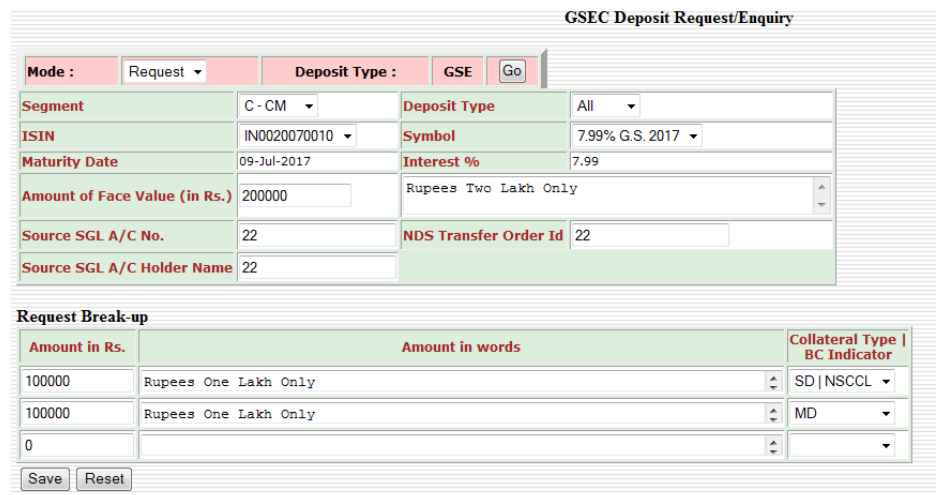
Using this option, user may deposit GSec's and view already raised Gsec deposit requests.

To Enter Gsec Deposit

1. Log on to Collateral Interface for Member.
2. Click the EMI->GSEC Deposit



3. Click on the Request Option to place GSEC Deposit Request.



On selecting Segment and Deposit Type, ISIN dropdown will be populated.

On selecting ISIN, valid symbols for that ISIN will be populated in symbol drop down.

On selecting Symbol, maturity date and Interest% will be populated by default. Maturity Date and Interest% fields are non-editable.

Enter face value, SGL Acc No, NDS Transfer Order Id and SGL Holder name.

For GSEC Request Breakup:

The amount entered in the Face value field above, can be distributed across Collateral Types (viz. SD|NSCCL, SD|NSEIL, MD).

Validations:

1. The summation of amount in the request break up should be equal to the Face Value in Rs.
2. User is allowed to give breakup for SD|NSEIL only in case of Currency Derivatives (X) segment.

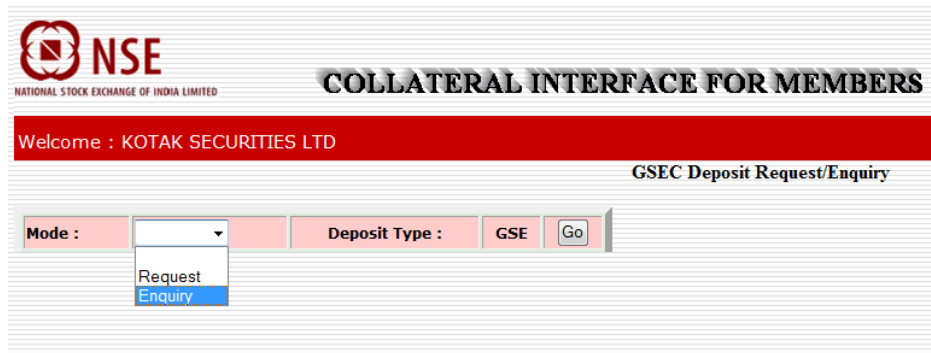
4. After entering all the details, click on Save.

The screenshot displays the NSE Collateral Interface for Members. At the top left is the NSE logo with the text 'NATIONAL STOCK EXCHANGE OF INDIA LIMITED'. To the right, the title 'COLLATERAL INTERFACE FOR MEMBERS' is shown. Below this, a red banner contains the text 'Welcome : KOTAK SECURITIES LTD'. On the right side of the banner, it says 'GSEC Deposit Request/Enquiry'. Below the banner, there is a form with the following fields: 'Mode : Request' (a dropdown menu), 'Deposit Type : GSE', and a 'Go' button. Below the form, the message 'Request submitted Successfully.' is displayed.

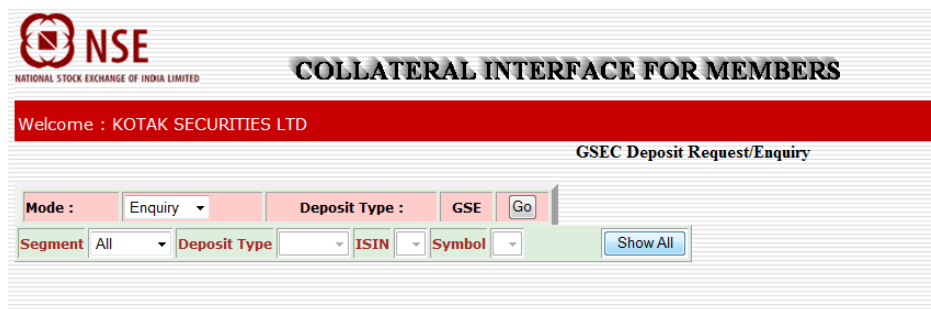
A mail will be sent to the member, containing the Gsec request for deposit.

To View Gsec Deposit Requests

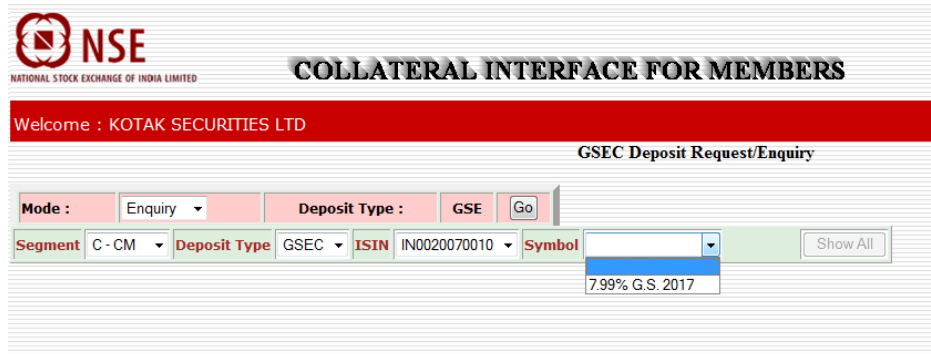
1. Log on to Collateral Interface for Member.
2. Click the EMI->GSEC Deposit -> Enquiry



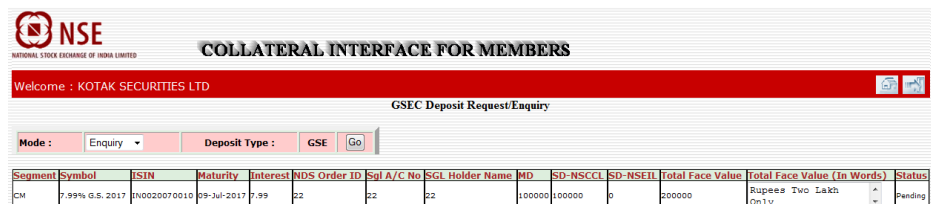
3. To view All Requests for the day, select “ALL” in segment and click on Show All Button



4. Additionally if the member wants to view details for a particular segment/ deposit type/ISIN/Symbol, filters are provided for the same.



5. On selecting either of the two, GSEC Deposit Requests will be shown to the member:



Chapter**12**

FULL PAYIN

In this chapter, you will learn how to:

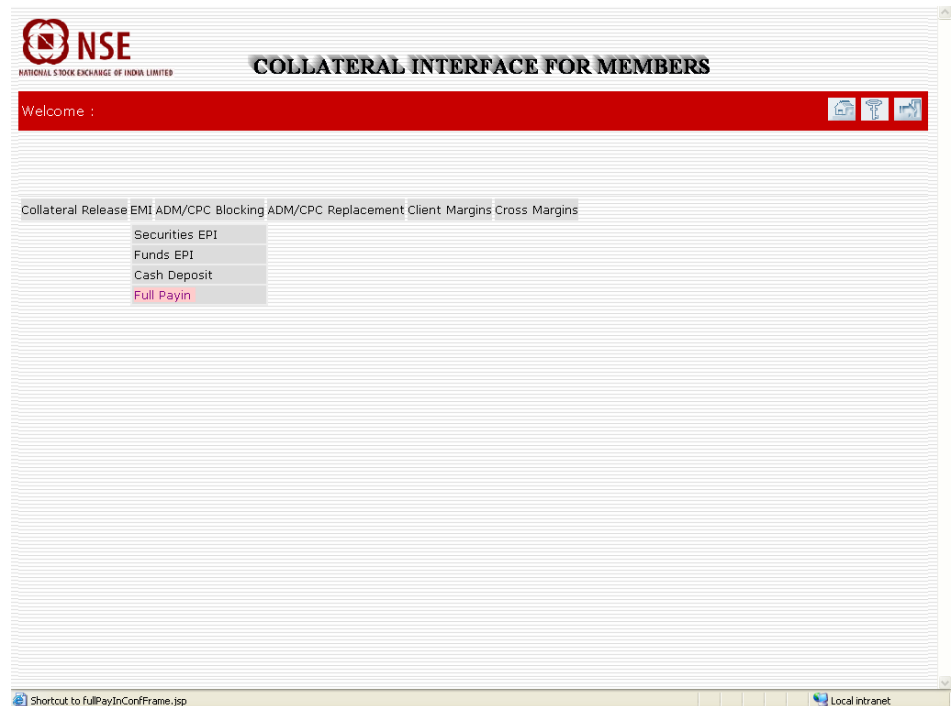
Enter Full Payin Confirmation Request.

Full Payin Request

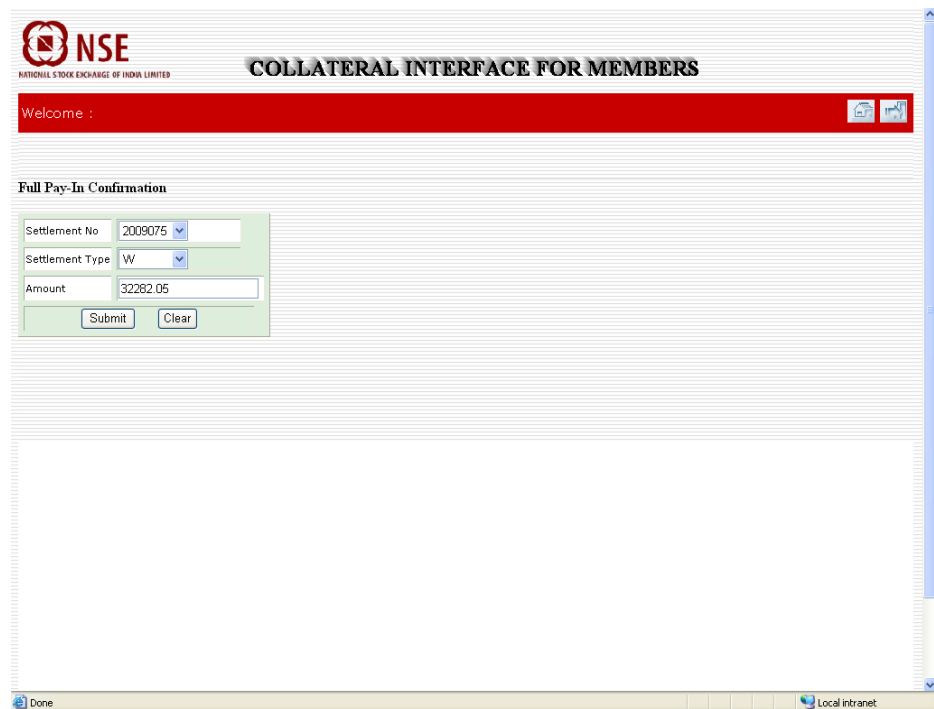
Using this option, user may confirm the full pay-in amount in only 'C' segment for specified settlement no. and settlement type of 'N', 'W'.

To Enter Full Payin Request

1. Log on to Collateral Interface for Member.
2. Click the EMI->Full Payin



3. Collateral Interface for Members displays the Full Pay in Confirmation Screen as shown in the following figure.



The screenshot displays the NSE Collateral Interface for Members. At the top left is the NSE logo (National Stock Exchange of India Limited). The title "COLLATERAL INTERFACE FOR MEMBERS" is centered at the top. Below the title is a red "Welcome" banner. The main section is titled "Full Pay-In Confirmation" and contains a form with the following fields:

Settlement No	2009075
Settlement Type	W
Amount	32282.05

Below the form are "Submit" and "Clear" buttons. The browser status bar at the bottom shows "Done" and "Local intranet".

4. User will get auto populated list of settlement no. and settlement type.
9. User has to select settlement no. and settlement type from drop down for which user wants to put full pay-in request.
10. On selection of settlement no. and settlement type from drop down, amount will get auto populated and it cannot be edited by user.
11. On click of submit button final confirmation message comes as 'Do you want to proceed' and on click of 'OK' button , Full Pay in Confirmation Request will go to Bank side .
12. Depending on the primary bank of user, further Cash confirmation maker and checker will be executed for Full Pay in.
13. User can view the status accordingly in Full Pay-in Confirmation Report Screen which is there on same page of Full Pay in confirmation as shown in following figure.

NSE
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

COLLATERAL INTERFACE FOR MEMBERS

Welcome :

Full Pay-In Confirmation

Settlement No: SELECT
 Settlement Type: SELECT
 Amount: 0
 Submit Clear

Full Pay-In Confirmation Report

Req Id	Settlement No	Settlement Type	Amount (in Rs.)	Amount in words	Status	Remarks
200908180002	2009075	H	234234234.00	Rupees Twenty Three Crore	PENDING	-

Done Local intranet

14. If the bank rejects the amount, same amount can be sent back to the Primary Bank.
15. If bank has confirmed the amount or request is pending then request for the same settlement number & type cannot be sent again.
16. There will be cut of time for settlement number and Settlement type placing a request for Full pay-in. After cut-off time, no request can be placed. The entire request placed before the cut off time but not confirmed by bank after cut off time will be treated as cancelled.
17. On click of clear button settlement no., settlement type and amount fields will get reset.

Chapter**13**

CLIENT MARGIN

In this chapter, you will learn how to:

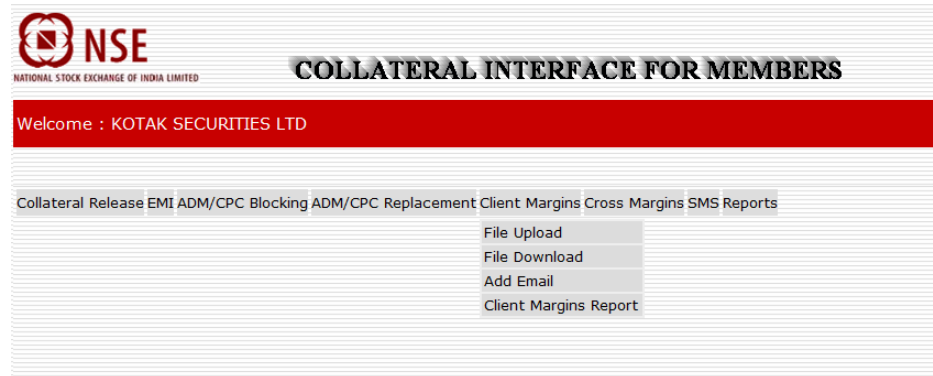
Upload File for Client Margin Reporting, Add Email and view Client Margin Report

Client Margin File Upload

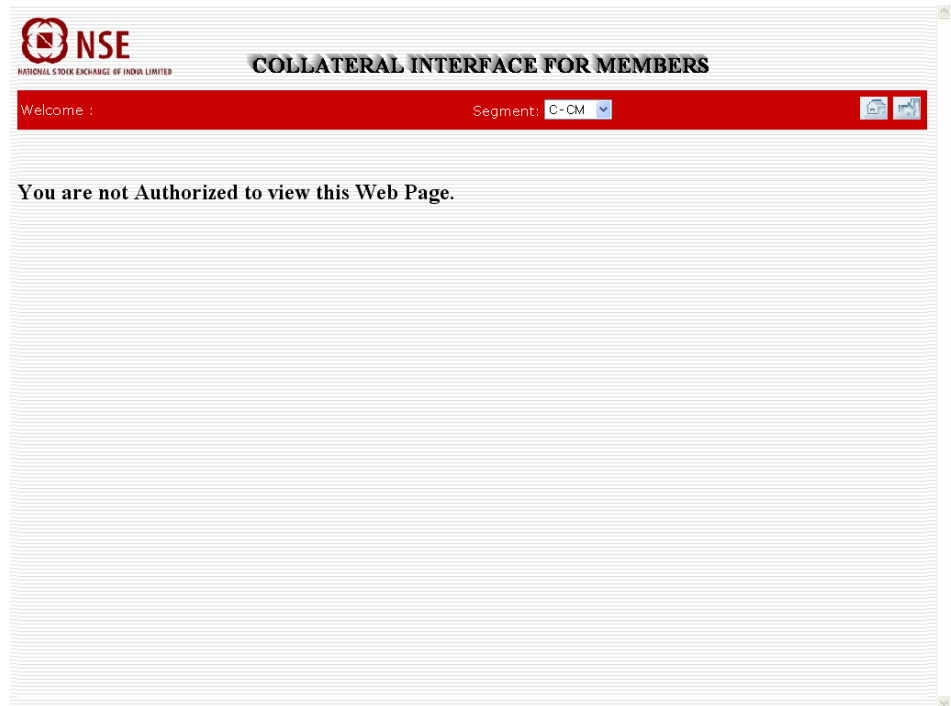
Using this option, user may upload a file for client margin reporting.

To upload Client Margin file

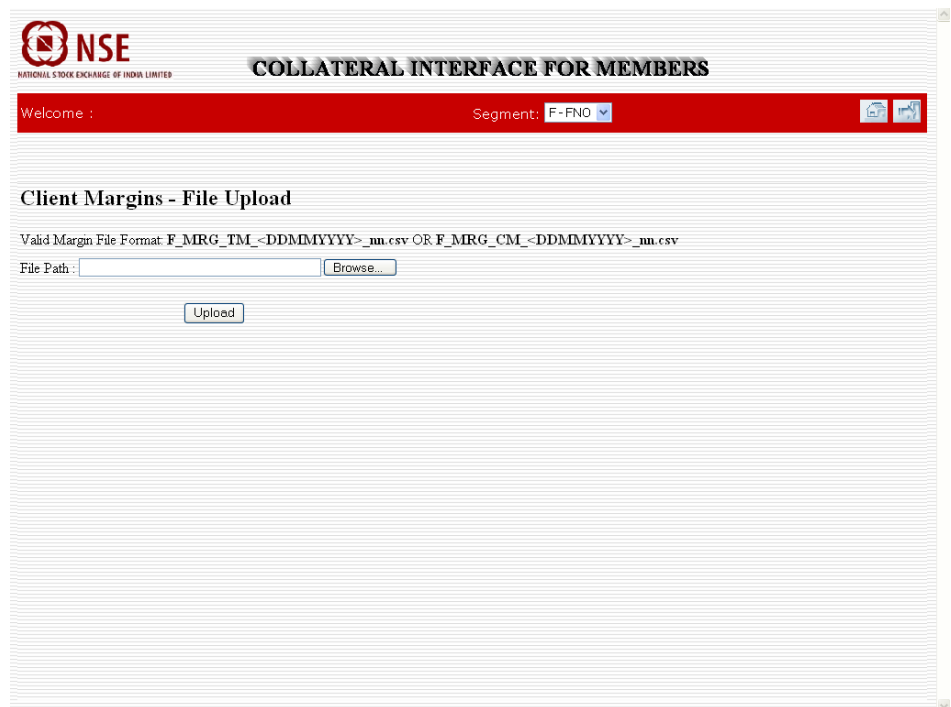
1. Log on to Collateral Interface for Member.
2. Click the Client Margin->File Upload menu.



3. Depending on the segment for which Member is registered with exchange, a dropdown list of segment option will be displayed.
4. If user is registered for Capital, F&O and Currency Derivatives, he would be provided with all the options in the dropdown
5. User would be required to select the segment from the drop down list.
6. Depending on the segment selected, file upload screen will be shown.
7. On Click of segment C following screen will be displayed since as of now, Client Margin File Upload and Download are for F&O and Currency Derivatives.



8. On Click of segment F i.e. F&O Following screen will be displayed to the user.



9. The file naming convention shall be

For Trading Member

F_MRG_TM_<DDMMYYYY>_nn.csv

And

For Clearing Member

F_MRG_CM_<DDMMYYYY>_nn.csv

Where DDMMYYYY is Date format and is less than or equal to System Current Date.

TM = Trading Member

CM = Clearing Member

And

nn = Batch No. (01 to 99)

10. Click the **Browse** button to browse for the desired file and after selection of the appropriate file Click on **Upload** to upload the file

The screenshot displays the NSE Collateral Interface for Members web application. The header includes the NSE logo and the text 'COLLATERAL INTERFACE FOR MEMBERS'. Below the header, there is a red navigation bar with 'Welcome :', 'Segment: F-FNO', and two icons. The main content area is titled 'Client Margins - File Upload'. It contains a text box for 'Valid Margin File Format F_MRG_TM_<DDMMYYYY>_nn.csv OR F_MRG_CM_<DDMMYYYY>_nn.csv'. Below this is a 'File Path:' label followed by an input field and a 'Browse...' button. An 'Upload' button is positioned below the input field. At the bottom of the form, a message states: 'File has been uploaded. Kindly check the status in the return file.'

11. On Click of segment X i.e. Currency Derivatives Following screen will be displayed to the user.

NSE
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

COLLATERAL INTERFACE FOR MEMBERS

Welcome : Segment: X-CUR

Client Margins - File Upload

Valid Margin File Format X_MRG_TM_<DDMMYYYY>_nn.csv OR X_MRG_CM_<DDMMYYYY>_nn.csv

File Path:

12. The file naming convention shall be

For Trading Member

X_MRG_TM_<DDMMYYYY>_nn.csv

And

For Clearing Member

X_MRG_CM_<DDMMYYYY>_nn.csv

Where DDMMYYYY is Date format and is less than or equal to System Current Date.

TM = Trading Member

CM = Clearing Member

And

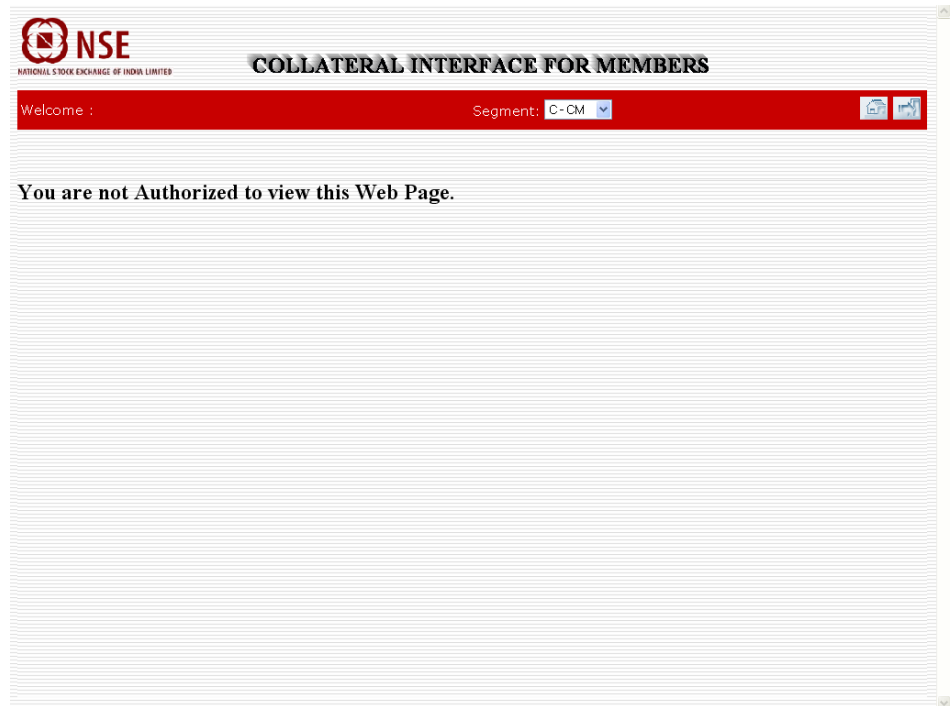
nn = Batch No. (01 to 99)

13. Click the **Browse** button to browse for the desired file and after selection of the appropriate file Click on **Upload** to upload the file

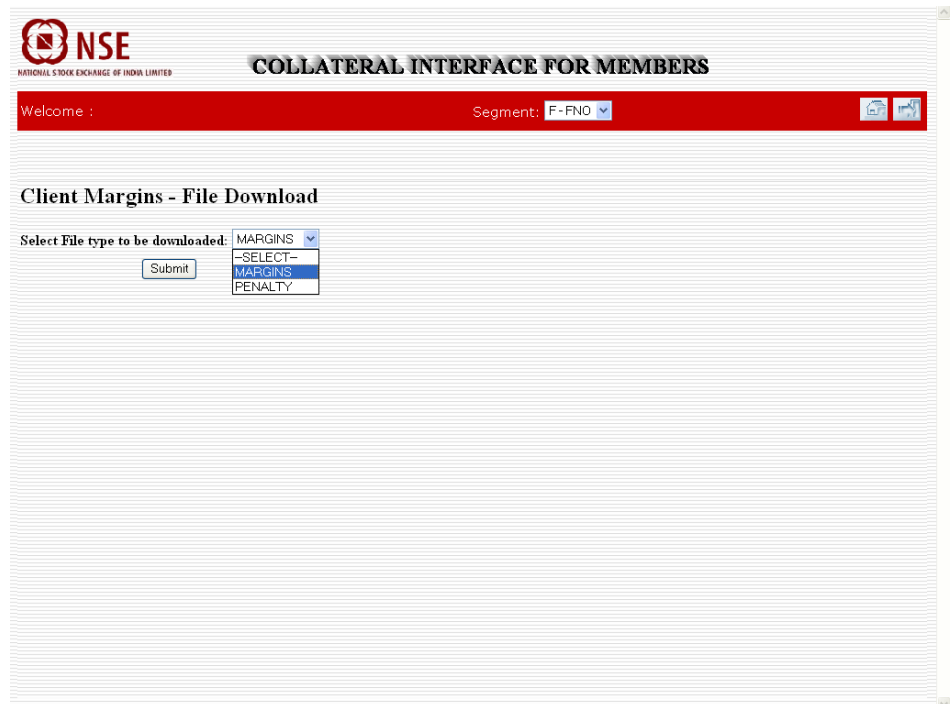
The screenshot displays the NSE Collateral Interface for Members. At the top left is the NSE logo (National Stock Exchange of India Limited). The main title is "COLLATERAL INTERFACE FOR MEMBERS". A red navigation bar contains "Welcome :", "Segment: X-CUR", and icons for home and help. The main content area is titled "Client Margins - File Upload". Below the title, it specifies the valid margin file format: "Valid Margin File Format X_MRG_TM_<DDMMYYYY>_mm.csv OR X_MRG_CM_<DDMMYYYY>_mm.csv". There is a "File Path:" label followed by a text input field and a "Browse..." button. Below this is an "Upload" button. A message at the bottom states: "File has been uploaded. Kindly check the status in the return file."

To Download the Margin or Penalty File:

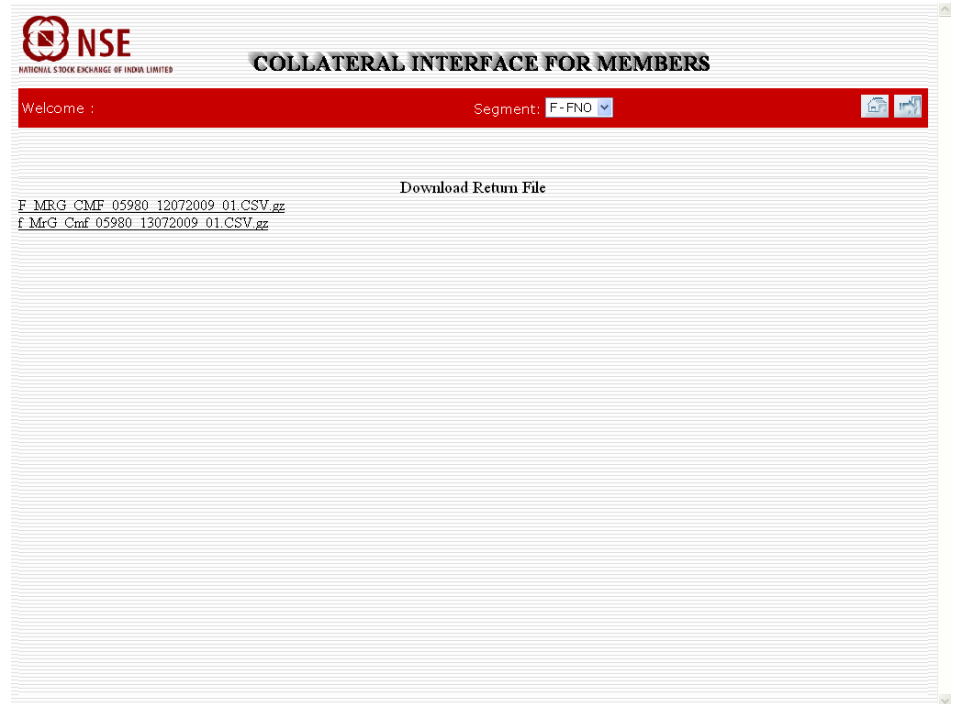
1. Log on to Collateral Interface for Member.
2. Click the Client Margin->File Download menu.
3. Depending on the segment for which Member is registered with exchange, a dropdown list of segment option will be displayed.
4. If user is registered for Capital, F&O and Currency Derivatives, he would be provided with all the options in the dropdown.
5. User would be required to select the segment from the drop down list.
6. Depending on the segment selected, File Download screen will be shown.
7. On Click of segment C following screen will be displayed since as of now, Client Margin File Upload and Download are for F&O and Currency Derivatives.



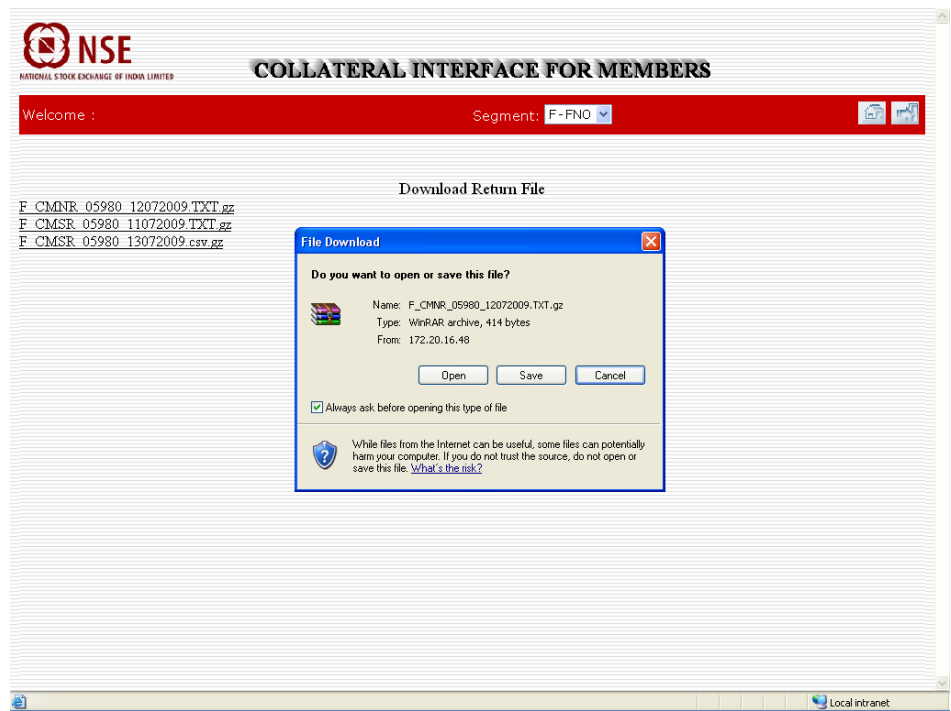
9. On Click of segment F i.e. F&O Following screen will be displayed to the user.
10. It will ask for the File Type to be downloaded. It can be either Margin file or penalty file.



11. On selecting the file type, the list of files will appear accordingly.

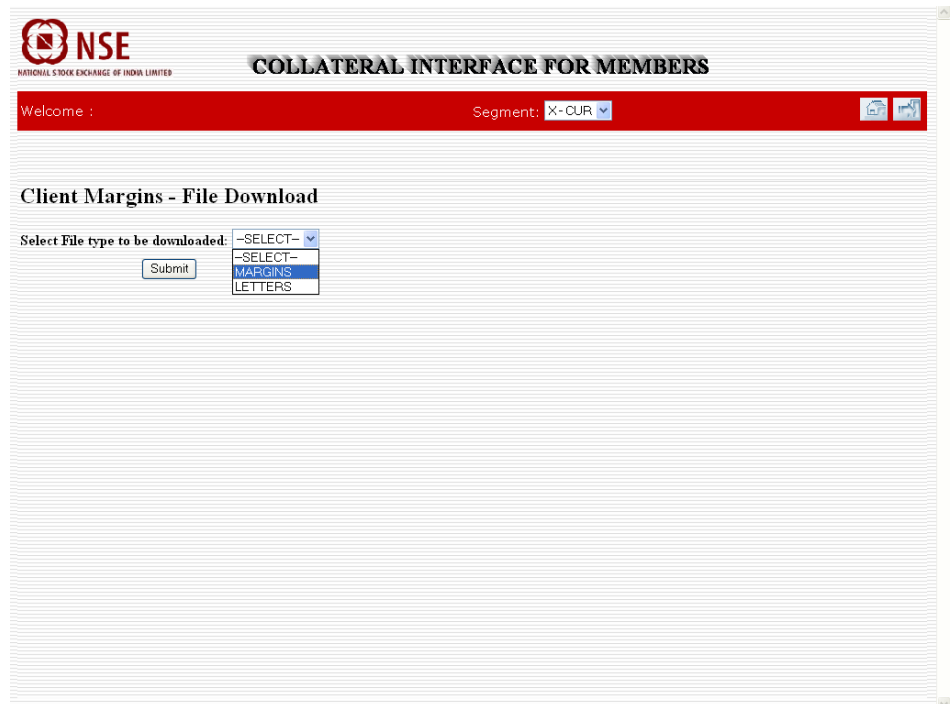


12. On click of the filename hyperlink, file can be downloaded as shown.

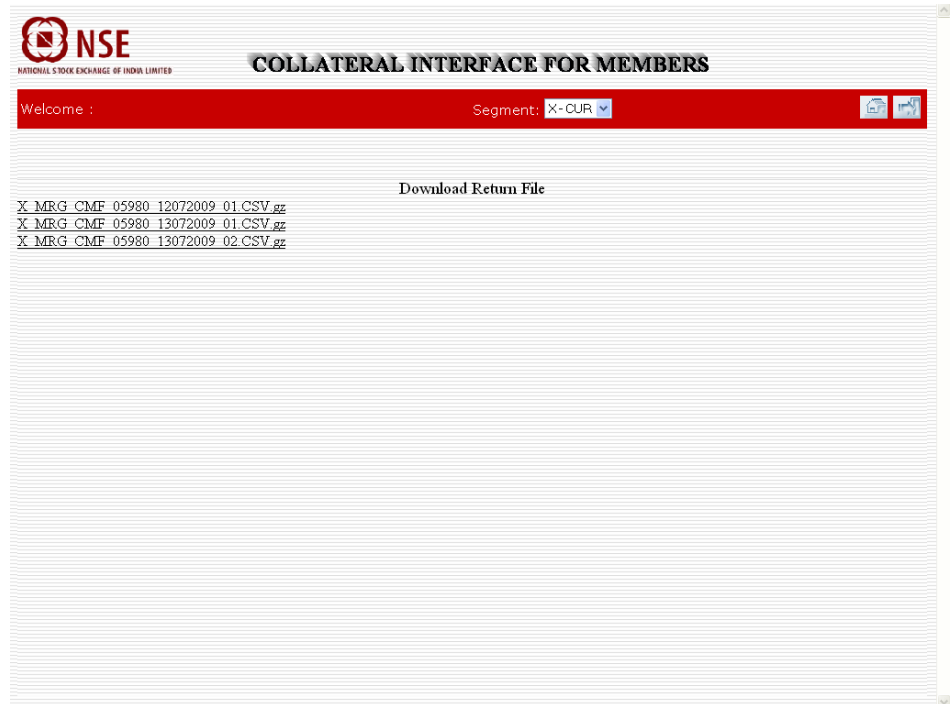


13. On Click of segment X i.e. F&O Following screen will be displayed to the user.

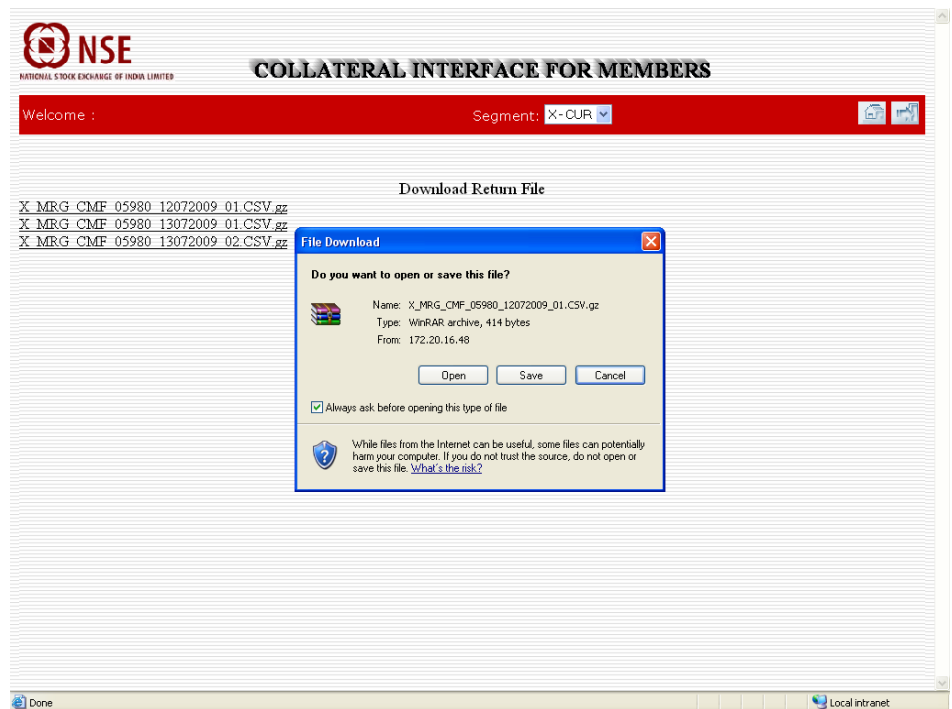
14. It will ask for the File Type to be downloaded. It can be either Margin file or penalty file.



15. On selecting the file type, the list of files will appear accordingly.

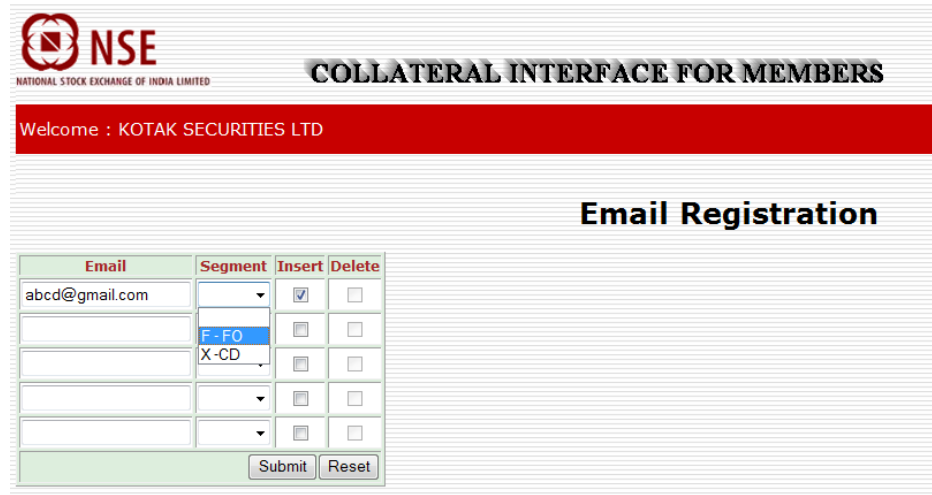


16. On click of the filename hyperlink, file can be downloaded as shown



To Add Email for Client Margin Alerts:

1. Log on to Collateral Interface for Member.
2. Click the Client Margin->Add Email menu.
3. Irrespective of which segment the user is registered for, F and X segments will be populated in the dropdown by default.



The screenshot shows the NSE Collateral Interface for Members. The header includes the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". A red banner displays "Welcome : KOTAK SECURITIES LTD". The main heading is "Email Registration". Below it is a table with columns: Email, Segment, Insert, and Delete. The first row contains "abcd@gmail.com", a dropdown menu with "F-FO" and "X-CD" options, a checked "Insert" checkbox, and an unchecked "Delete" checkbox. There are "Submit" and "Reset" buttons at the bottom of the table.

Email	Segment	Insert	Delete
abcd@gmail.com	F-FO X-CD	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

Submit Reset

4. Enter a valid email id that has to be registered for receiving alerts, check the insert checkbox and click on insert.
5. The following screen will be displayed on successful registration of email id.



The screenshot shows the NSE Collateral Interface for Members. The header includes the NSE logo and the text "COLLATERAL INTERFACE FOR MEMBERS". A red banner displays "Welcome : KOTAK SECURITIES LTD". Below it, the message "Email registration succeeded" is displayed.

Email registration succeeded

6. To deregister an email id, click on the Add Email Menu. A list of already registered email id's will be displayed as shown:

NSE
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

COLLATERAL INTERFACE FOR MEMBERS

Welcome : KOTAK SECURITIES LTD

Email Registration

Email	Segment	Insert	Delete
abcd@gmail.com	F - FO	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

Submit Reset

Check the delete checkbox for deregistering the email id and click on Submit.

NSE
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

COLLATERAL INTERFACE FOR MEMBERS

Welcome : KOTAK SECURITIES LTD

Email Registration

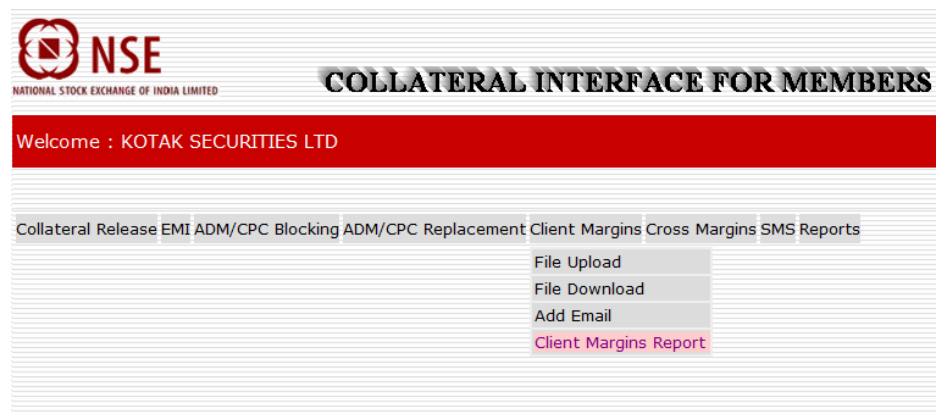
Email	Segment	Insert	Delete
abcd@gmail.com	F - FO	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

Submit Reset

- The email id will be deregistered for that user.
- Both registering and deregistering operations can be simultaneously performed by the user as separate checkboxes are provided for the same.

To view Client Margin Report:

- Log on to Collateral Interface for Member.
- Click the Client Margin->Client Margin Report menu.



4. Irrespective of which segment the user is registered for, all valid segments for the day will be populated in the dropdown by default. This functionality is not applicable for CM Segment.
5. On selecting a segment, the following report will be displayed to the user

Client Margin Report

Segment: F-FNO

Clearing Member Details					
Trade date	Cut-off date for reporting	Total margin to be reported (In Rs.)	Total margin reported (In Rs.)	Total short margin reported (In Rs.)	Provisional Penalty (In Rs.)
08-07-2013	15-07-2013	1380000.00	1380000.00	0.00	0.00

Total penalty as on 08-08-2013 for the month of Jul-2013 is Rs.100.00, to be collected on 08-08-2013

Trading Member Details					
Trade date	Cut-off date for reporting	Total margin to be reported (In Rs.)	Total margin reported (In Rs.)	Total short margin reported (In Rs.)	Provisional Penalty (In Rs.)
25-06-2013	02-07-2013	450000.00	211700.00	238300.00	2366.50
25-06-2013	02-07-2013	450000.00	211700.00	238300.00	2366.50

6. The report will display client margin details at Clearing Member as well as Trading Member level.
7. Also, cumulative penalty at the Clearing Member level will be shown to the user below the Clearing Member Details grid. Cumulative Penalty displayed is:

“Total penalty as on **DD-MM-YYYY** for the month of **MMM-YYYY** is Rs.xxxxxxx.xx, to be collected on **DD-MM-YYYY**”. Where

- **DD-MM-YYYY** - shall be the current date
- **DD-MM-YYYY** - shall be the 6th working date of the next month

- MM-YYYY – Shall be the month for which the penalty amount is displayed
8. In case the member is a TM in any of the segments, cumulative penalty for that segment will not be shown to the member.

Chapter**14**

CROSS MARGIN File Upload

In this chapter, you will learn how to:

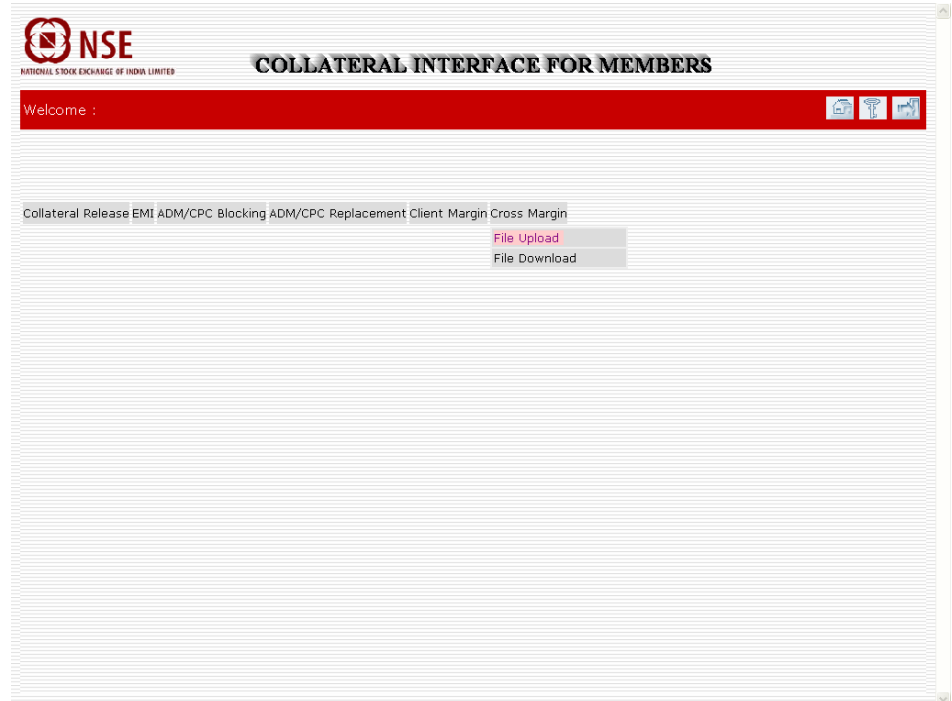
Upload File for Cross Margin

Cross Margin File Upload

Using this option, user may upload a file for Cross Margin.

To upload Cross Margin file

1. Log on to Collateral Interface for Member.
2. Click the Cross Margin->File Upload menu.



3. The file naming convention shall be

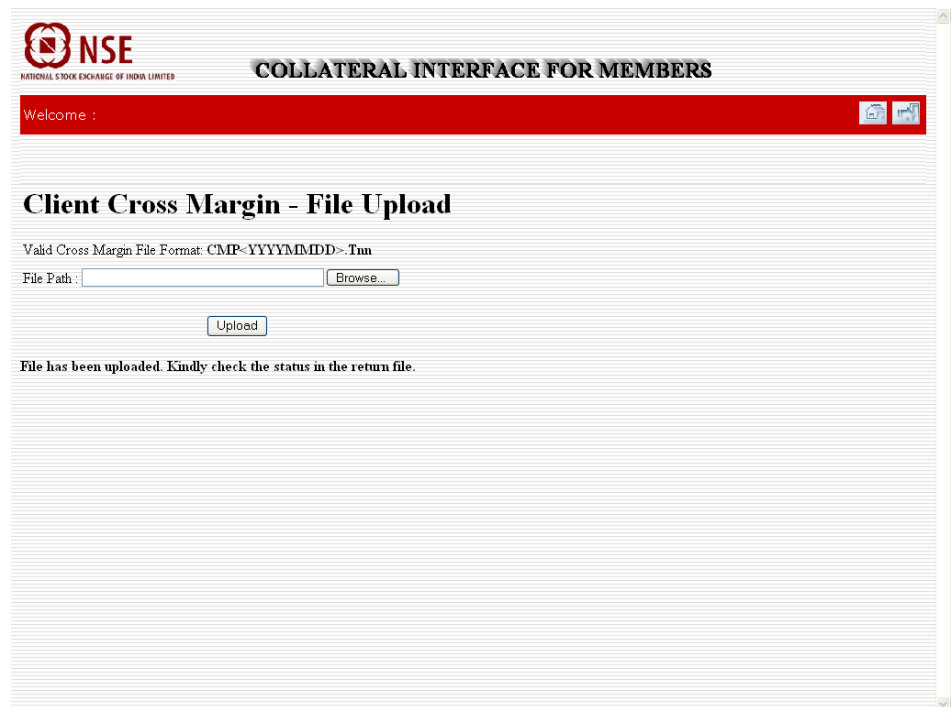
CMP<YYYYMMDD>.Tnn

Where YYYY YMMDD is Date format and is less than or equal to System Current Date.

And

nn = Batch No. (01 to 99)

4. Click the **Browse** button to browse for the desired file and after selection of the appropriate file Click on **Upload** to upload the file



NSE
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COLLATERAL INTERFACE FOR MEMBERS

Welcome :

Client Cross Margin - File Upload

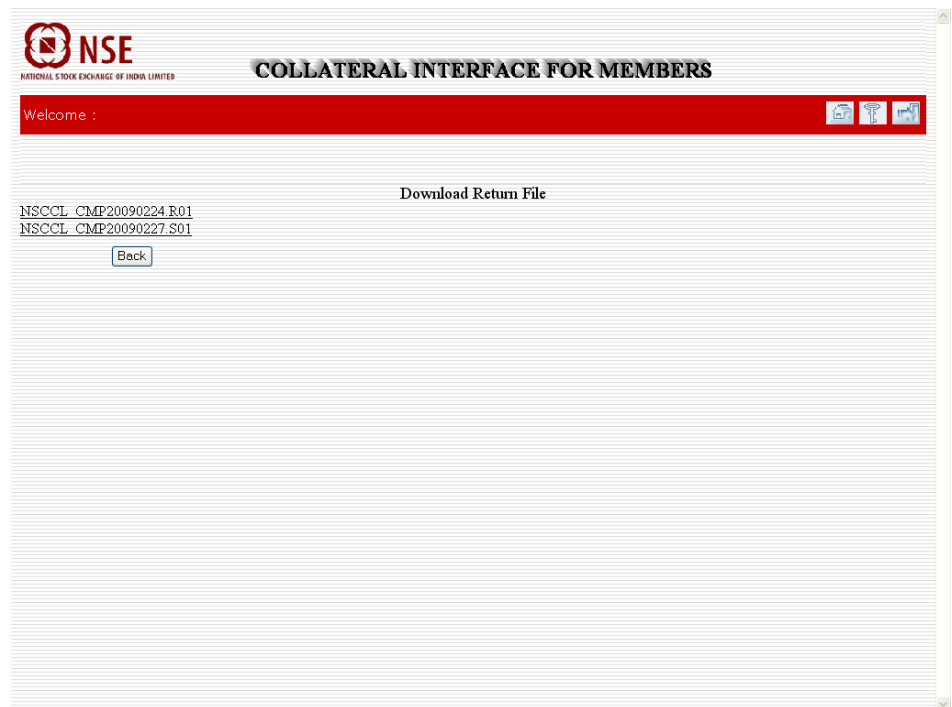
Valid Cross Margin File Format: CMP<YYYYMMDD>.Tm

File Path :

File has been uploaded. Kindly check the status in the return file.

To Download the Cross Margin File:

1. Log on to Collateral Interface for Member.
2. Click the Cross Margin->File Download menu.
3. All the Files which are successfully uploaded generates return file as shown.



4. Back button will redirect the user to the main menu screen.

5. All the Cross margin Files to be downloaded will have extension either Snn or Rnn ,

where S stand for success file

and R is for the reject file.

6. On click of the filename hyperlink, file can be downloaded as shown

The screenshot displays the NSE Collateral Interface for Members. At the top left is the NSE logo (National Stock Exchange of India Limited). The main header reads "COLLATERAL INTERFACE FOR MEMBERS". Below this is a red navigation bar with the text "Welcome :" and three small icons. The main content area shows two links: "NSCCL_CMP20090224.R01" and "NSCCL_CMP20090227.S01". A "Back" button is positioned below these links. A "Download Return File" section is visible. A "File Download" dialog box is open, asking "Do you want to save this file?". The dialog provides the following information: Name: NSCCL_CMP20090227.S01, Type: Unknown File Type, 21 bytes, and From: 172.20.16.48. It includes "Save" and "Cancel" buttons. A security warning at the bottom of the dialog states: "While files from the Internet can be useful, some files can potentially harm your computer. If you do not trust the source, do not save this file. [What's the risk?](#)"

Chapter

15

Reports

In this chapter, you will learn how to:

View Quantity Breach Reports

Quantity Breach Report

Using this option, user will be able to view Quantity Breach Report.

To view Quantity Breach Report

1. Log on to Collateral Interface for Member.
2. Click the Reports->Quantity Breach Report.

The screenshot shows the NSE Collateral Interface for Members. The top navigation bar includes links for Collateral Release, EMI, ADM/CPC, Blocking, ADM/CPC Replacement, Client Margins, Cross Margins, SMS Reports, and Quantity Breach Report. The Quantity Breach Report link is highlighted in pink. Below the navigation bar, there is a section titled "Quantity Breach Alerts" with filters for Segment (ALL) and Instrument (ALL). A table displays the breach data for two rows.

Segment	Instrument	Symbol	ISIN	Custodian	Collateral Type	Actual Quantity	Excess Units	Overall Limit	Member Limit
F	OMF	FIVFD	null	HDFC	MD	10,000	10,000	5,000	55,000
X	NMF	FIVFD	IN0020100023	HDFC	SD	10,000	10,000	5,000	55,000

3. Quantities breached for securities will be shown to the member in a tabular format.

4. Filters for segment and Instrument are also provided. Select segment/instrument to view filtered data.

Chapter**16**

Email

In this chapter, you will learn how to:

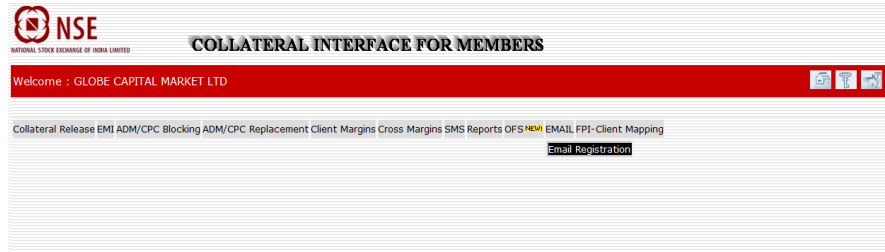
Register and Subscribe for Emails

Email Registration

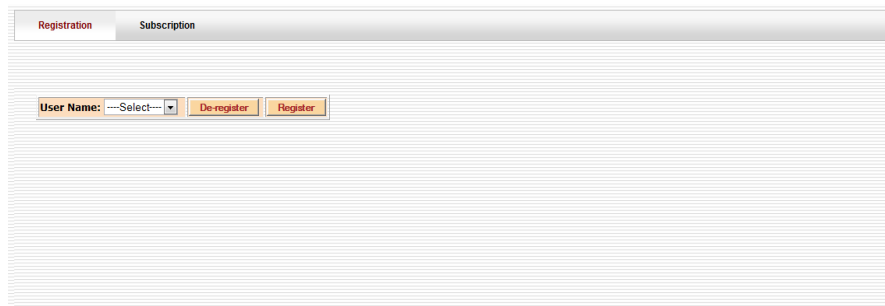
Using this option, user will be able to Register and Subscribe for Emails.

Registration

1. Log on to Collateral Interface for Member.
2. Click the Email->Email Registration.



On Click of Registration Tab, the following screen shall be displayed:



3. Click on Register to register new Emails:

Enter details and click on Submit. Email will be registered.

4. On Click of Subscription, select the user email id for which emails have to be subscribed and select No radio button. Click in Go.

The subscription details screen will then be displayed.

Registration
Subscription

Subscription Filters

User Email Id: Functionality: Segment:

Message Type: Subscribed?: Yes No

Subscription Details

Select	Segment	Functionality	Message Type
<input checked="" type="checkbox"/>	CM	COLLATERALS	Electronic Add/Renew FDR
<input checked="" type="checkbox"/>	FNO	COLLATERALS	Electronic Add/Renew FDR
<input checked="" type="checkbox"/>	CUR	COLLATERALS	Electronic Add/Renew FDR
<input type="checkbox"/>	SLB	COLLATERALS	Electronic Add/Renew FDR
<input type="checkbox"/>	DEBT	COLLATERALS	Electronic Add/Renew FDR

Select the relevant segments and click on Subscribe/Unsubscribe as required.

Chapter**17**

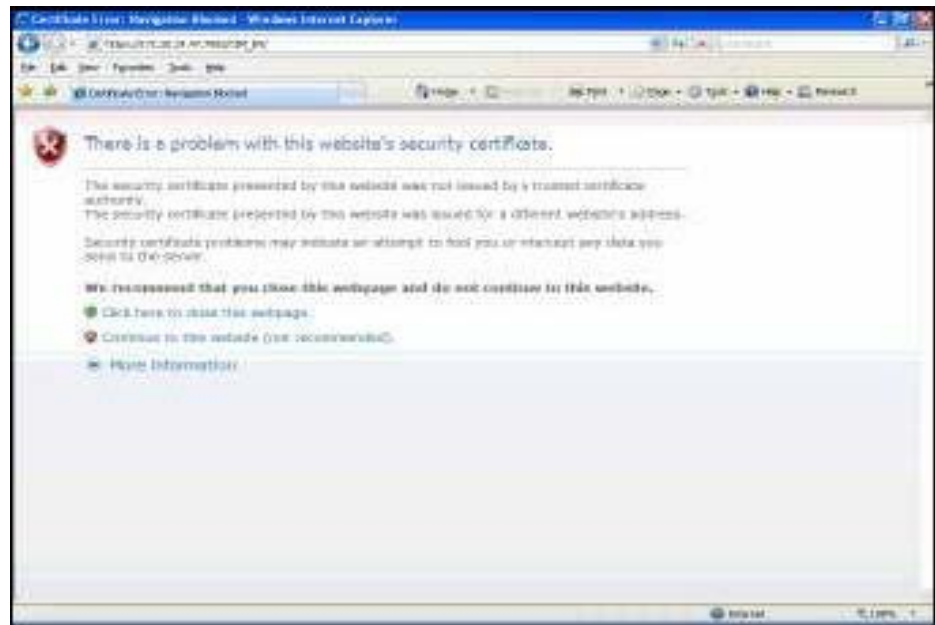
Technical Specifications

In this chapter, you will learn how to:

Access CIM using Internet Explorer 7(IE7)
Download to Excel Functionality

ACCESSING COLLATERAL INTERFACE FOR MEMBERS SITE USING INTERNET EXPLORER 7

Whenever a user tries to access Collateral Interface for Members site using Internet Explorer 7 the following message will be displayed.

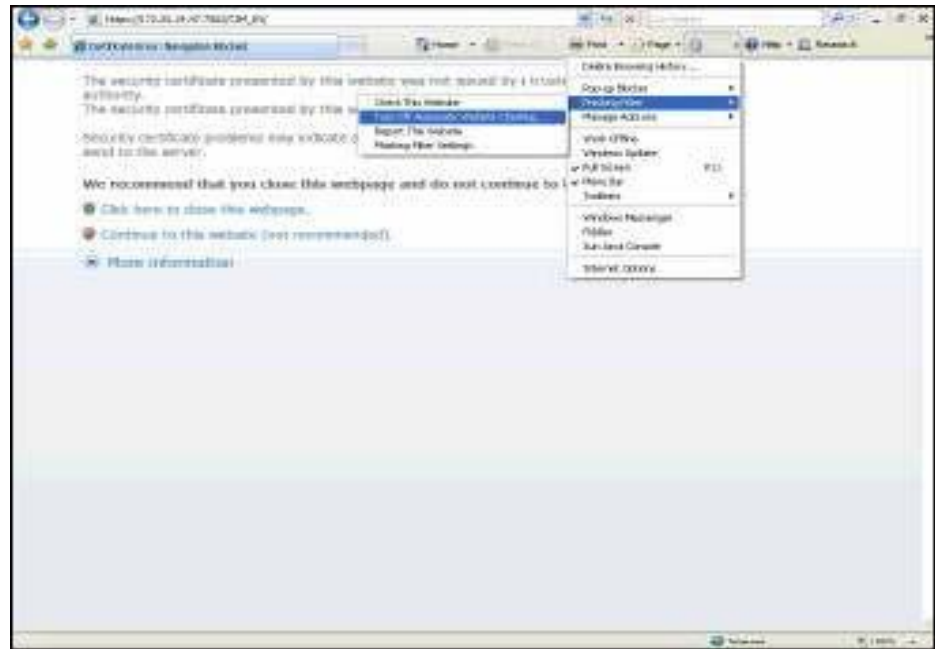


This message is displayed due to the ANTIPHISHING FILTER introduced in IE 7.

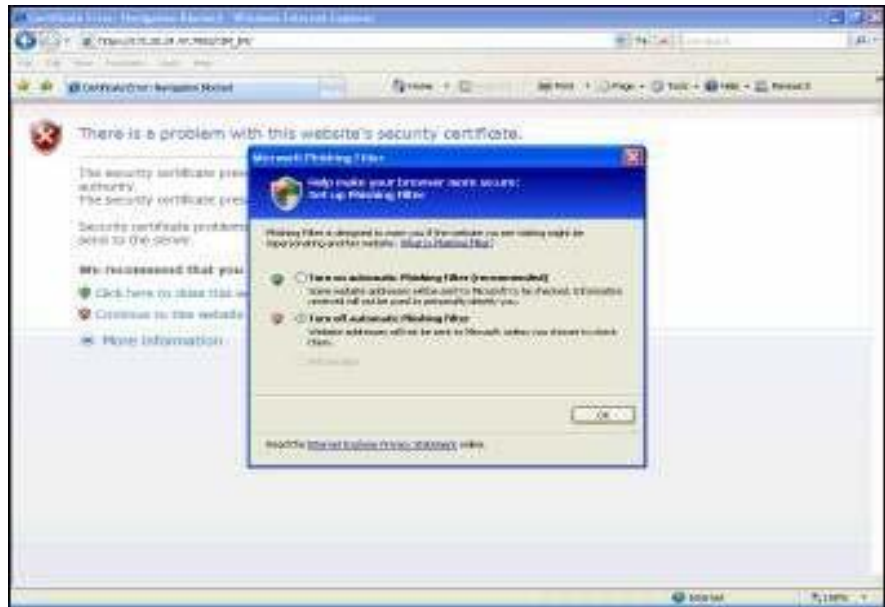
The user will be required to carry out the following steps to turn off the ANTIPHISHING FILTER in IE 7.

- a. Click on Tools >Phishing Filter>Turn off Automatic Website Checking.
- b. Then a menu is displayed in which click on Turn off automatic Phishing Filter.

The steps are shown in the screenshots below:

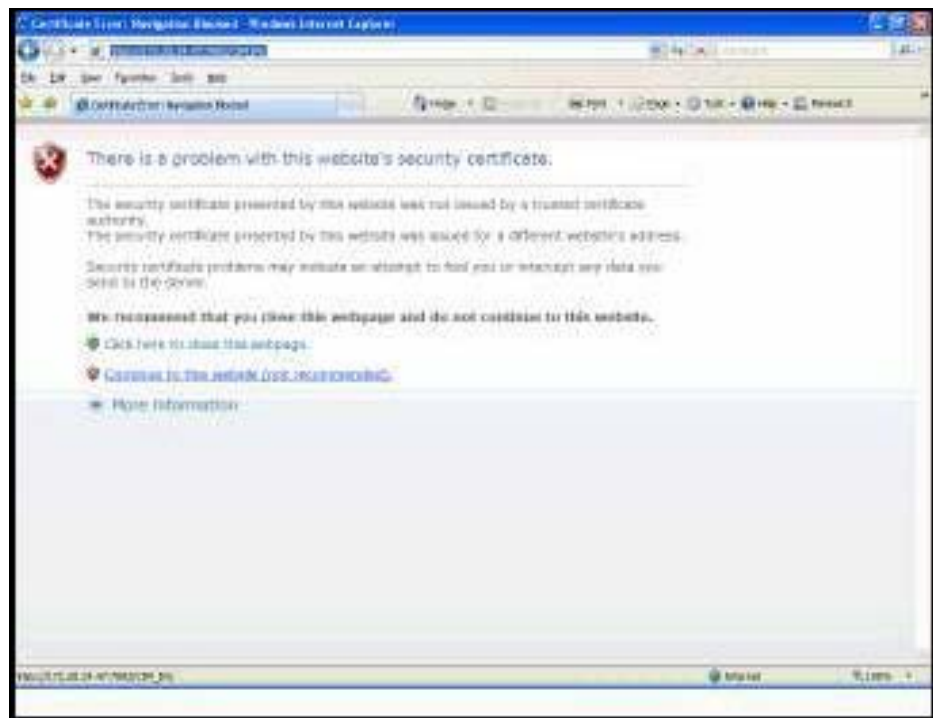


Turning off the Phishing Filter



After turning off the Phishing filter click on:

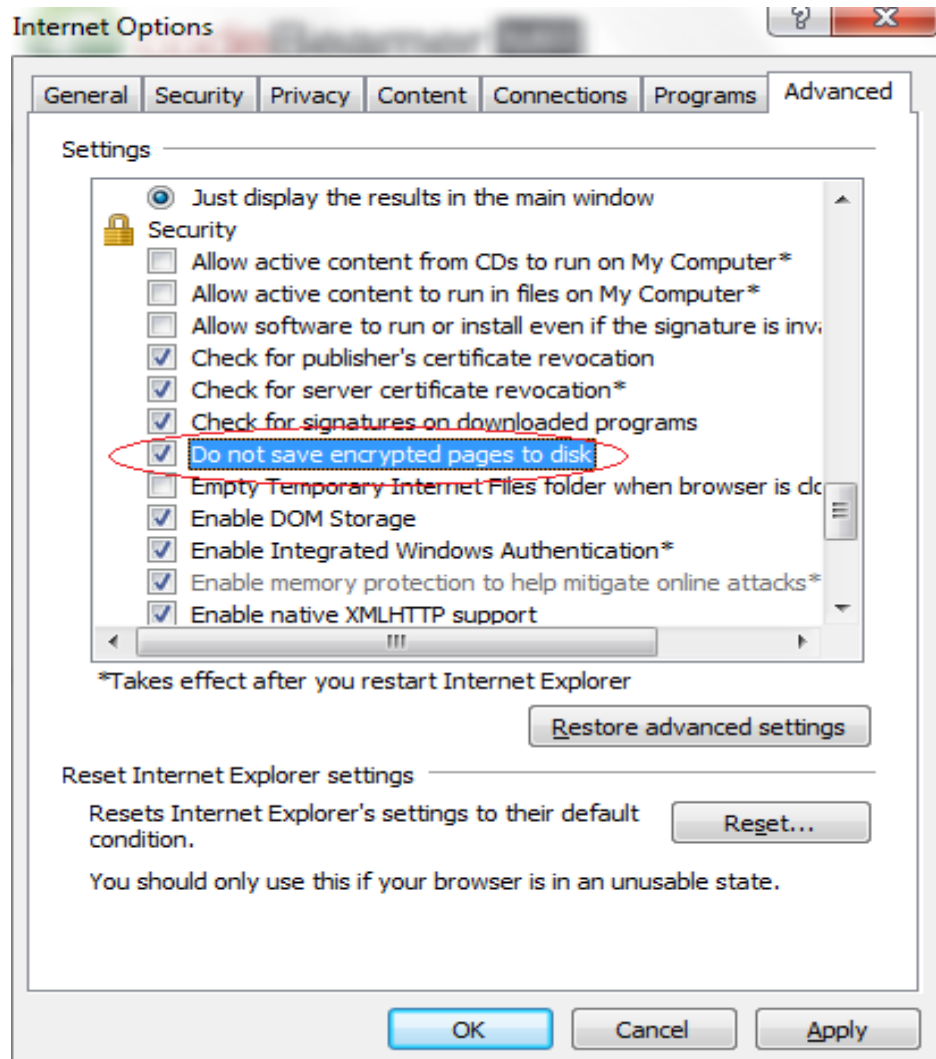




Collateral Interface for Member site will be displayed on IE7 as under:



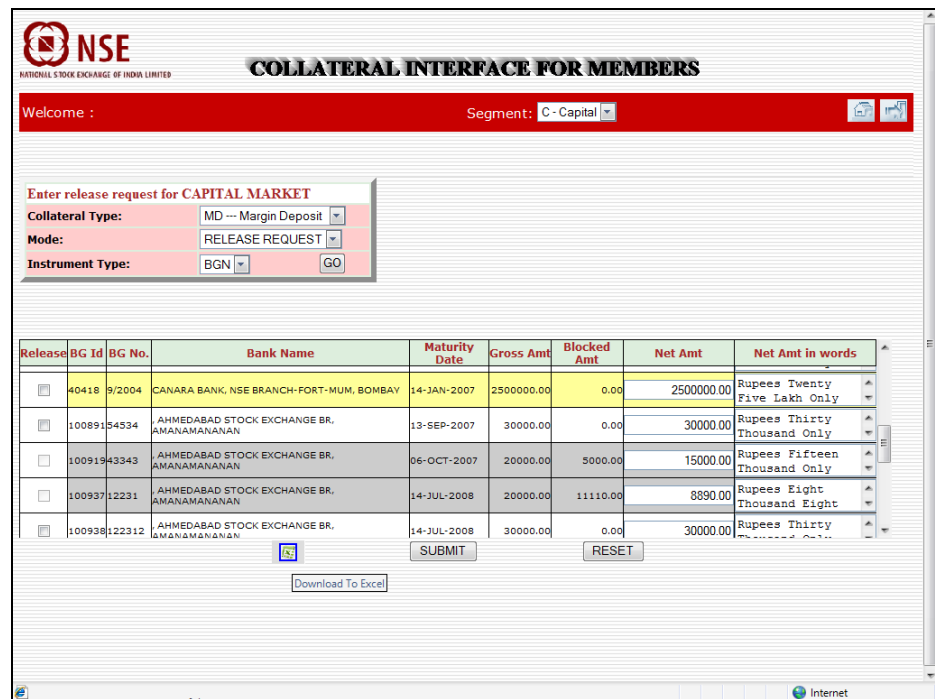
Kindly check security settings in IE for excel export to work.
The below highlighted setting should be checked:



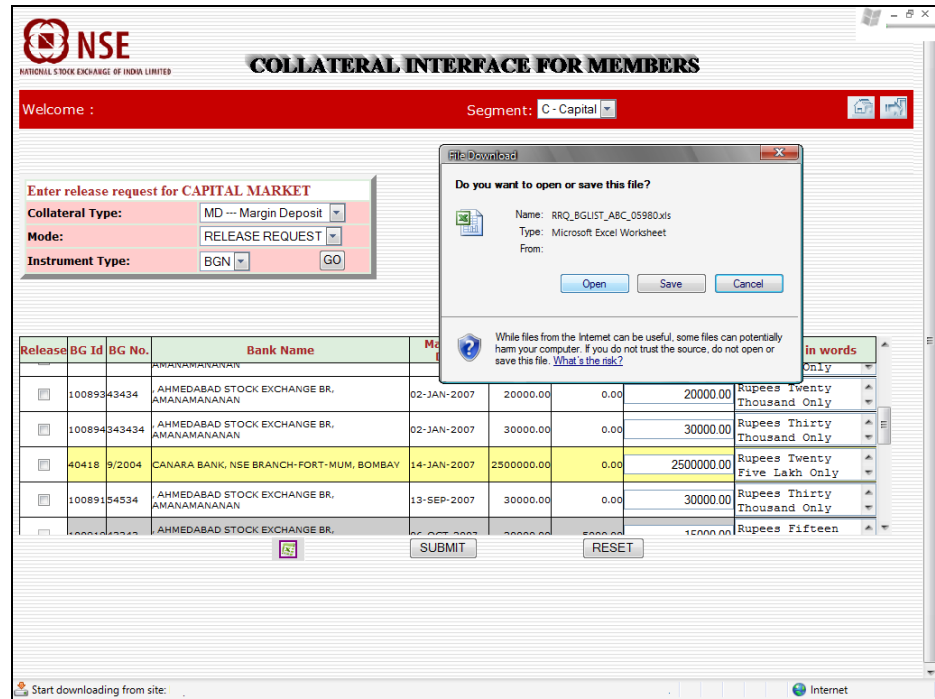
USING THE DOWNLOAD TO EXCEL FILE FUNCTIONALITY

- a. Users are provided with a functionality to export details in an Excel File.
- b. The download to Excel file functionality is provided on the following screens:

Release Request/Inquiry for All Instruments except CASH
 ADM/CPC Blocking
 ADM/CPC Replacement



- c. The user can save the file by clicking on the symbol next to the submit button



- d. The Excel file can be either saved at a desired path or can be directly viewed.